

Programming and Reasoning with Kleene Algebra with Tests

Part 1: Fundamentals

(Nate Foster + Dexter Kozen + Alexandra Silva)*

Plan for today

- Kleene Algebra (with Tests): syntax, semantics, and automata (45min)
- Examples of program equivalence reasoning (30 min)
- Extensions: KAT+B!, NetKAT (90min)

Context

```
while a & b do  
  p;  
od;
```

```
od;
```

```
while a do
```

```
  q ;
```

```
  while a & b do
```

```
    p;
```

```
  od
```

```
od
```

?
≡

```
while a do  
  if b then  
    p;
```

```
  else
```

```
    q;
```

```
od
```

Historical remarks

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- Flowchart schemes [Ivanov 1960, Manna 1972, ...]
- Regular expressions = finite automata [Kleene 1956]
- Completeness of equivalence [Salomaa 1966]

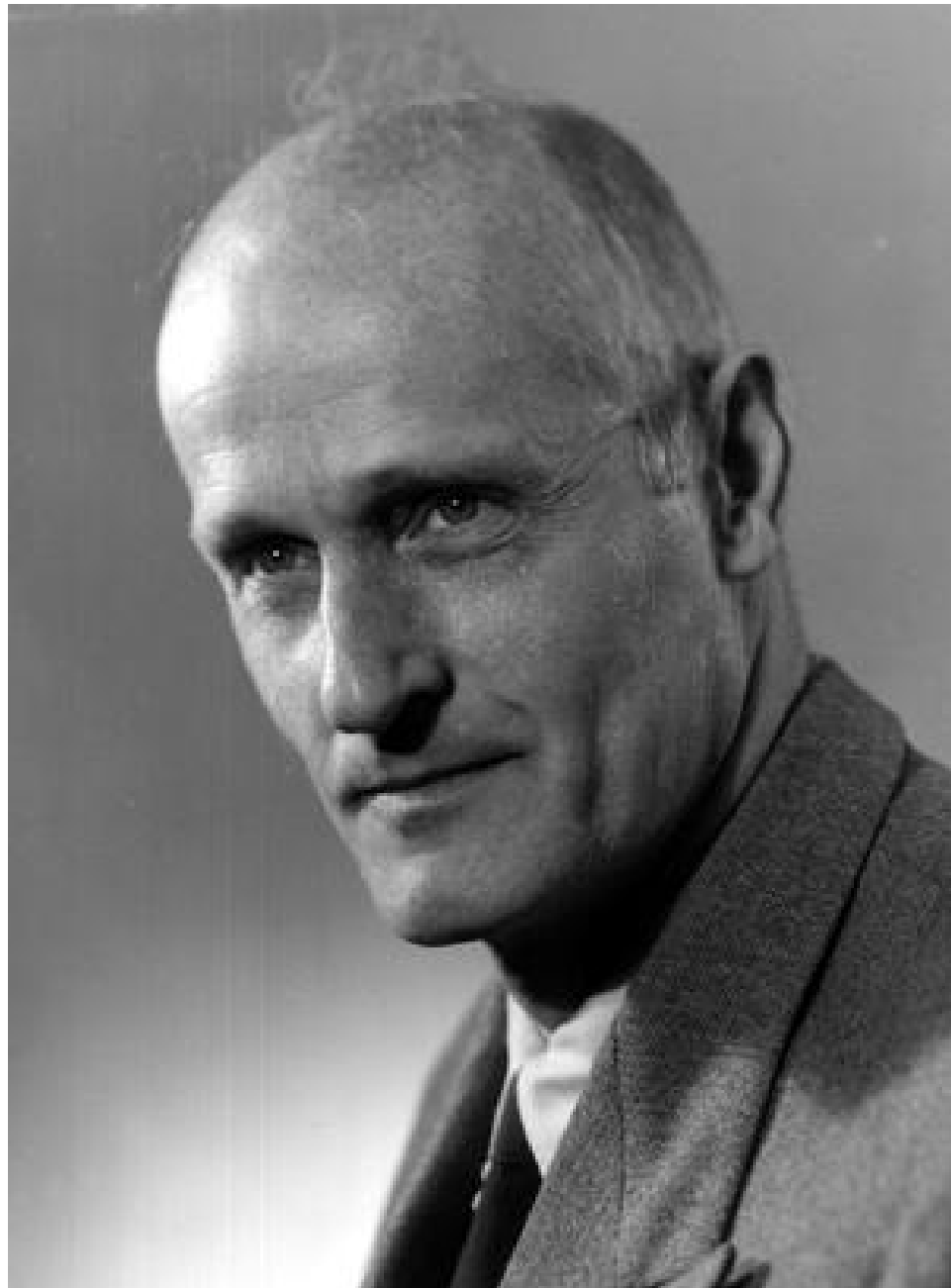
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- Flowchart schemes [Ivanov 1960, Manna 1972, ...]
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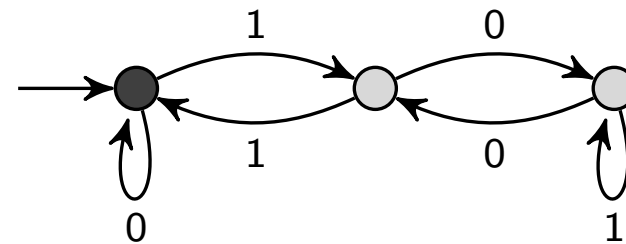
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- Regular expressions = finite automata [Kleene 1956]
- Completeness of equivalence [Salomaa 1966]
- Algebraic Theory [Conway 1971, Kozen 1990]
- Decision procedure PSPACE [Stockmeyer, Meyer 1974]
- KAT and program schematology [Angus, Kozen 2010]
- NetKAT [Anderson et al 2015]
- GKAT [Smolka et al 2020]

Kleene Algebra

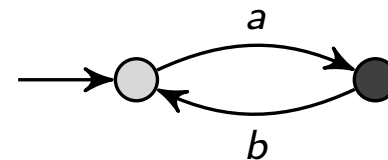


Stephen Cole Kleene
(1909–1994)

$(0 + 1(01^*0)^*1)^*$
 {multiples of 3 in binary}



$(ab)^*a = a(ba)^*$
 { $a, aba, ababa, \dots$ }



$(a + b)^* = a^*(ba^*)^*$

{all strings over $\{a, b\}$ }



Kleene Algebra - syntax

$e, f :=$	$\emptyset$	abort
	$ 1$	skip
	$ a$	action
	$ e + f$	choice
	$ e ; f$	sequential
	$ e^*$	iteration

Kleene Algebra - examples

$(1+a);(1+b)$ – a or b or ab

$(a+b)^*$ – any sequence of a's and b's

$(a^*b)^*a^*$ – ?

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syntax

Kleene's theorem

semantics

Standard Semantics

Regular Sets over A^*

$$\text{sem}(\emptyset) = \{ \}$$

$$\text{sem}(1) = \{\varepsilon\}$$

$$\text{sem}(a) = \{a\}$$

$$\text{sem}(e+f) = \text{sem}(e) \cup \text{sem}(f)$$

$$\text{sem}(e;f) = \text{sem}(e) \cdot \text{sem}(f)$$

$$\text{sem}(e^*) = \bigcup_n \text{sem}(e)^n$$

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Empty word

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pointwise concatenation
 $L.K = \{xy \mid x \in L, y \in K\}$

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$$\text{sem}(e;f) = \text{sem}(e) \cdot \text{sem}(f)$$

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Equivalence

$$(a+b)^* \equiv (a^*b)^*a^*$$



$$\text{sem}((a+b)^*) = \text{sem}((a^*b)^*a^*)$$

Equivalence

Syntactic equivalence

$$(a+b)^* \equiv (a*b)^*a^*$$



$$\text{sem}((a+b)^*) = \text{sem}((a*b)^*a^*)$$

Kleene's theorem

Let L be a regular language. TFAE:

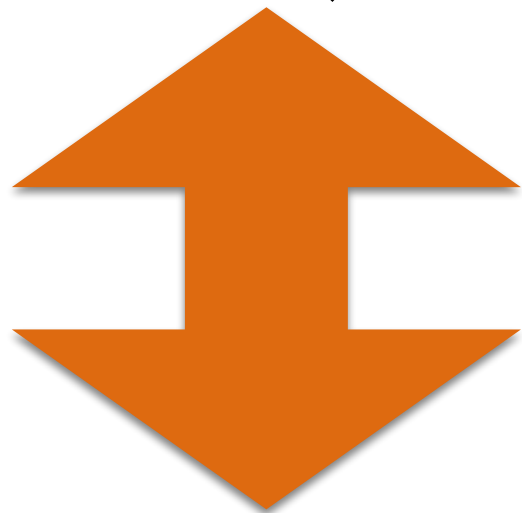
1. $L = \text{sem}(e)$, for some regular expression e
2. L is accepted by a deterministic finite automaton

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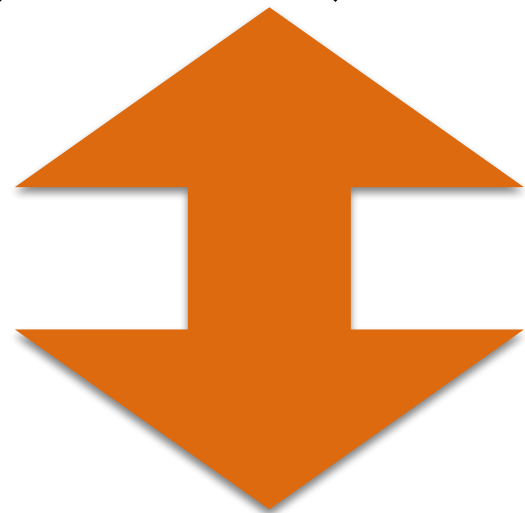
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$$A_1 \sim A_2$$

Fundamental Questions

- ➡ Can we axiomatize the valid equations?
- ➡ Can we decide if expressions are equivalent?

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Program verification via Equivalence

Axioms

$$Z: e + \emptyset \equiv e$$

$$C: e + f \equiv f + e$$

$$A: (e + f) + g \equiv e + (f + g)$$

$$I: e + e \equiv e$$

$$SN: e;1 \equiv 1;e \equiv e$$

$$SZ: e;\emptyset \equiv \emptyset;e \equiv \emptyset$$

$$SA: p;(q;r) \equiv (p;q);r$$

$$SDl: p;(q + r) = p;q + p;r$$

$$SDr: (p + q);r = p;r + q;r$$

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**idempotent
semiring**

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*** is a least fix point**

$$FP: 1 + a; a^* \equiv a^*$$

$$1 + a^*; a \equiv a^*$$

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$$SZ: e; \emptyset \equiv \emptyset; e \equiv \emptyset$$

$$LFP: f + ex \leq x$$

$$SA: p; (q; r) \equiv (p; q); r$$

$$\rightarrow e^* f \leq x$$

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Kleene Algebra, formally

A Kleene algebra is a tuple $(\mathbf{K}, +, ;, *, \mathbf{0}, \mathbf{1})$ satisfying:

$$\mathbf{Z}: e + \mathbf{0} \equiv e$$

$$\mathbf{C}: e + f \equiv f + e$$

$$\mathbf{A}: (e + f) + g \equiv e + (f + g)$$

$$\mathbf{I}: e + e \equiv e$$

$$\mathbf{SN}: e; \mathbf{1} \equiv \mathbf{1}; e \equiv e$$

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$$\mathbf{FP}: \mathbf{1} + a; a^* \equiv a^*$$

$$\mathbf{1} + a^*; a \equiv a^*$$

$$\mathbf{LFP}: f + ex \leq x$$

$$\rightarrow e^* f \leq x$$

Kleene Algebra, examples

- Regular languages
 - **+ is union**
 - **; is pointwise concatenation**
 - *** is iteration**

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 - **+ is union**
 - **; is relational composition**
 - *** is reflexive transitive closure**

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 - **+ is union**
 - **; is relational composition**
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- Square Matrices over a KA **K**
 - **+ and ; lifted to usual matrices ops**
 - *** iteratively from 2×2**

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$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}^* = \begin{bmatrix} (a + bd^*c)^* & (a + bd^*c)^*bd^* \\ (d + ca^*b)^*ca^* & (d + ca^*b)^* \end{bmatrix}$$

S

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- Binary Relations

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Important for
automata
representations

- Square Matrices over a KA **K**

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S

Some results on KA

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YES [Salomaa 66]

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➡ Can we devise more efficient procedures?

YES, e.g. antichains [Doyen et al, 2010] or up-to bisimulation [Bonchi-Pous, 2015]

Kleene Algebra - applications

- ➡ Design and analysis of algorithms
 - shortest paths
 - connectivity
- ➡ Program logic and verification
 - program analysis
 - optimization

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Missing in KA: **Boolean** tests for control structures

Adding tests

$(\mathbf{K}, \mathbf{B}, +, ;, *, \sim, \mathbf{0}, \mathbf{1})$

- **$(\mathbf{K}, +, ;, *, \mathbf{0}, \mathbf{1})$** is a Kleene algebra
- **$(\mathbf{B}, +, ;, \sim, \mathbf{0}, \mathbf{1})$** is a Boolean algebra
- **$\mathbf{B} \subseteq \mathbf{K}$**

Adding tests

$(K, B, +, ;, *, \sim, 0, 1)$

- **$(K, +, ;, *, 0, 1)$** is a Kleene algebra
- **$(B, +, ;, \sim, 0, 1)$** is a Boolean algebra
- **$B \subseteq K$**

```
if b then p else q = b;p + ~b;q  
while b do p = (b;p)*~b
```

Axioms of Boolean Algebra

$$\begin{array}{ll} a + 0 \equiv a & a + 1 \equiv 1 \\ a + b \equiv b + a & a + a \equiv a \\ (a + b) + c \equiv a + (b + c) \end{array}$$

$$\begin{array}{l} \sim(b+c) \equiv \sim b ; \sim c \\ \sim(\sim a) \equiv a \\ \sim(a;b) \equiv \sim a + \sim b \end{array}$$

$$\begin{array}{lll} a;1 \equiv a & a;0 \equiv 0 & a;b \equiv b;a \\ a;(b;c) \equiv (a;b);c & & \\ a;(b+c) \equiv a;b+a;c & (a+b);c \equiv a;c+b;c \end{array}$$

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$$\begin{array}{lll} a;1 \equiv a & a;0 \equiv 0 & a;b \equiv b;a \\ (a+b);c \equiv a;c+b;c \end{array}$$

+ and ; have double meaning

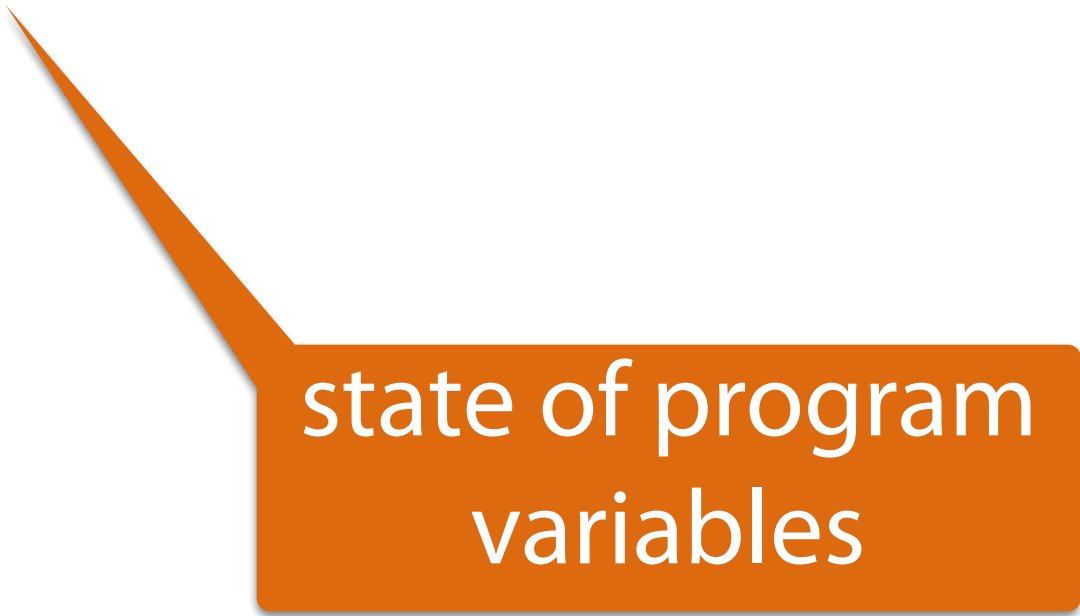
Guarded Strings

Σ - action symbols T - set of tests

B - Free Boolean algebra generated by T

At = atoms of B = $\{\alpha, \beta, \dots\}$

$$\alpha_0 p_0 \alpha_1 p_1 \alpha_2 \cdot \cdot \cdot \alpha_{n-1} p_{n-1} \alpha_n \in (At \cdot \Sigma)^*_* \cdot At$$



state of program
variables

KAT - semantics

$$\text{sem}(b) = \{\alpha \mid \alpha \leq b\}$$

$$\text{sem}(p) = \{\alpha p \beta \mid \alpha, \beta \text{ atoms}\}$$

$$\text{sem}(e+f) = \text{sem}(e) \cup \text{sem}(f)$$

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KAT - semantics

$$\text{sem}(b) = \{\alpha \mid \alpha \leq b\}$$

$$\text{sem}(p) = \{\alpha p \beta \mid \alpha, \beta \in K\}$$

guarded concatenation
 $L.K = \{x\alpha y \mid x\alpha \in L, \alpha y \in K\}$

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Examples

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$\text{sem}(b;p + \sim b;q)$

$= \{\alpha p \beta \mid \alpha \leq b\} \cup \{\alpha q \beta \mid \alpha \leq \sim b\}$

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$\text{sem}((b;p)*\sim b)$

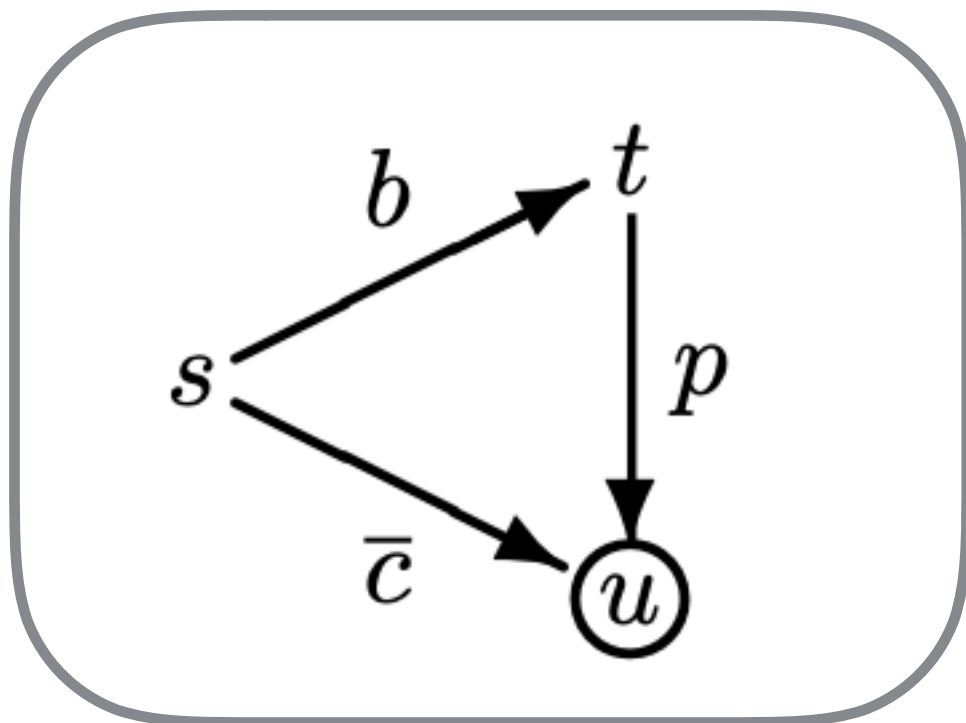
$= \{\alpha \mid \alpha \leq \sim b\} \cup \{\alpha p \beta \mid \alpha \leq b, \beta \leq \sim b\}$

$\cup \{\alpha_0 p \alpha_1 p \beta \mid \alpha_i \leq b, \beta \leq \sim b\} \cup \dots$

Automata

$$\alpha_0 p_0 \alpha_1 p_1 \alpha_2 \cdot \cdot \cdot \alpha_{n-1} p_{n-1} \alpha_n \in (\text{At} \cdot \Sigma)^* \cdot \text{At}$$

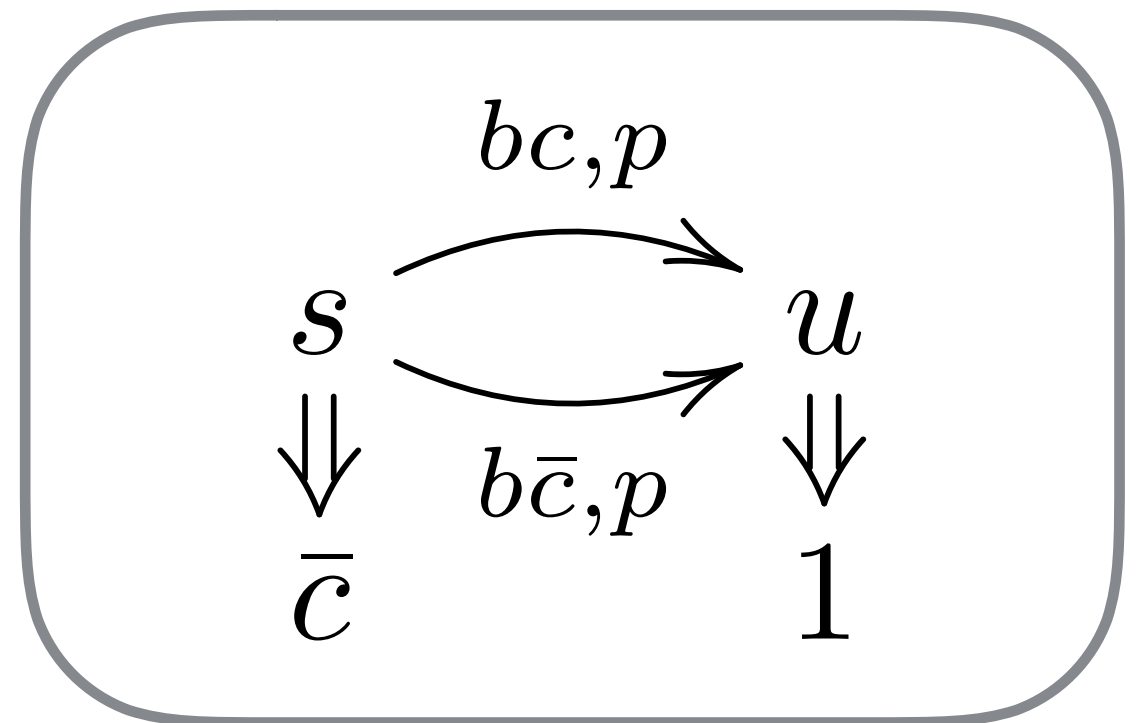
non-deterministic



$$\mathbf{t}: S \times (B + \Sigma) \longrightarrow P(S)$$

$$\mathbf{o}: S \longrightarrow 2$$

deterministic



$$\mathbf{t}: S \times (\text{At} \times \Sigma) \longrightarrow S$$

$$\mathbf{o}: S \longrightarrow B$$

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➡ Connections to propositional Hoare Logic and PDL

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➡ Connections to propositional Hoare Logic and PDL

$$\{b\}p\{c\} \iff bp \sim c \equiv \emptyset$$

Break exercises

1. $x^*x^* = x^*$

2. $x^*=x^{**}$

3. $(x+y)^* = (x^*y)^*x^*$

denesting

4. $x(yx)^* = (xy)^*x$

sliding

5. $xy=yz \Rightarrow x^*y = yz^*$

Denesting

$$(x+y)^* = (x^*y)^*x^*$$

Denesting

$$(x+y)^* = (x^*y)^*x^*$$

$$1 \leq (x^*y)^*x^*$$

$$xx^*(yx^*)^* \leq x^*(yx^*)^*$$

$$yx^*(yx^*)^* \leq (yx^*)^* \leq x^*(yx^*)^*$$

Denesting

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monotonicity + distributivity

$$1 + (x+y) x^*(yx^*)^* \leq x^*(yx^*)^*$$

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monotonicity + distributivity

$$1 + (x+y) x^*(yx^*)^* \leq x^*(yx^*)^*$$

fixpoint rule

$$f + ex \leq x \rightarrow e^*f \leq x$$

$$(x+y)^* \leq (x^*y)^*x^*$$

KAT - applications

Compiler Optimizations [Kozen & Patron 00]

- dead code elimination
- common subexpression elimination
- copy propagation
- loop hoisting
- **loop unrolling**
- **reducing nesting depth**
- induction variable elimination
- instruction scheduling
- elimination of redundant instructions
- array bounds check elimination

Example - loop denesting

while b do {		if b then {
 p;	≡	 p;
 while c do q;		 while b v c do {
}		 if c then q else p
		 }
		}

$$(bp(cq)^{\sim c})^{\sim b} \equiv bp((b+c)(cq+\sim cp))^{\sim(b+c)} + \sim b$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

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$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

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$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Comm} + \text{Dist} + \text{Boolean Alg}\}$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Comm + Dist + Boolean Alg}\}$$

$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Comm + Dist + Boolean Alg}\}$$

$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Denesting: } (e+f)^*=(e*f)^*e^*\}$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

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$$bp((cq)*\sim cbp)*(cq)*\sim b\sim c + \sim b$$

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$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Denesting: } (e+f)*=(e*f)*e*\}$$

$$bp((cq)*\sim cbp)*(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Sliding: } (ef)*e=e(fe)*\}$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

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$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

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$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Denesting: } (e+f)*=(e*f)*e*\}$$

$$bp((cq)*\sim cbp)*(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Sliding: } (ef)*e=e(fe)*\}$$

$$(bp(cq)*\sim c)*bp(cq)*\sim b\sim c + \sim b$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

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$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

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$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Denesting: } (e+f)*=(e*f)*e*\}$$

$$bp((cq)*\sim cbp)*(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Sliding: } (ef)*e=e(fe)*\}$$

$$(bp(cq)*\sim c)*bp(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Distributivity}\}$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Comm + Dist + Boolean Alg}\}$$

$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Denesting: } (e+f)*=(e*f)*e*\}$$

$$bp((cq)*\sim cbp)*(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Sliding: } (ef)*e=e(fe)*\}$$

$$(bp(cq)*\sim c)*bp(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Distributivity}\}$$

$$((bp(cq)*\sim c)*bp(cq)*\sim c + 1)\sim b$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Comm + Dist + Boolean Alg}\}$$

$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

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$$(bp(cq)*\sim c)*bp(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Distributivity}\}$$

$$((bp(cq)*\sim c)*bp(cq)*\sim c + 1)\sim b$$

$$\equiv \{\text{FP}\}$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Comm + Dist + Boolean Alg}\}$$

$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Denesting: } (e+f)*=(e*f)*e*\}$$

$$bp((cq)*\sim cbp)*(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Sliding: } (ef)*e=e(fe)*\}$$

$$(bp(cq)*\sim c)*bp(cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Distributivity}\}$$

$$((bp(cq)*\sim c)*bp(cq)*\sim c + 1)\sim b$$

$$\equiv \{\text{FP}\}$$

$$(bp(cq)*\sim c)*\sim b$$

Proof

$$(bp(cq)*\sim c)*\sim b \equiv bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$bp((b+c)(cq+\sim cp))*\sim(b+c) + \sim b$$

$$\equiv \{\text{Distr+Boolean Alg}\}$$

$$bp(bcq+b\sim cp+cq + c\sim cq)*\sim b\sim c + \sim b$$

$$\equiv \{\text{Comm + Dist + Boolean Alg}\}$$

$$bp(cq+\sim cbp)*\sim b\sim c + \sim b$$

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$$(bp(cq)*\sim c)*bp(cq)*\sim b\sim c + \sim b$$

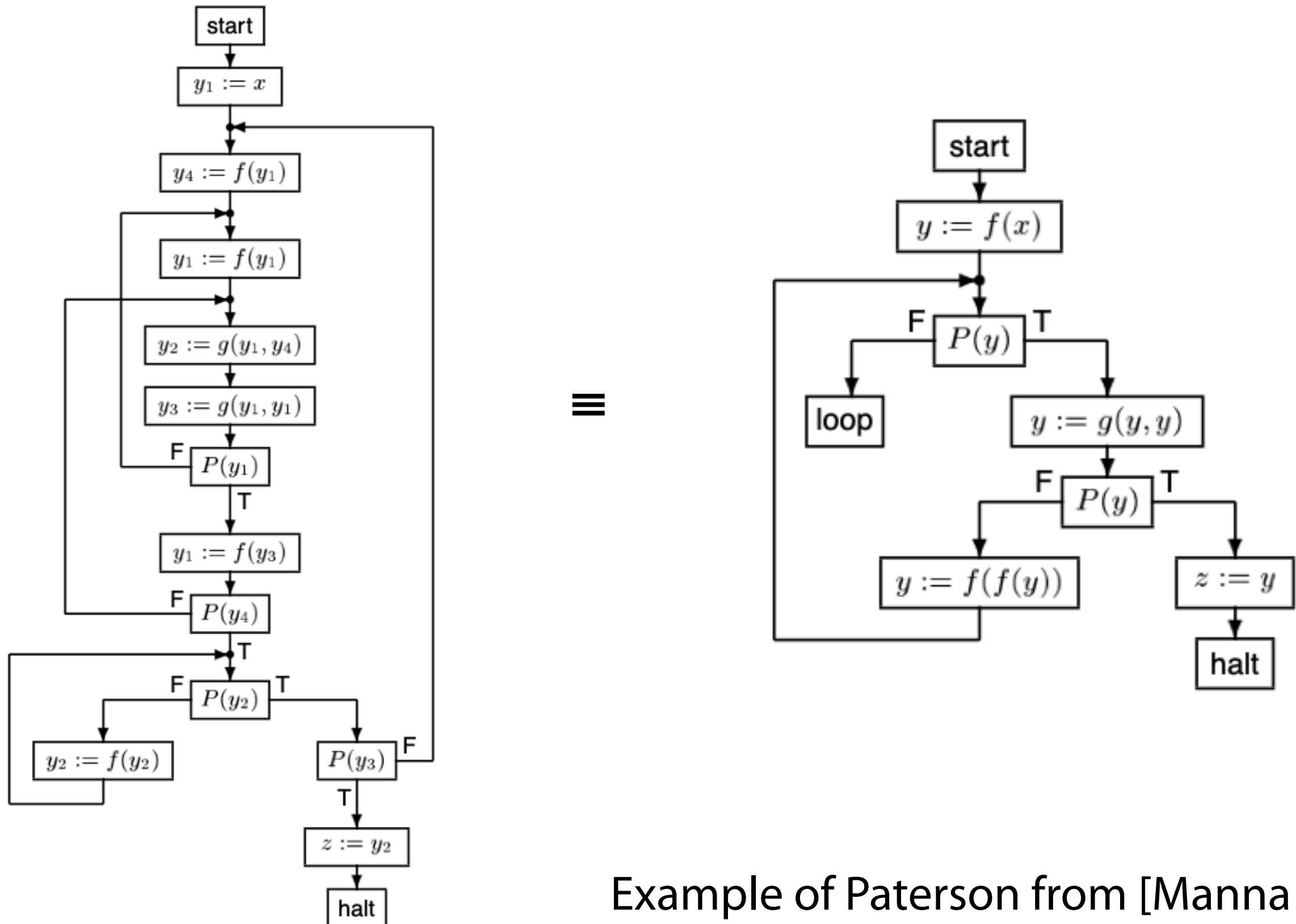
$$\equiv \{\text{Distributivity}\}$$

$$((bp(cq)*\sim c)*bp(cq)*\sim c + 1)\sim b$$

$$\equiv \{\text{FP}\}$$

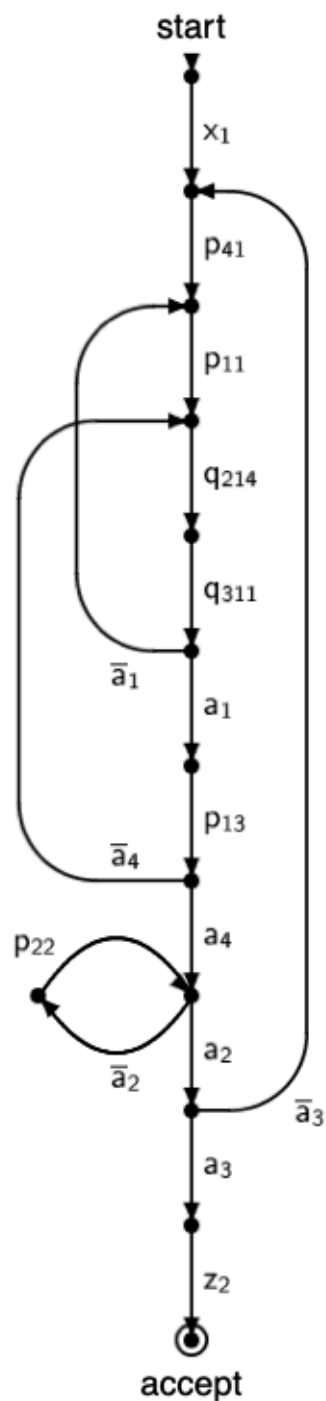
$$(bp(cq)*\sim c)*\sim b$$

Scheme equivalence

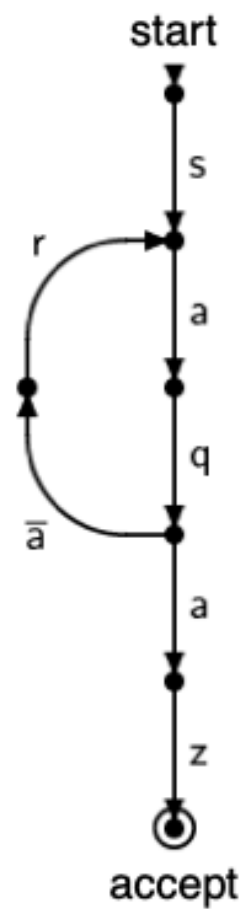


Example of Paterson from [Manna 74]

Scheme equivalence



$\equiv$



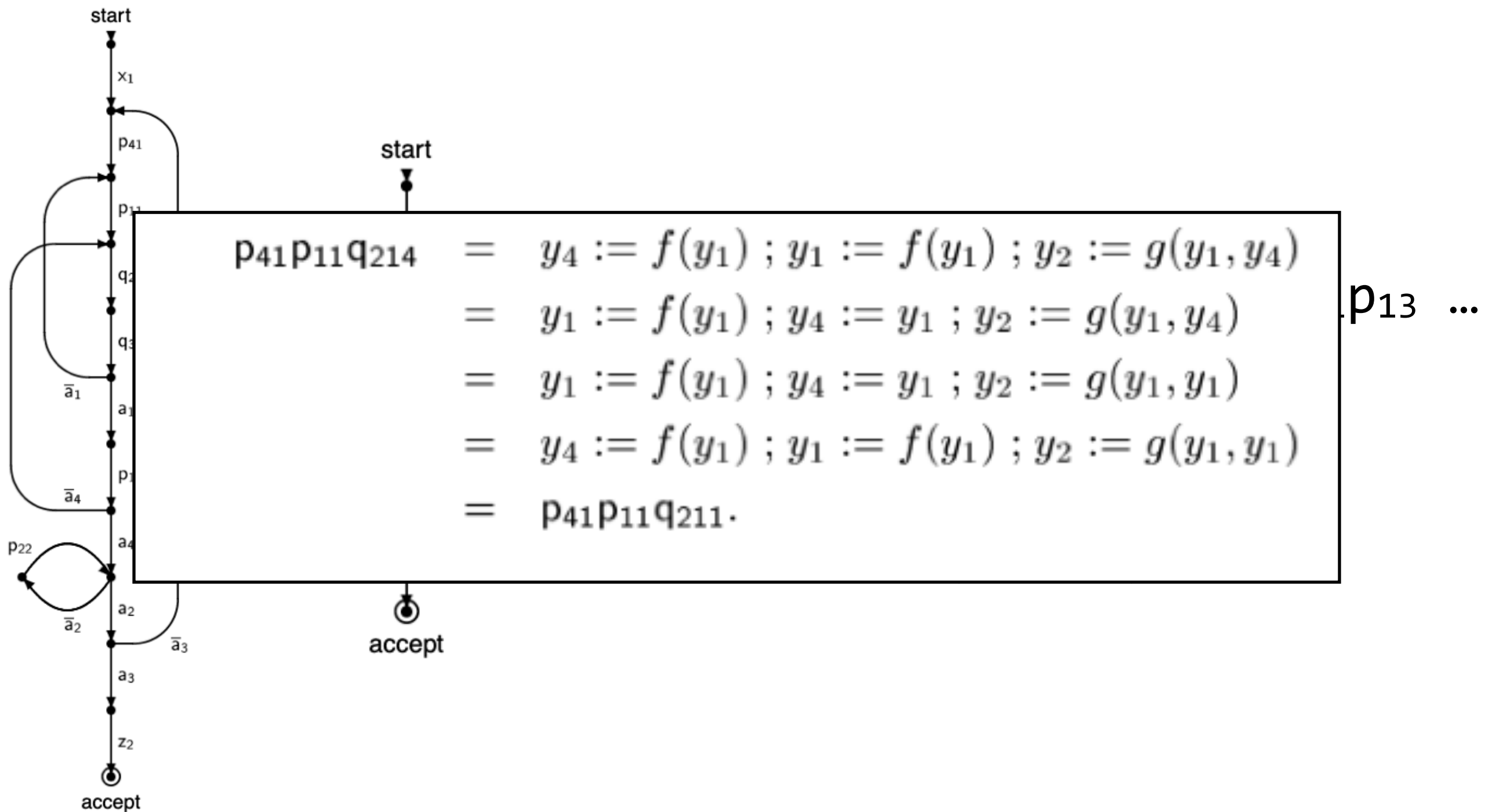
Kleene Theorem

$$x_1 p_{41} p_{11} q_{214} q_{311} (\sim a_1 p_{11} q_{214} q_{311})^* a_1 p_{13} \dots a_4 (\sim a_2 p_{22})^* a_2 a_3 z_2$$

$\equiv$

$$s a q (\sim a r a q)^* a z$$

Scheme equivalence



Bohm-Jacopini Theorem

Folk Theorem

Every **while** program can be simulated by a **while** program with at most one **while** loop as long as extra Boolean variables are allowed.

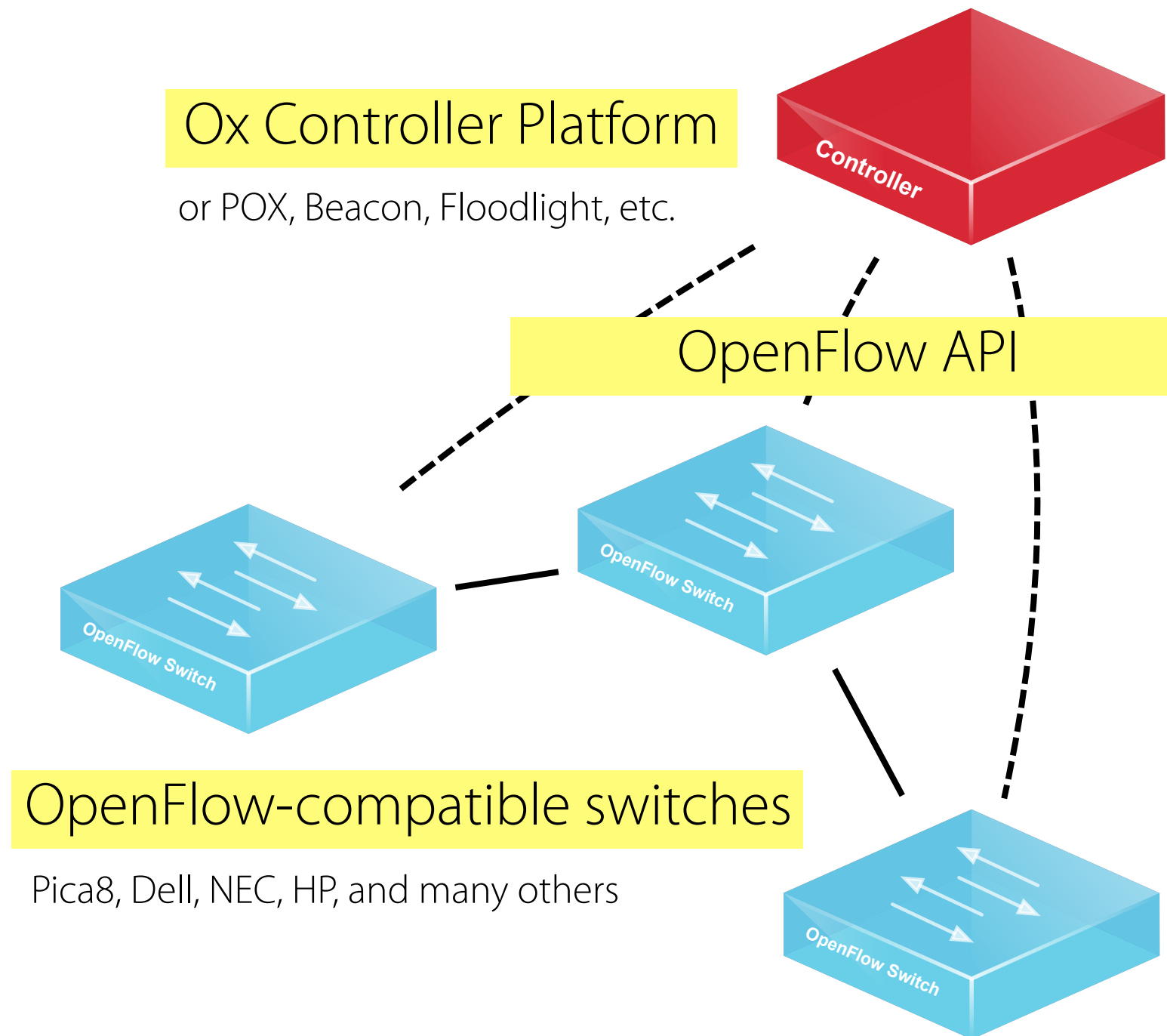
Dexter Kozen. **Kleene algebra with tests**. *ACM Trans. Programming Languages and Systems (TOPLAS)*, 19(3):427-443, May 1997

Programming and Reasoning with Kleene Algebra with Tests

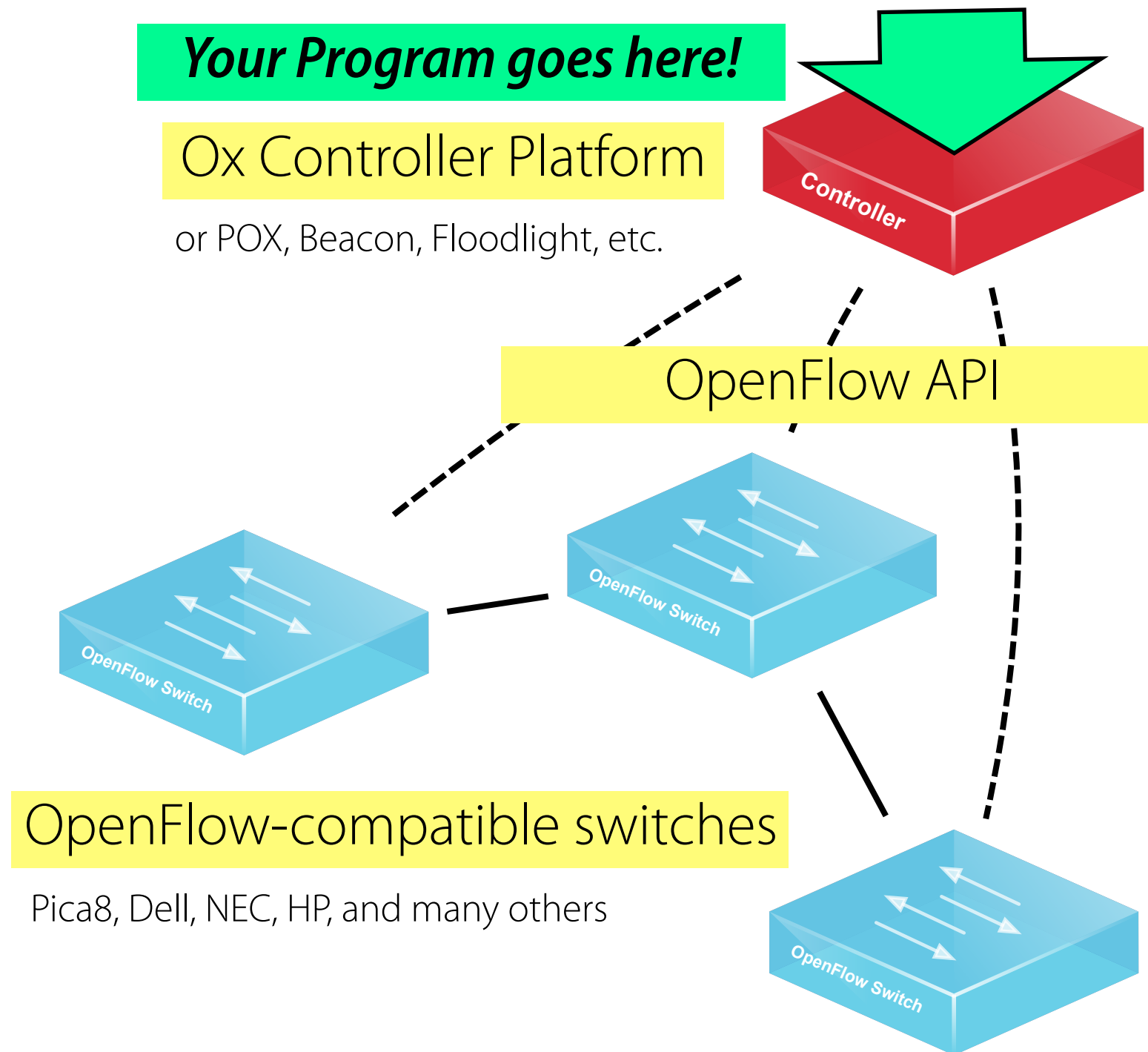
Part 2: Extensions & Applications

(Nate Foster + Dexter Kozen + Alexandra Silva)*

SDN Architecture

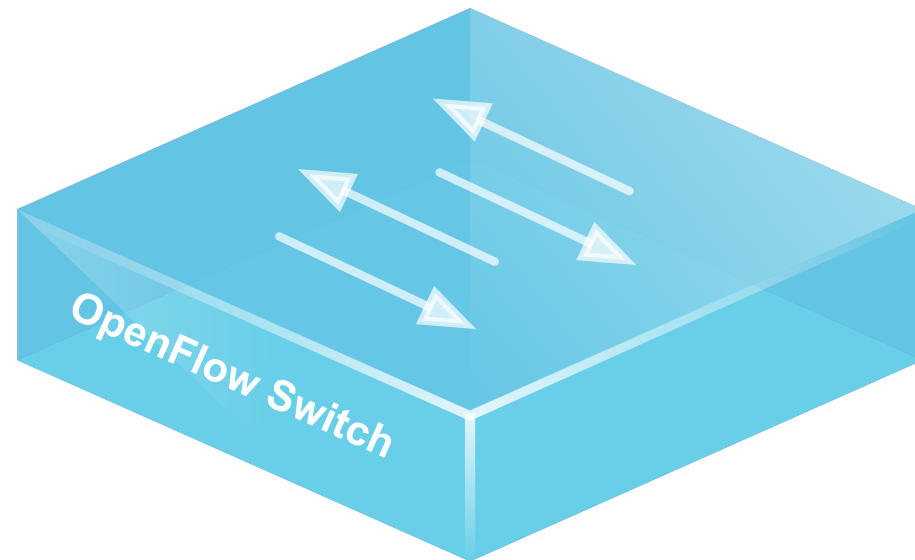


SDN Architecture



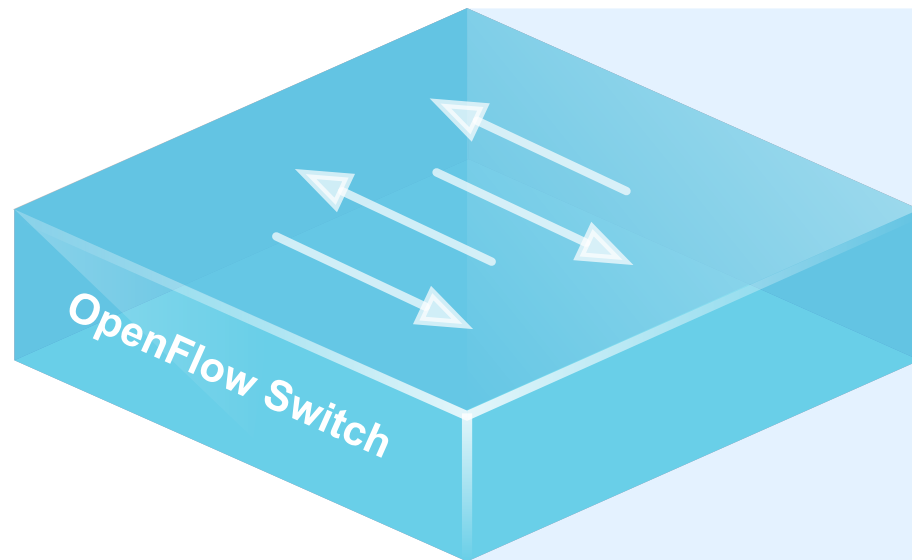
OpenFlow Switch

General-purpose packet-processing device that can be used to implement switches, routers, firewalls, etc.



OpenFlow Switch

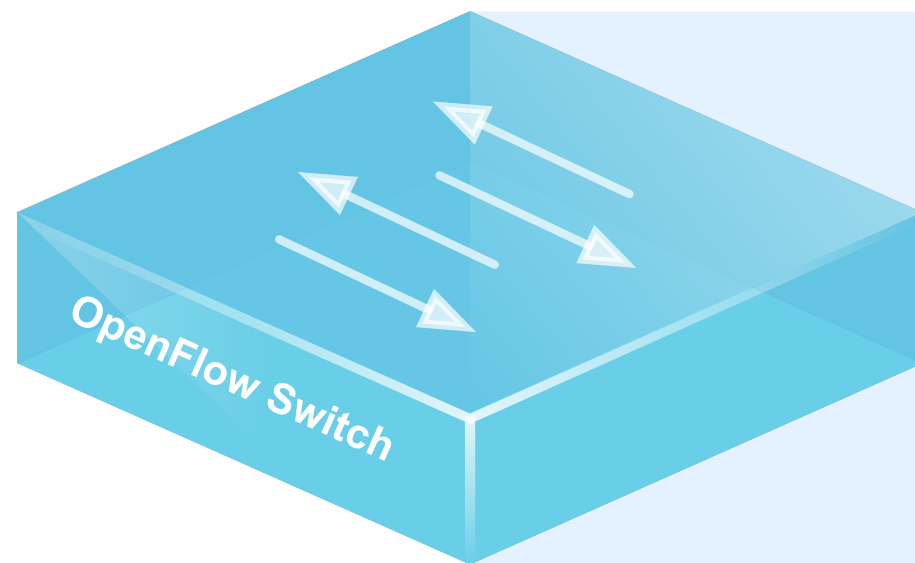
General-purpose packet-processing device that can be used to implement switches, routers, firewalls, etc.



Match	Actions	Counters
10.0.0.1	Drop	(73,2458)
10.0.0.2	Forward 2	(16,846)
10.0.0.3	Forward 3	(23,5729)
*	Controller	(5,472)

OpenFlow Switch

General-purpose packet-processing device that can be used to implement switches, routers, firewalls, etc.

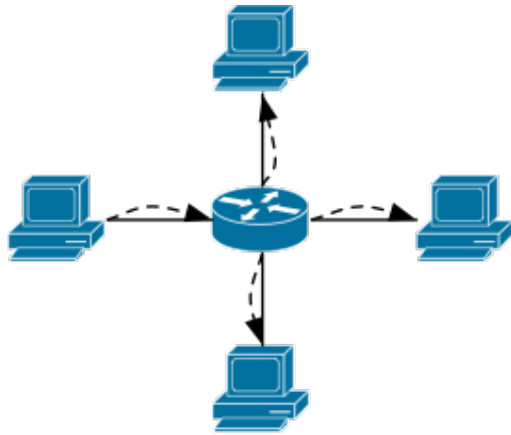


Match	Actions	Counters
10.0.0.1	Drop	(73,2458)
10.0.0.2	Forward 2	(16,846)
10.0.0.3	Forward 3	(23,5729)
*	Controller	(5,472)

Key data structure is a *flow table* containing a prioritized list of match-action *rules* and *counters*

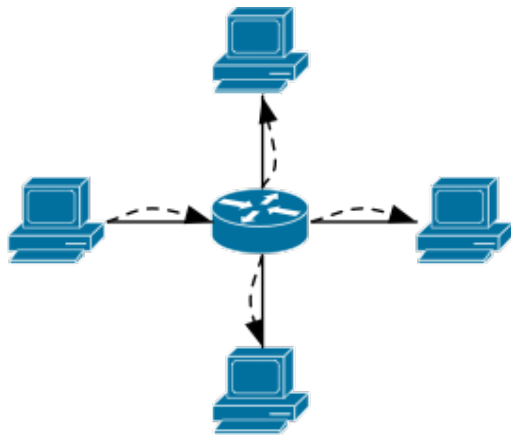
Example

Topology



Example

Topology



Specification

- Forward packets to hosts 1-4
- Monitor traffic to unknown hosts
- Flood broadcast traffic to all hosts
- Disallow SSH traffic from hosts 1-2

Flow Table

```
{pattern={ethSrc=00:00:00:00:00:01,ethTyp=0x800,ipProto=0x06, tcpDstPort=22},action=[]}  
{pattern={ethSrc=00:00:00:00:00:02,ethTyp=0x800,ipProto=0x06, tcpDstPort=22},action=[]}  
{pattern={ethDst=00:00:00:00:00:01},action=[Output(1)]}  
{pattern={ethDst=00:00:00:00:00:02},action=[Output(2)]}  
{pattern={ethDst=00:00:00:00:00:03},action=[Output(3)]}  
{pattern={ethDst=00:00:00:00:00:04},action=[Output(4)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=1},action=[Output(4), Output(3), Output(2)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=2},action=[Output(4), Output(3), Output(1)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=3},action=[Output(4), Output(2), Output(1)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=4},action=[Output(3), Output(2), Output(1)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff},action=[]}  
{pattern={},action=[Controller]}
```

Example: Forward

```
let forward =  
  if ethDst = 00:00:00:00:00:01 then  
    port := 1  
  else if ethDst = 00:00:00:00:00:02 then  
    port := 2  
  else if ethDst = 00:00:00:00:00:03 then  
    port := 3  
  else if ethDst = 00:00:00:00:00:04 then  
    port := 4  
  else  
    false
```

Example: Broadcast

```
let flood =  
  if port = 1 then  
    port := 2 + port := 3 + port := 4  
  else if port = 2 then  
    port := 1 + port := 3 + port := 4  
  else if port = 3 then  
    port := 1 + port := 2 + port := 4  
  else if port = 4 then  
    port := 1 + port := 2 + port := 3  
  else  
    false  
  
let broadcast =  
  if ethDst = ff:ff:ff:ff:ff:ff then  
    flood  
  else  
    false
```

Example: Routing

```
let route = forward + broadcast
```

Example: Monitor

```
let monitor =  
  if !(ethDst = 00:00:00:00:00:01 +  
    ethDst = 00:00:00:00:00:02 +  
    ethDst = 00:00:00:00:00:03 +  
    ethDst = 00:00:00:00:00:04 +  
    ethDst = ff:ff:ff:ff:ff:ff) then  
    port := unknown  
  else  
    false
```

Example: Firewall

```
let firewall =  
  if (ethSrc = 00:00:00:00:00:01 +  
      ethSrc = 00:00:00:00:00:02) ;  
    ethTyp = 0x800 ;  
    ipProto = 0x06 ;  
    tcpDstPort = 22 then  
    false  
  else  
    true
```

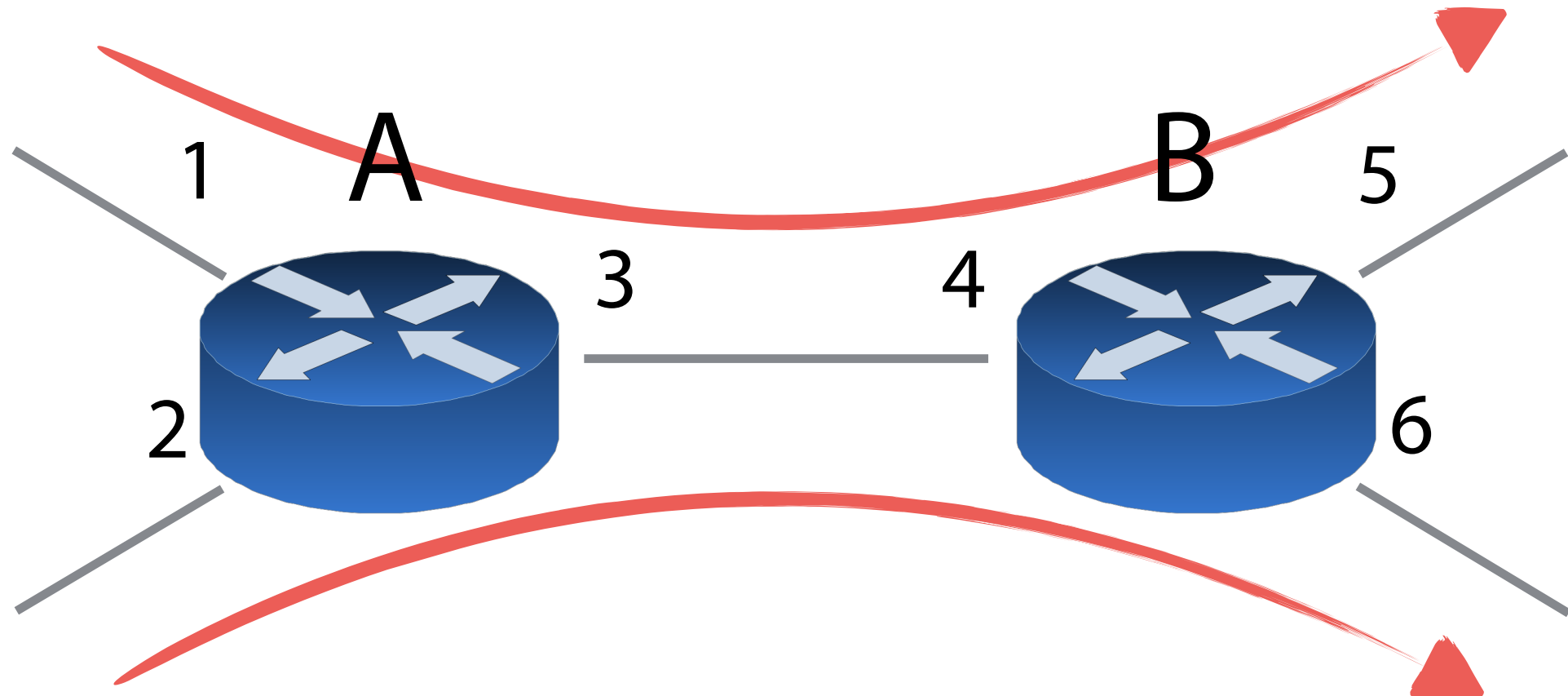

Example: Main Policy

```
let main = (route + monitor); firewall
```

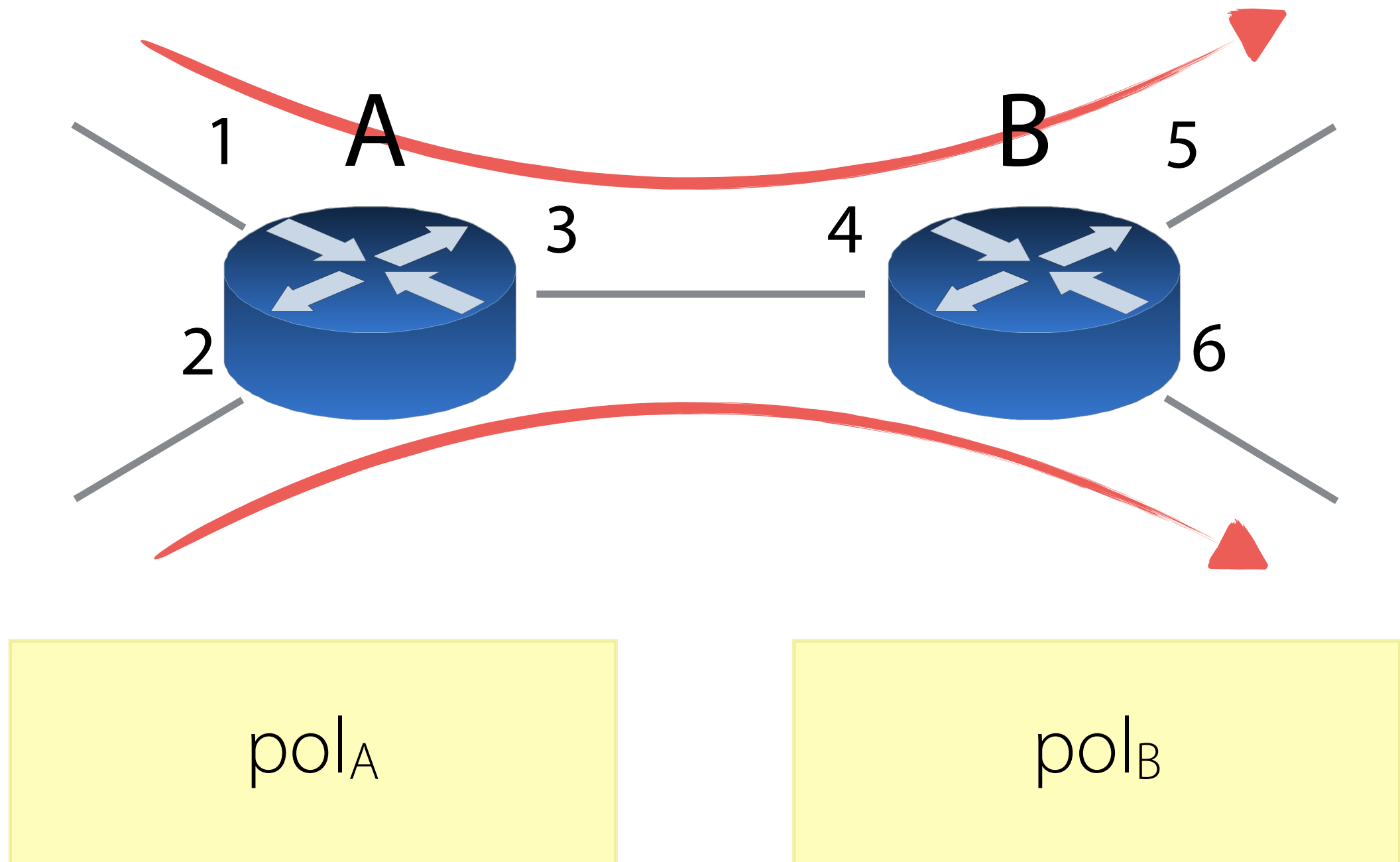
compiles to...

```
{pattern={ethSrc=00:00:00:00:00:01,ethTyp=0x800,ipProto=0x06, tcpDstPort=22},action=[]}  
{pattern={ethSrc=00:00:00:00:00:02,ethTyp=0x800,ipProto=0x06, tcpDstPort=22},action=[]}  
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{pattern={ethDst=00:00:00:00:00:04},action=[Output(4)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=1},action=[Output(4), Output(3), Output(2)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=2},action=[Output(4), Output(3), Output(1)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=3},action=[Output(4), Output(2), Output(1)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff,port=4},action=[Output(3), Output(2), Output(1)]}  
{pattern={ethDst=ff:ff:ff:ff:ff:ff},action=[]}  
{pattern={},action=[Controller]}
```

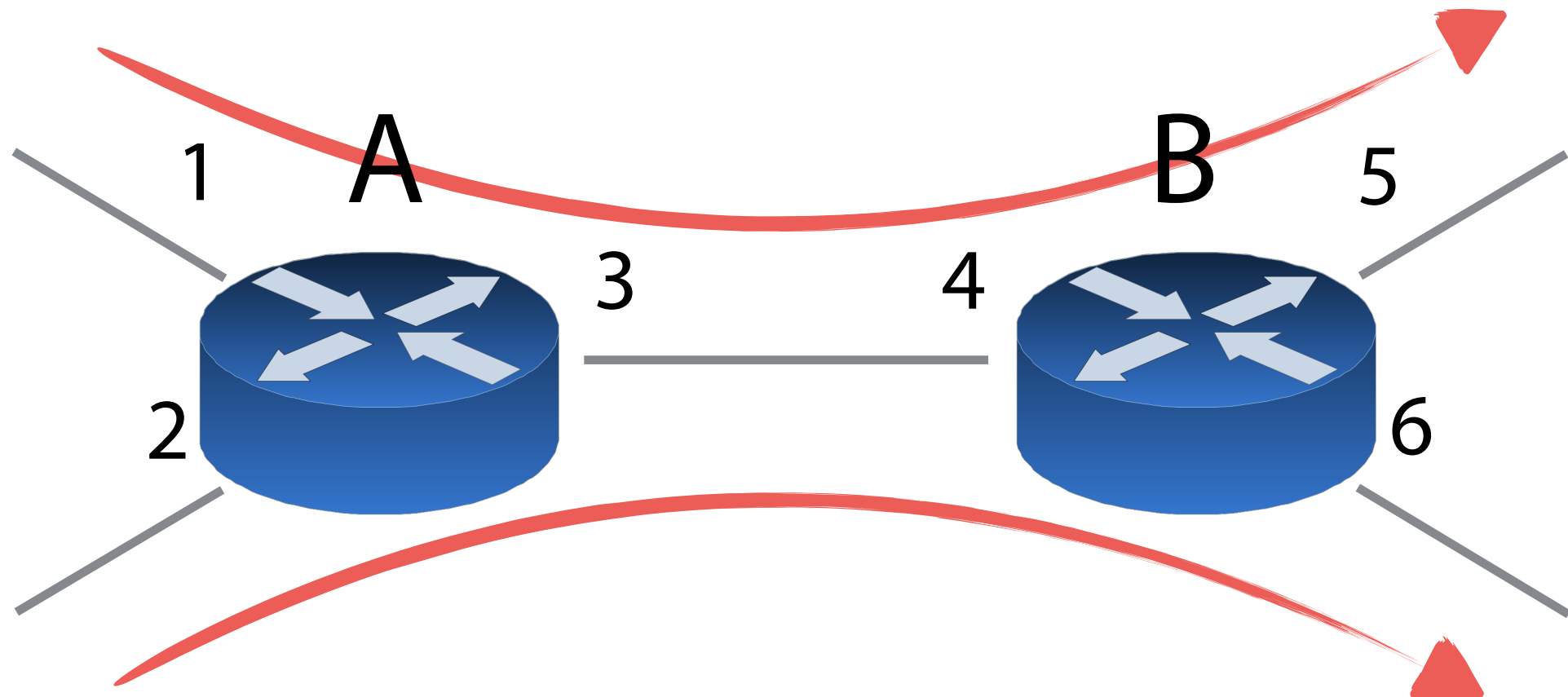

Example: Paths



Local Program



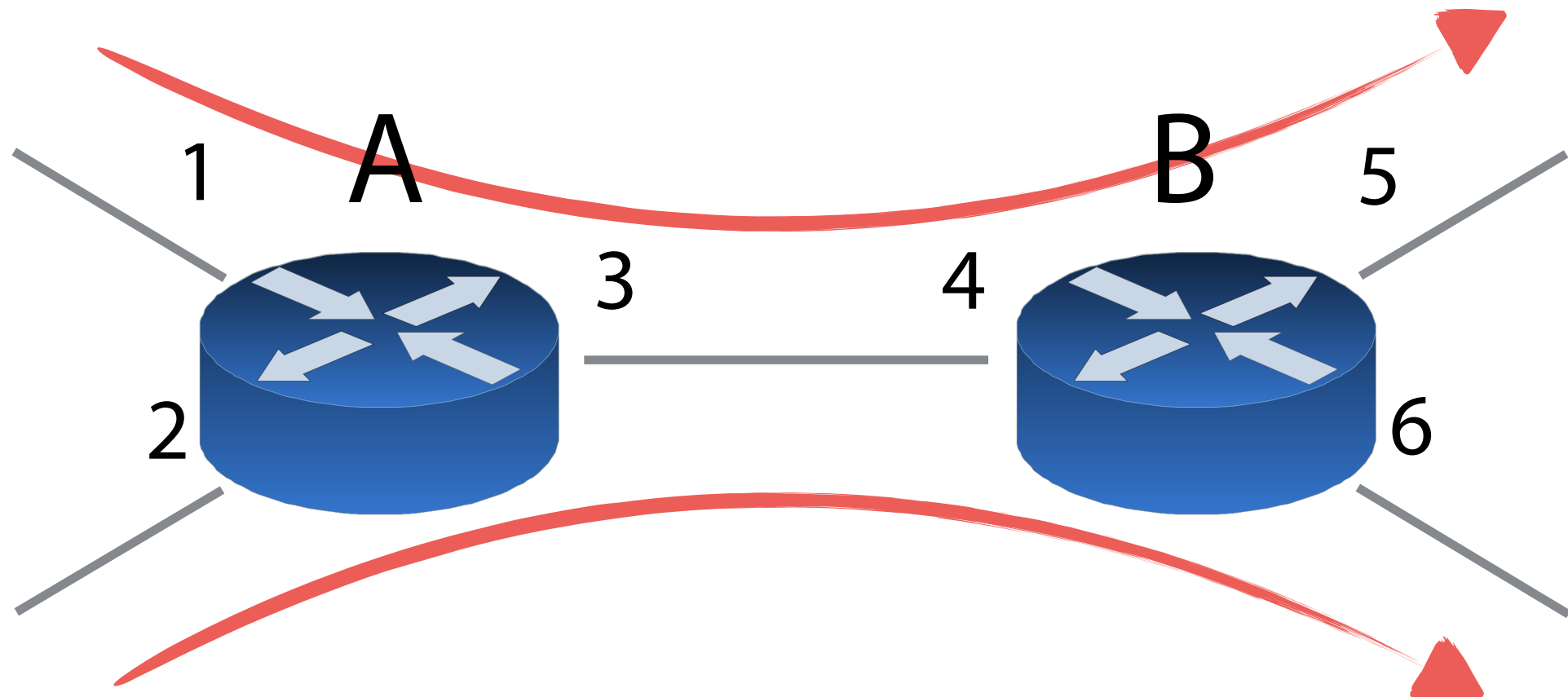
Local Program



port:=3

???

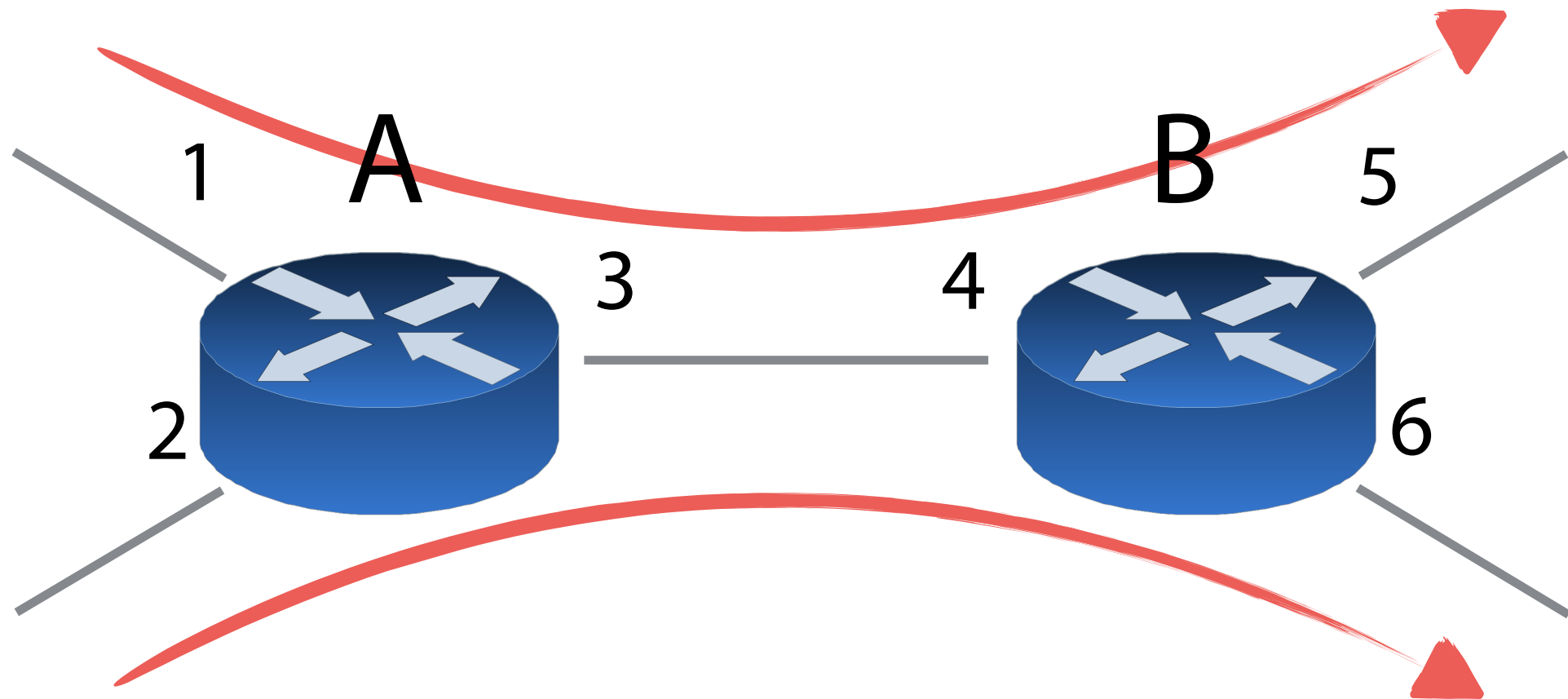
Local Program



```
port=1; tag:=1; port:=3  
+  
port=2; tag:=2; port:=3
```

???

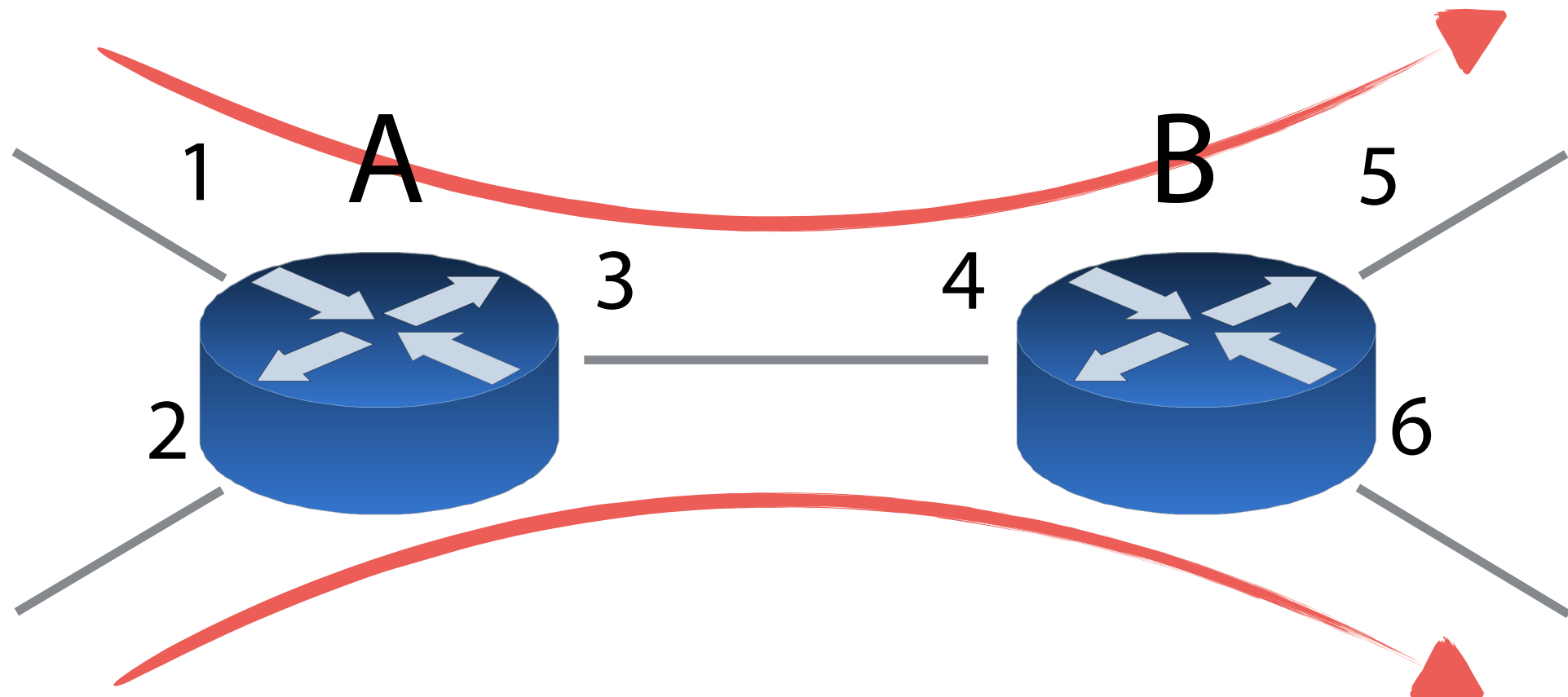
Local Program



```
port=1; tag:=1; port:=3  
+  
port=2; tag:=2; port:=3
```

```
tag=1; port:=5  
+  
tag=2; port:=6
```

Local Program

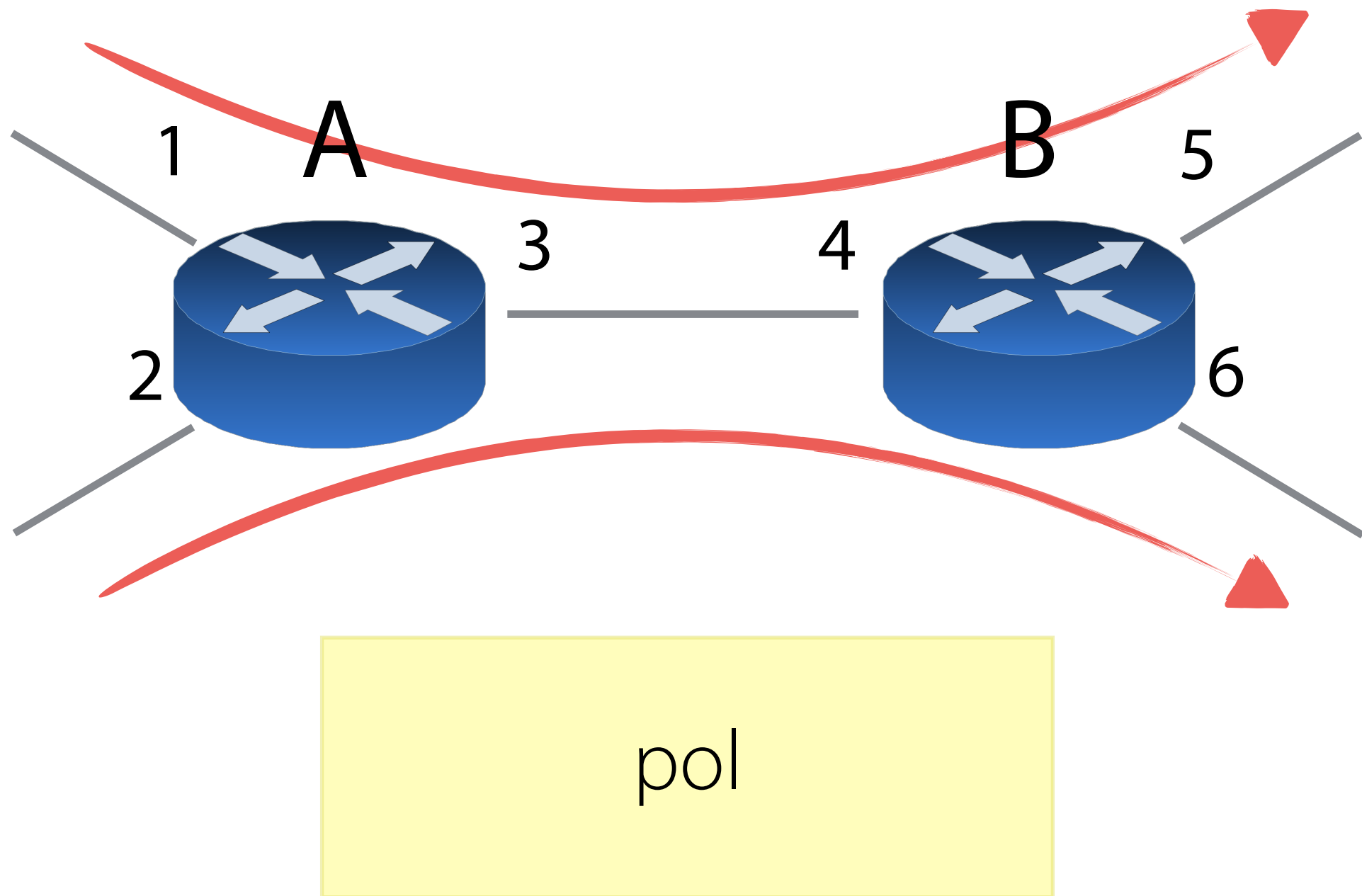


```
port=1; tag:=1; port:=3  
+  
port=2; tag:=2; port:=3
```

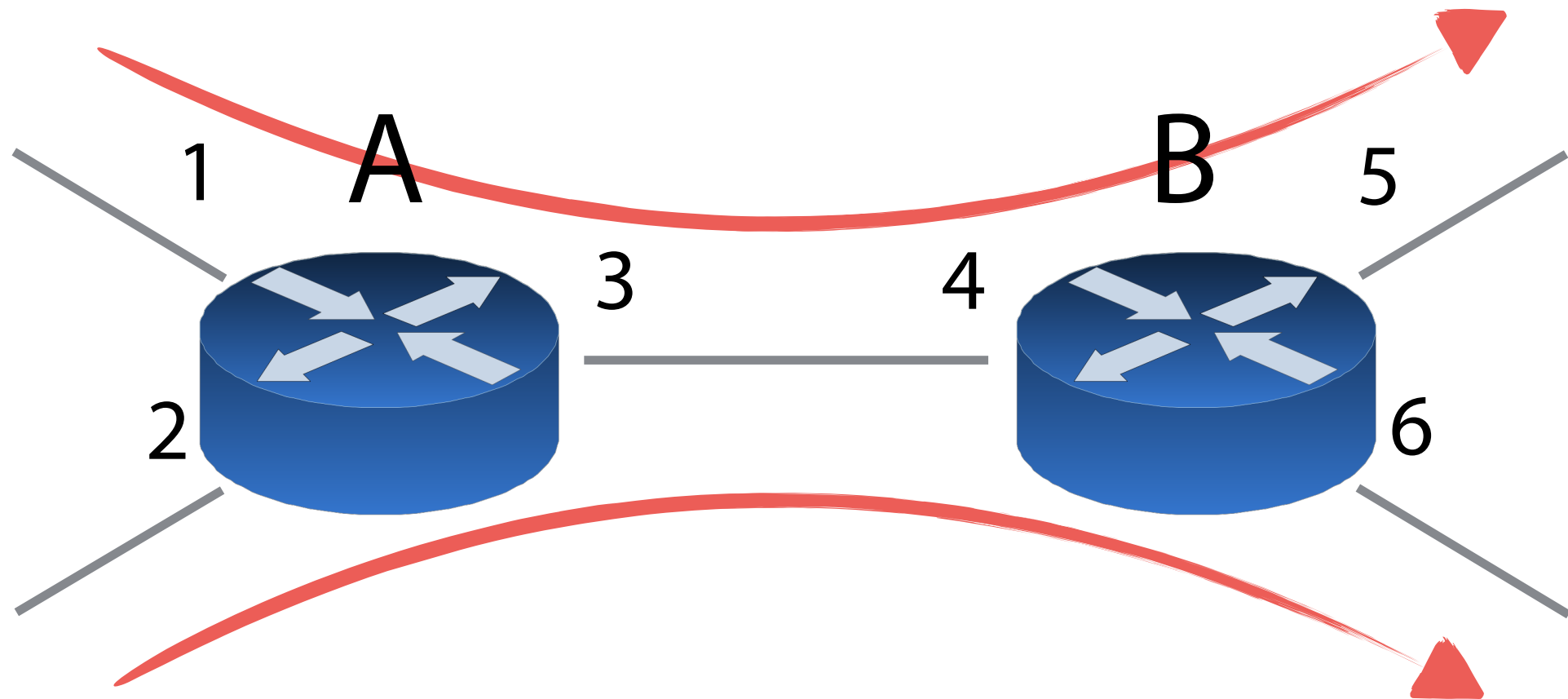
```
tag=1; port:=5  
+  
tag=2; port:=6
```

tedious for programmers... difficult to get right!

Global Program

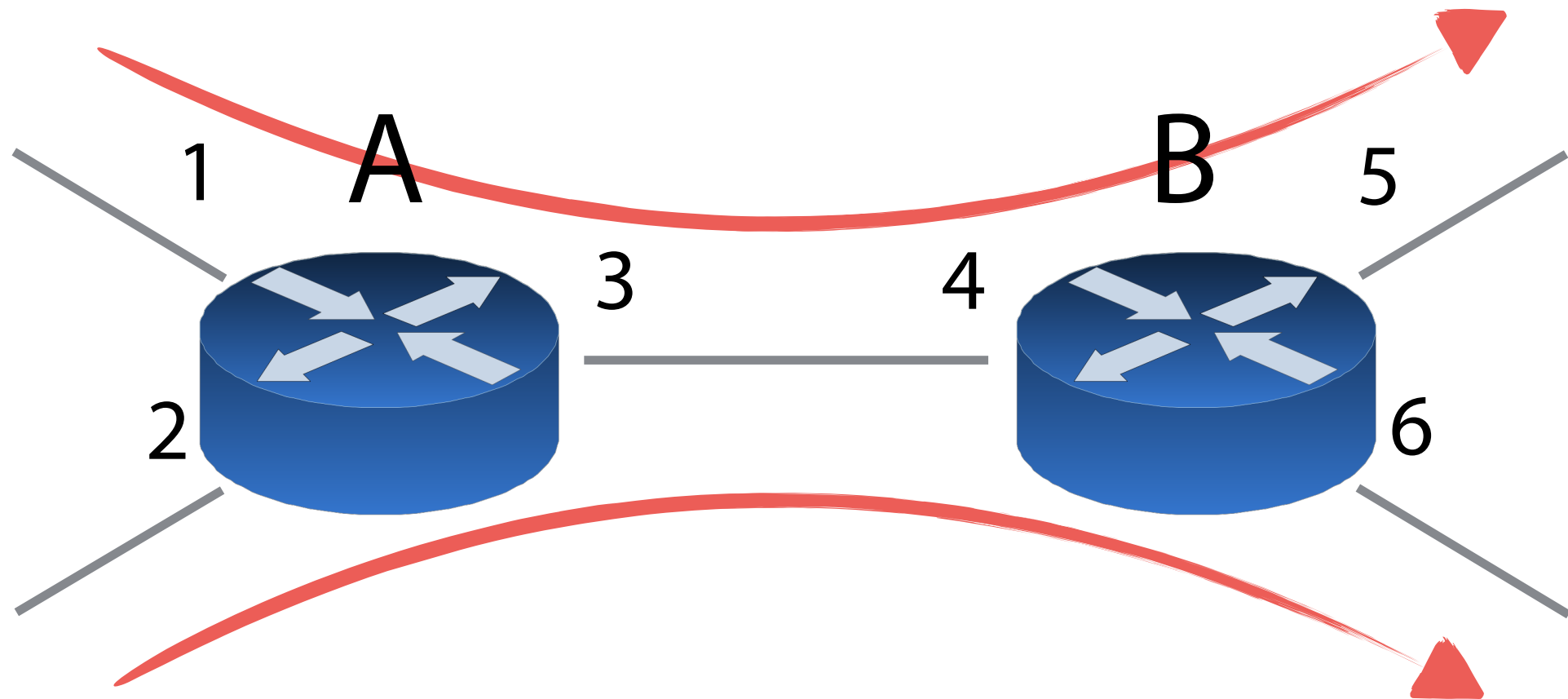


Global Program



port=1; **A→B**; port:=5
+
port=2; **A→B**; port:=6

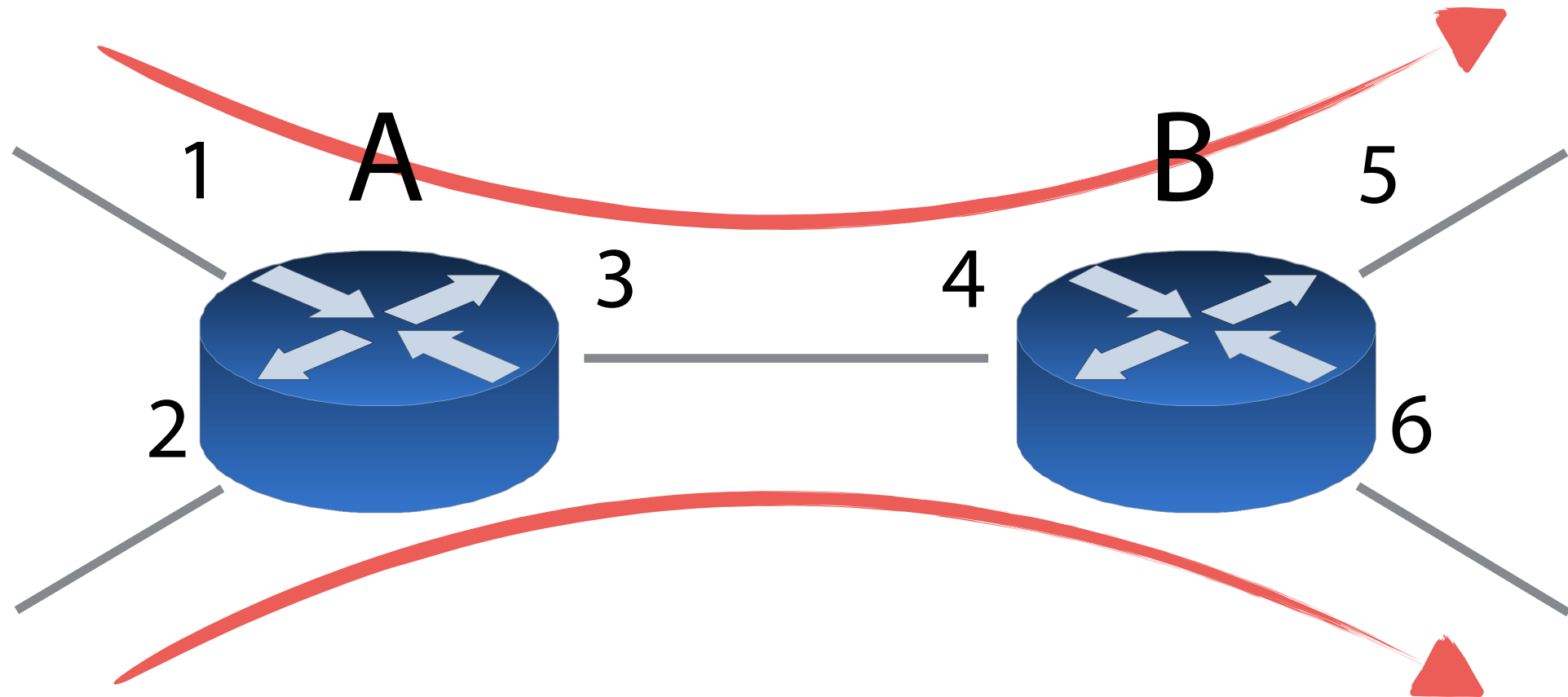
Global Program



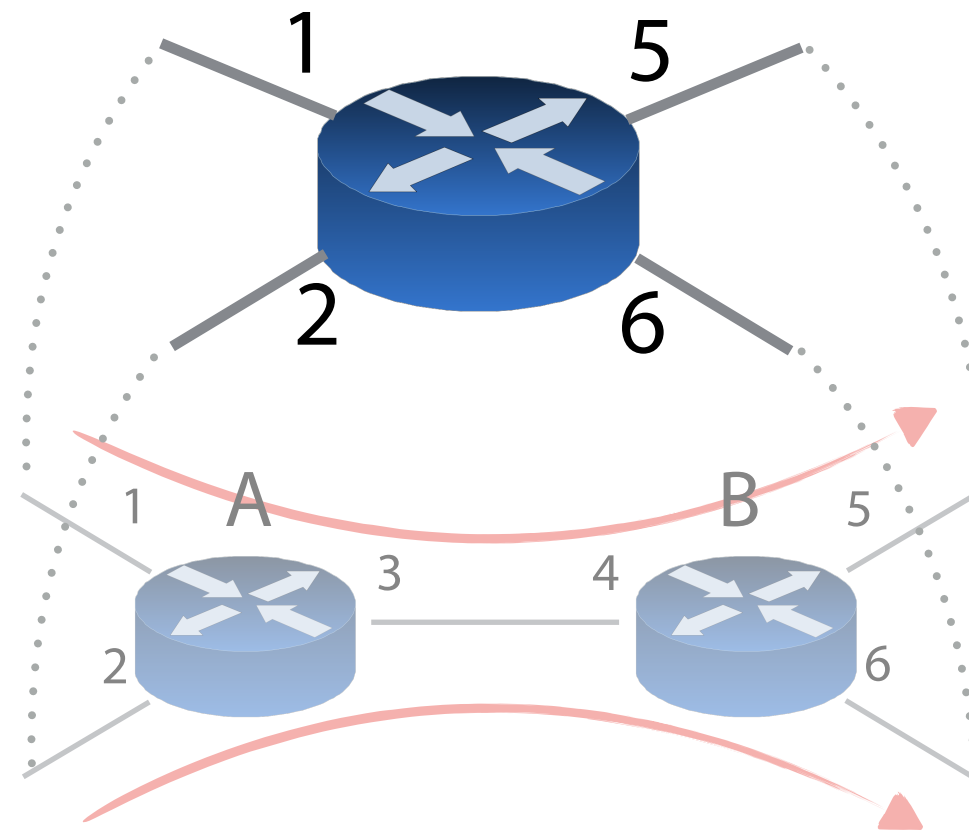
```
port=1; A→B; port:=5  
+  
port=2; A→B; port:=6
```

simple and elegant!

Virtual Program

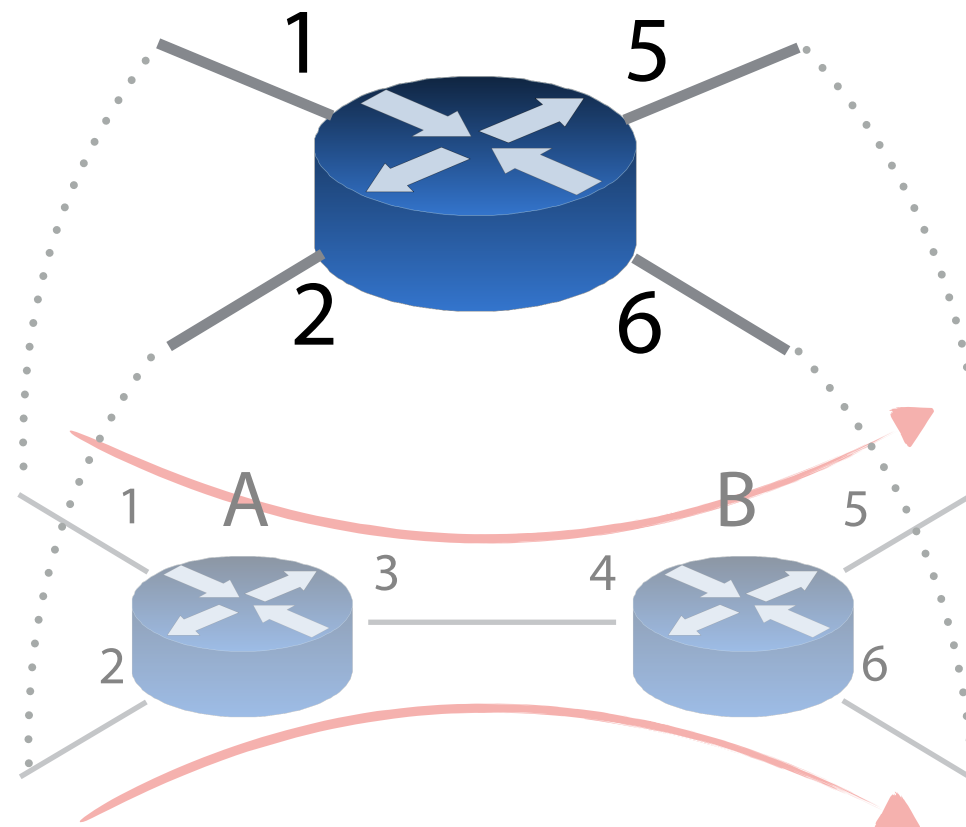


Virtual Program



virtual "big switch"

Virtual Program



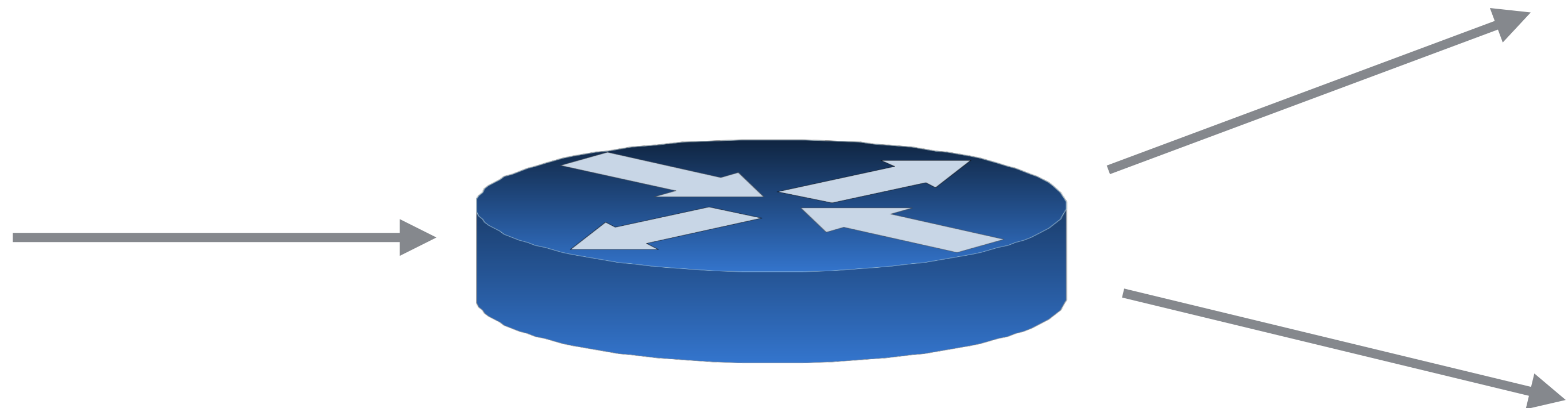
virtual "big switch"

```
port=1; port:=5  
+  
port=2; port:=6
```

even simpler!

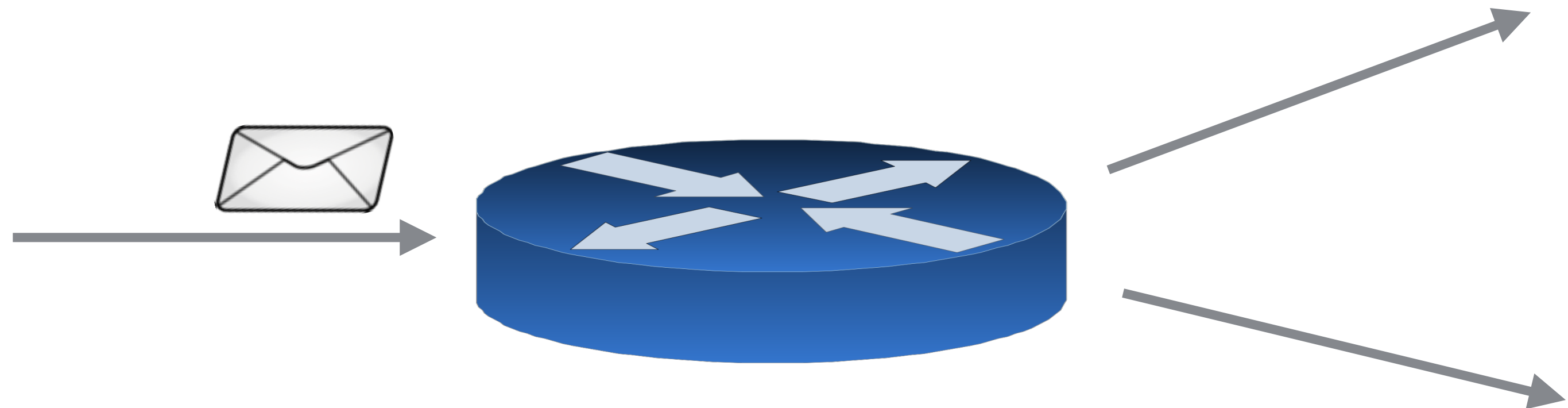
A Functional Forwarding Model

Model



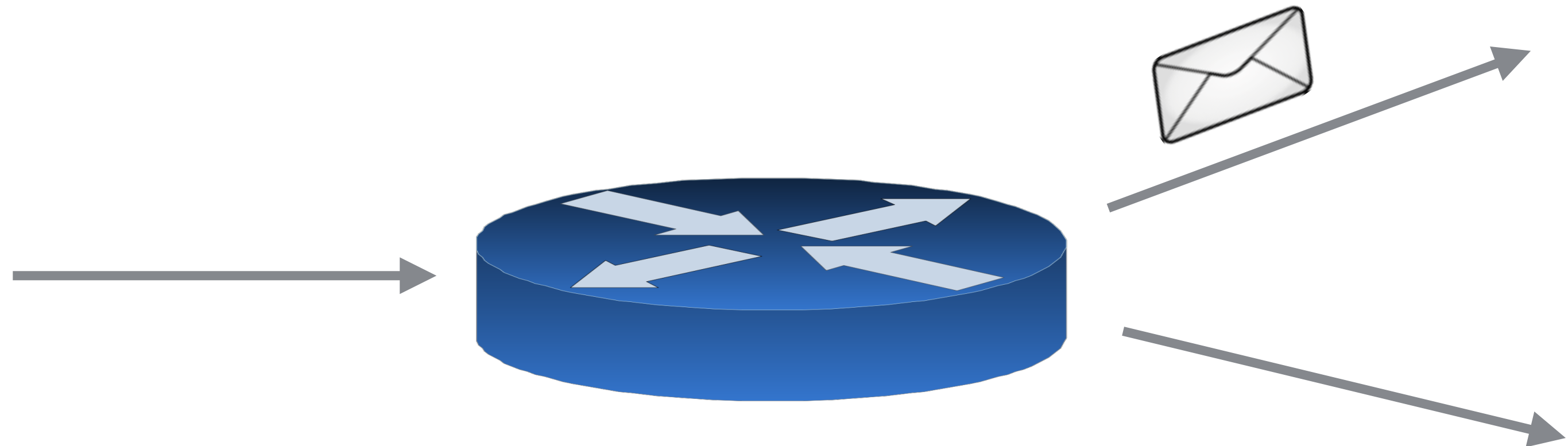
Packets → Packets

Model



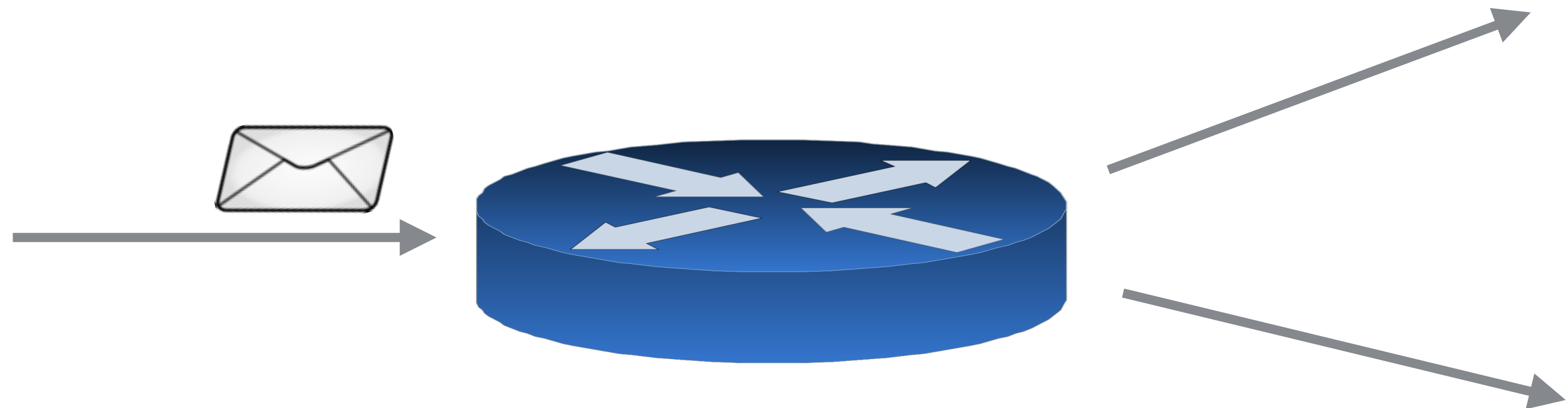
Packets → Packets

Model



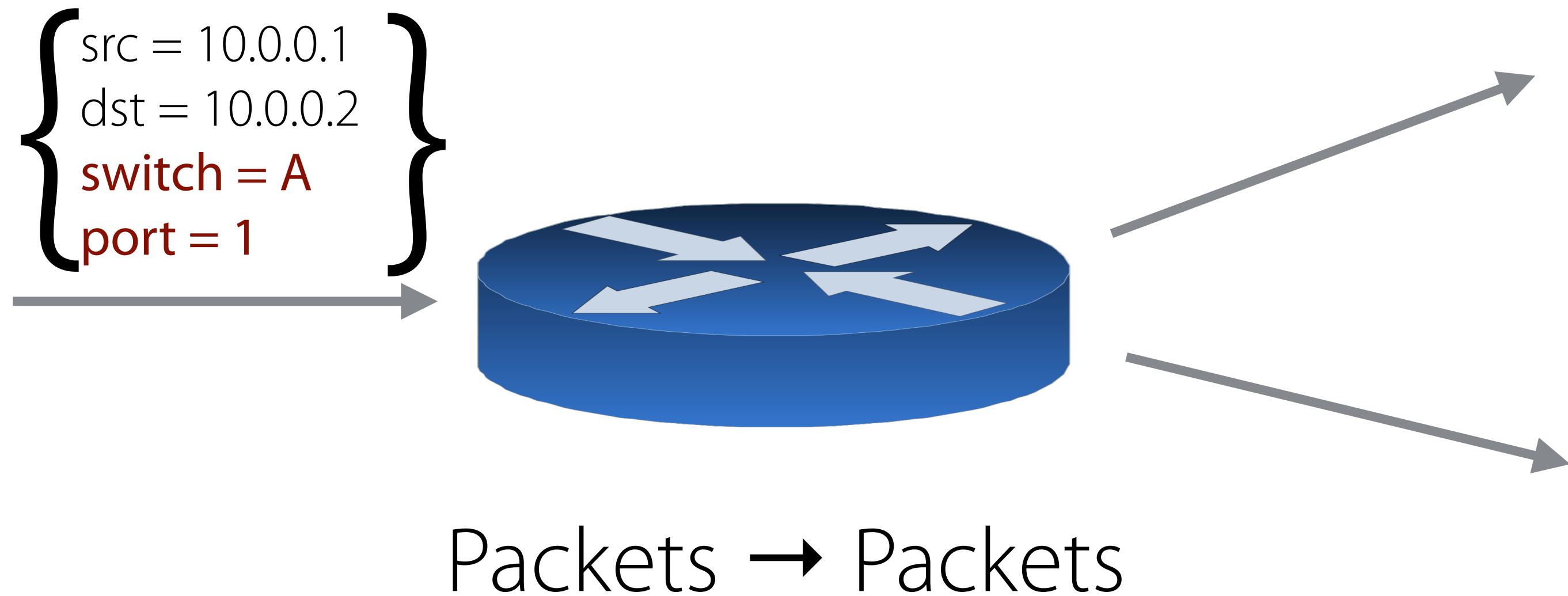
Packets → Packets

Model



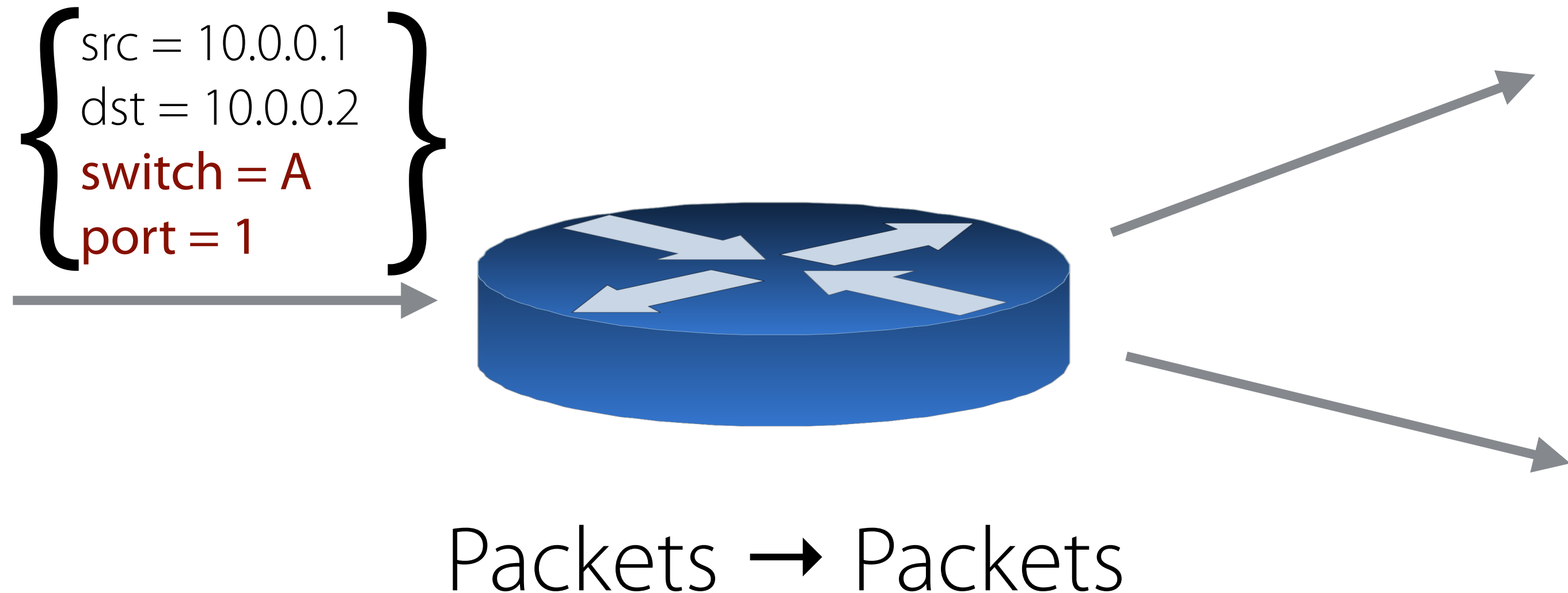
Packets → Packets

Model



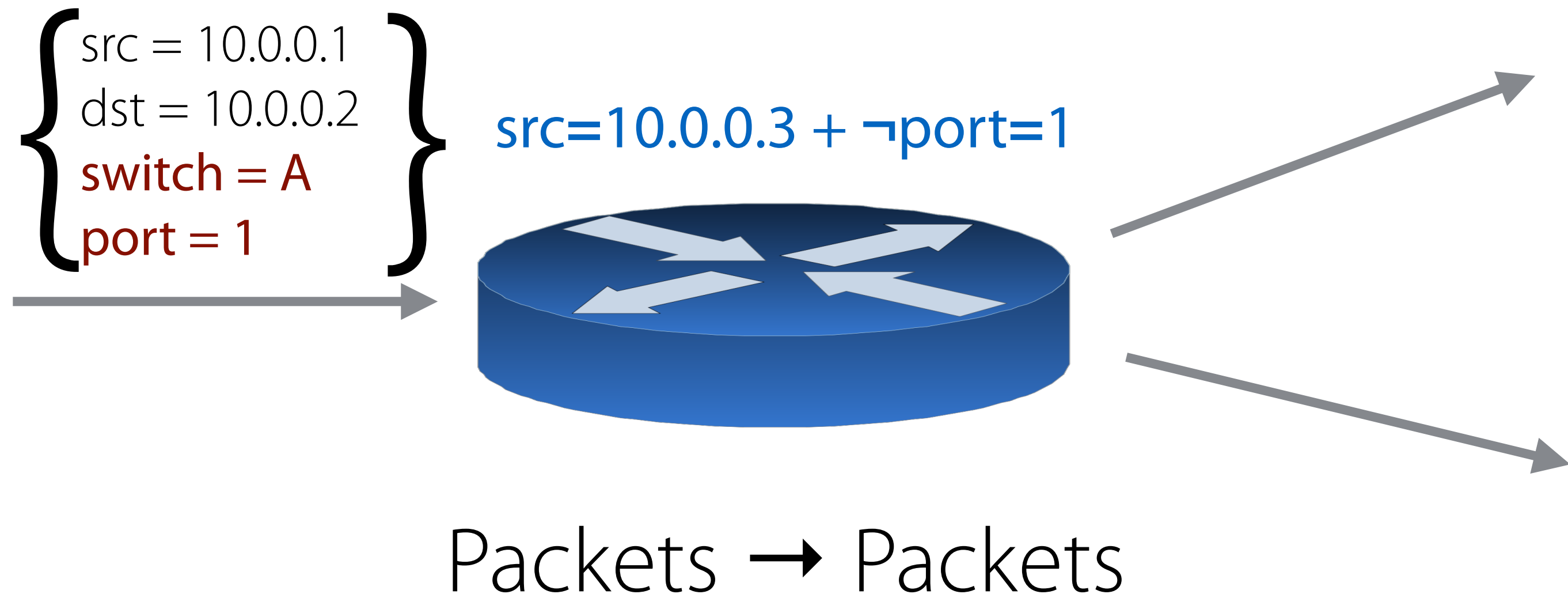
Packet Manipulation

- packet filtering
- packet modification



Packet Manipulation

- **packet filtering**
- packet modification

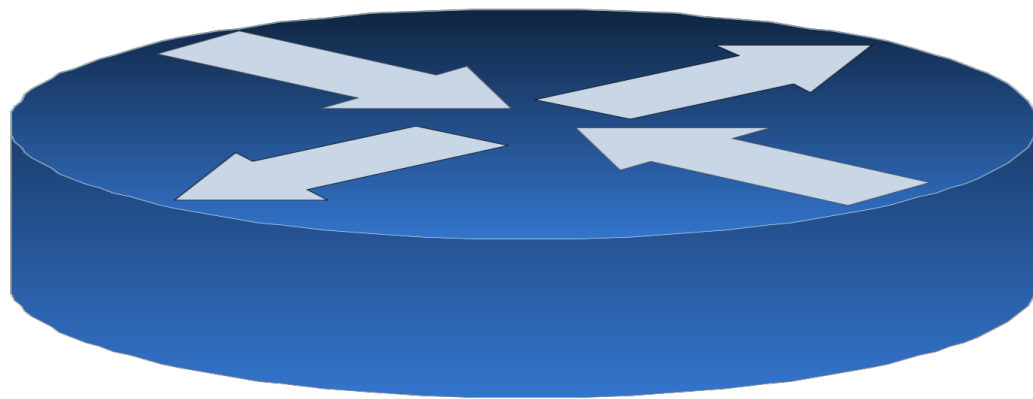


Packet Manipulation

- **packet filtering**
- packet modification

$\left\{ \begin{array}{l} \text{src} = 10.0.0.1 \\ \text{dst} = 10.0.0.2 \\ \text{switch} = A \\ \text{port} = 1 \end{array} \right\}$

$\text{src}=10.0.0.3 + \neg\text{port}=1$

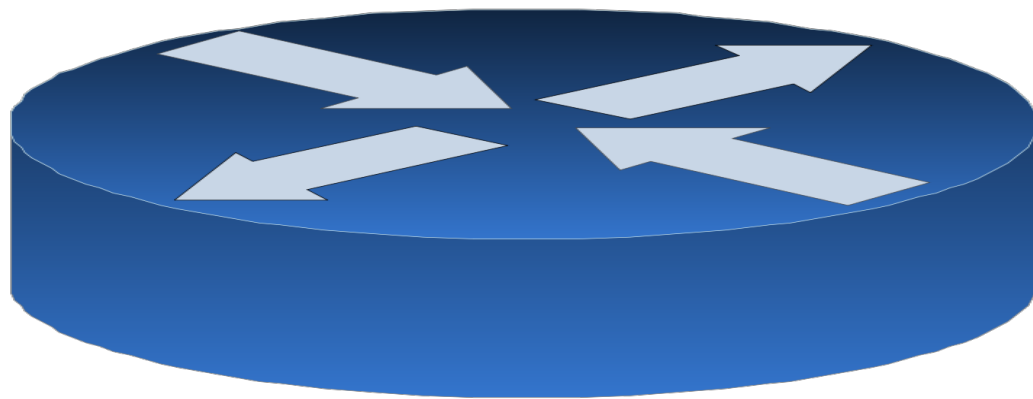


Packets → Packets

Packet Manipulation

- **packet filtering**
- packet modification

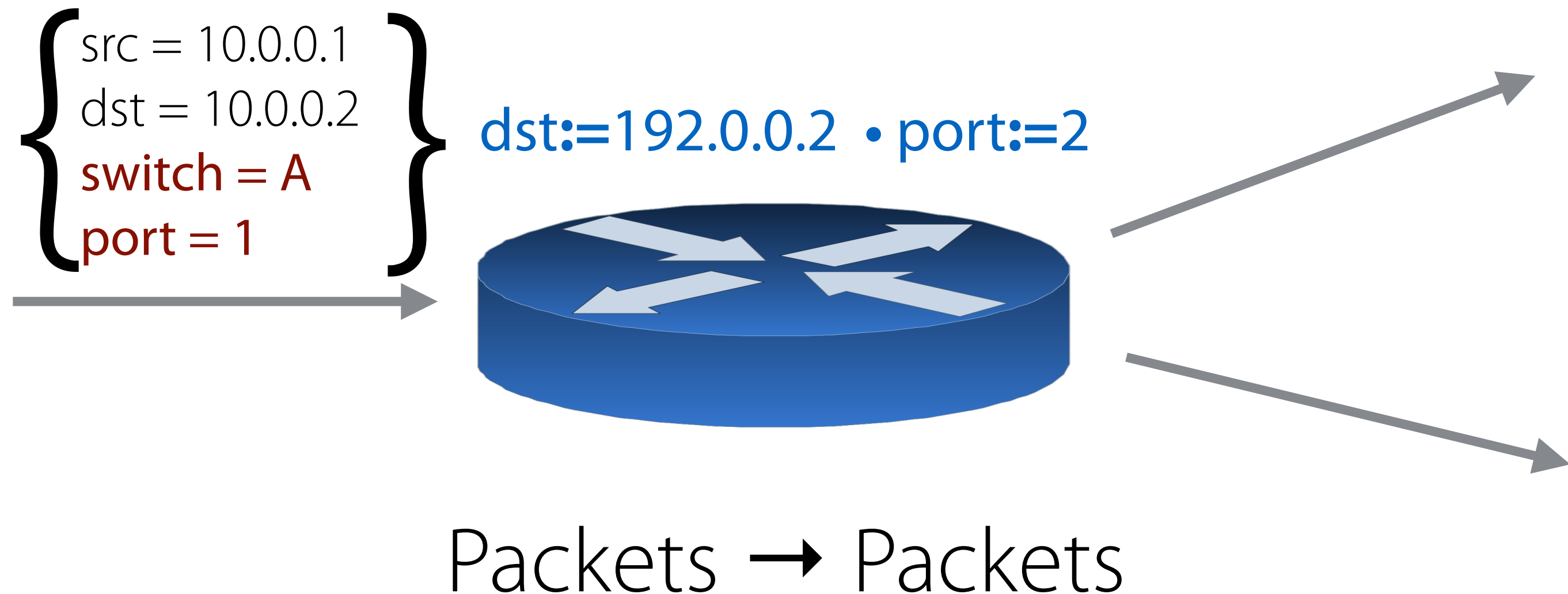
$\text{src}=10.0.0.3 + \neg \text{port}=1$



Packets → Packets

Packet Manipulation

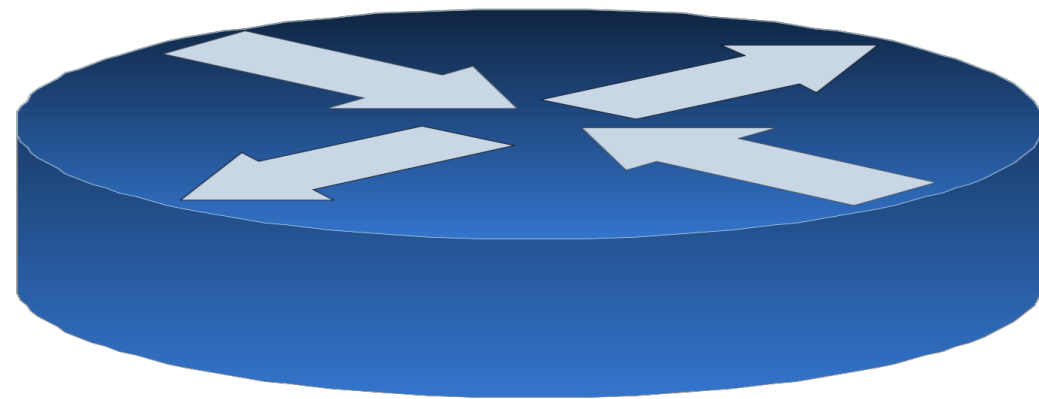
- packet filtering
- **packet modification**



Packet Manipulation

- packet filtering
- **packet modification**

dst:=192.0.0.2 • port:=2



src = 10.0.0.1
dst = 192.0.0.2
switch = A
port = 2

Packets → Packets

Topology



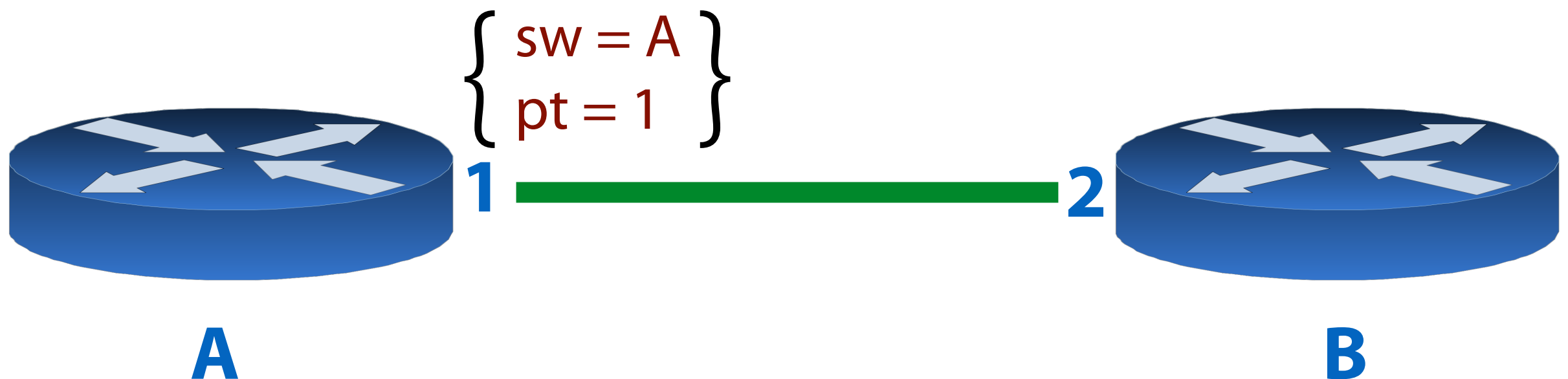
Topology

switch=A • pt=1 • switch:=B • pt:=2



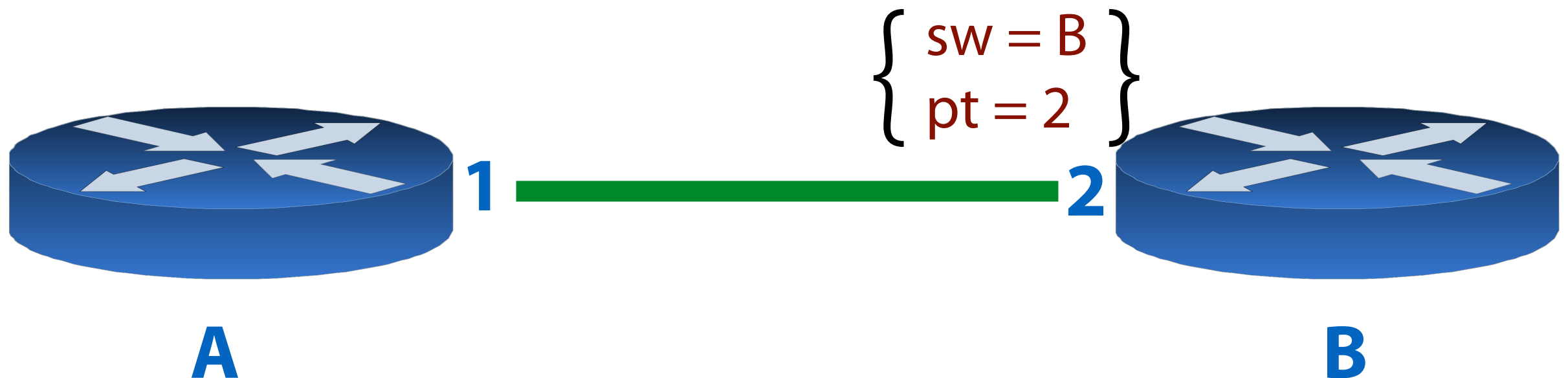
Topology

switch=A • pt=1 • switch:=B • pt:=2



Topology

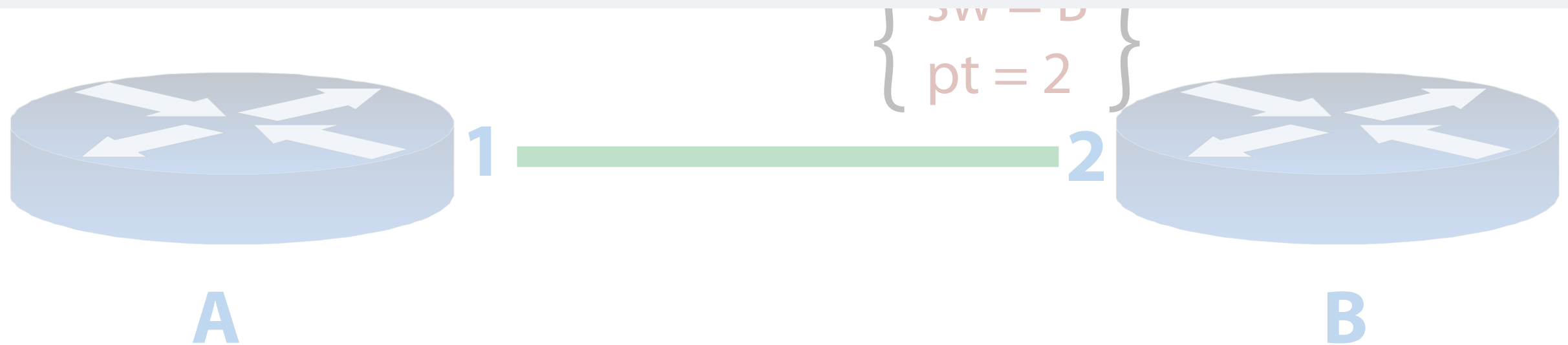
switch=A • pt=1 • switch:=B • pt:=2



Topology

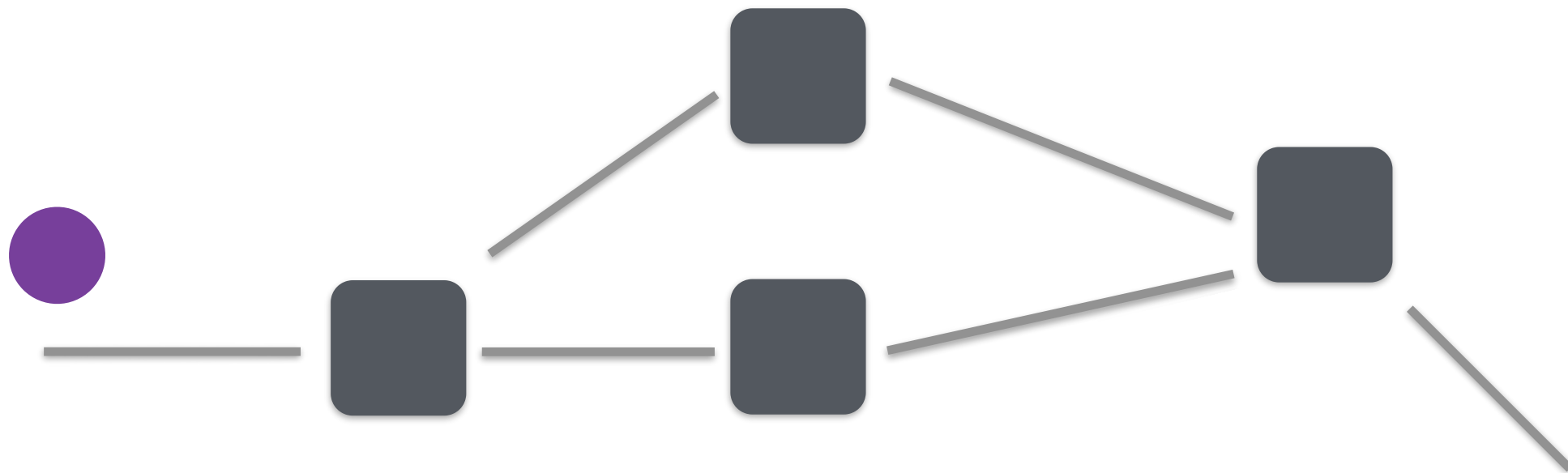
switch=A • pt=1 • switch:=B • pt:=2

A→B



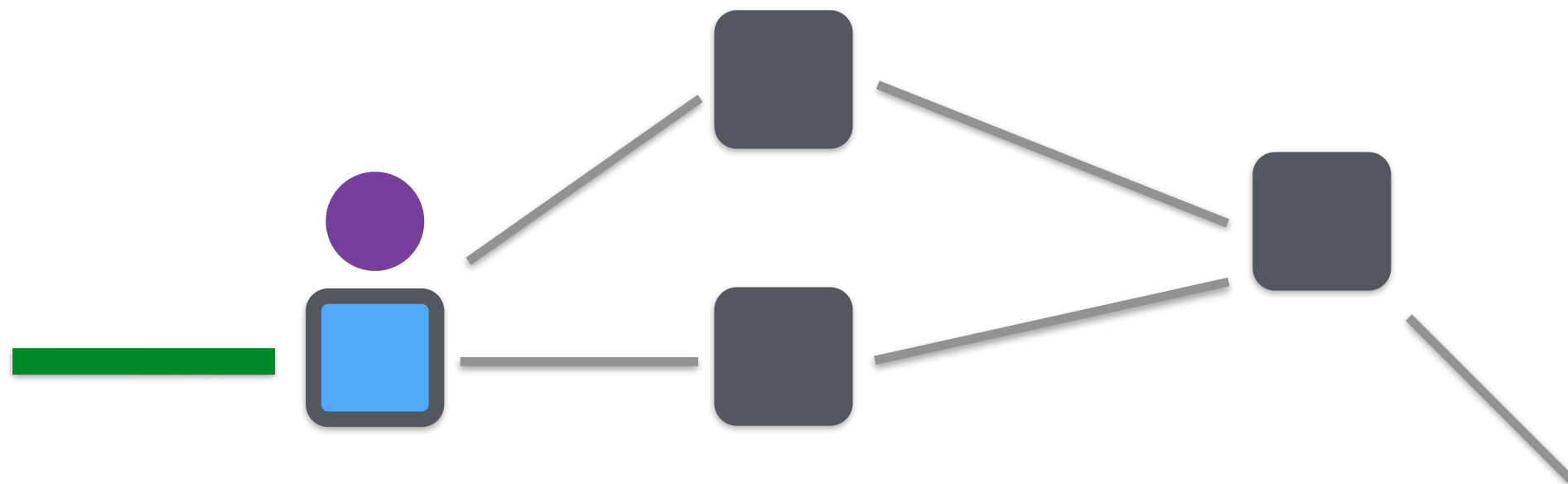
Iteration

(topology • switch)*



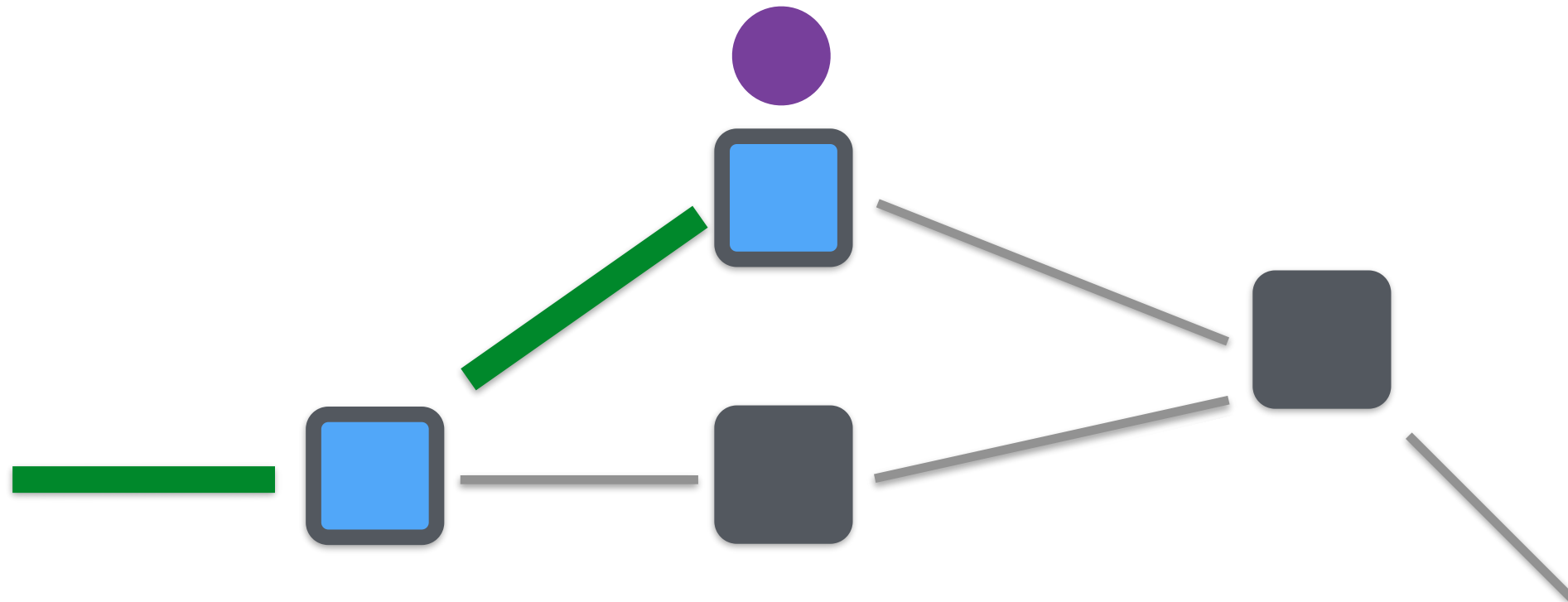
Iteration

(topology • switch)*



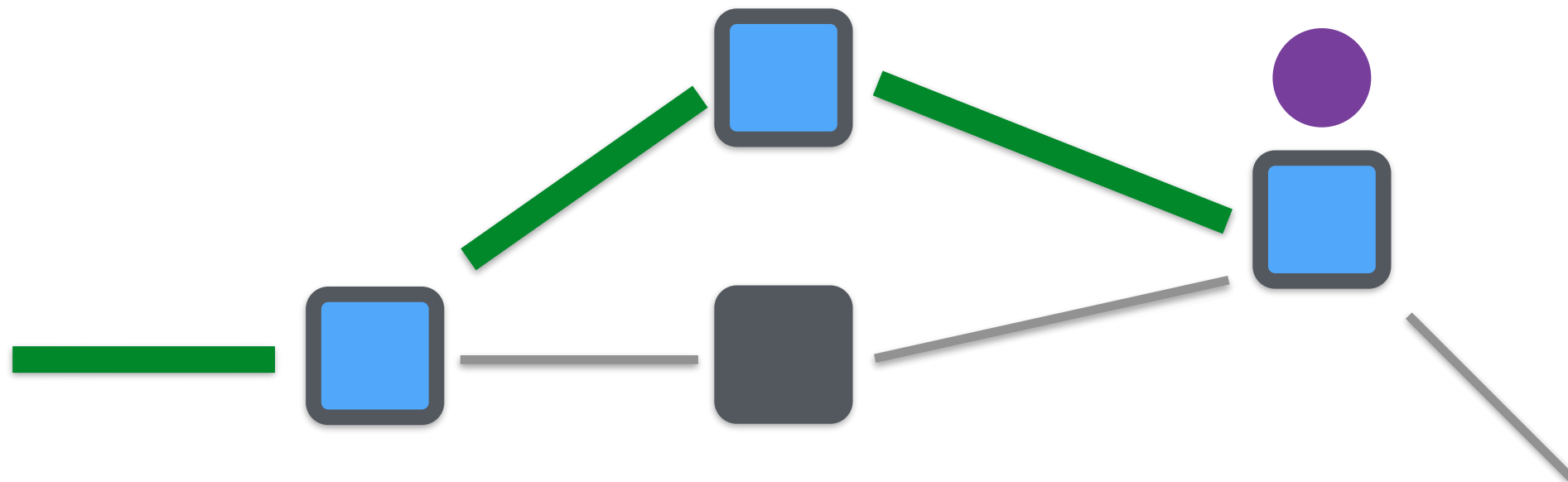
Iteration

(topology • switch)*



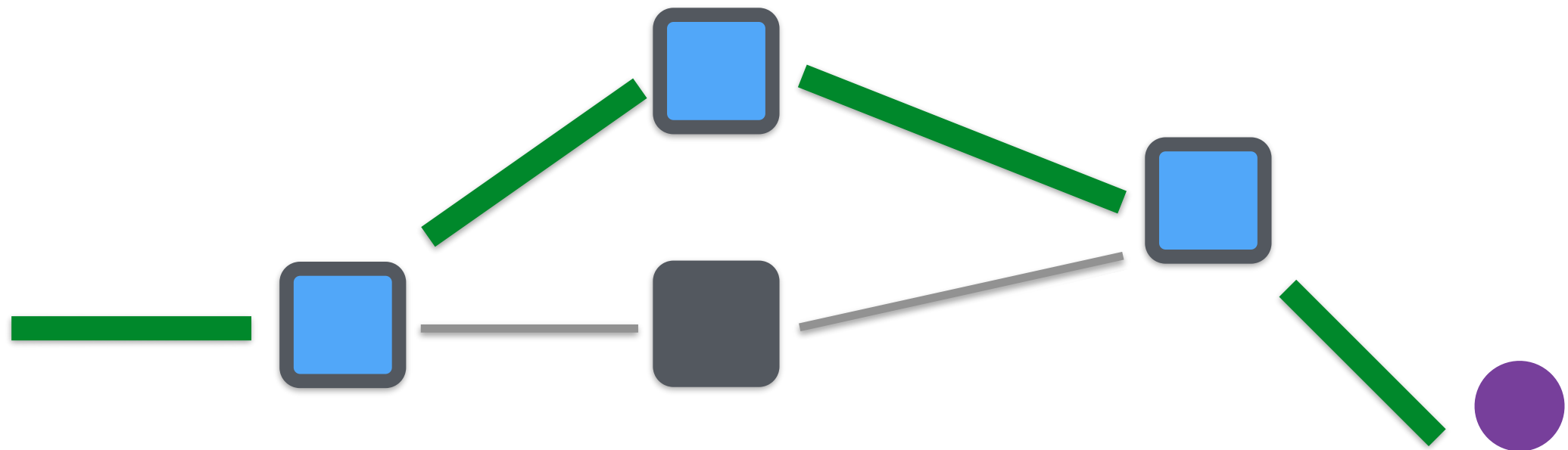
Iteration

(topology • switch)*



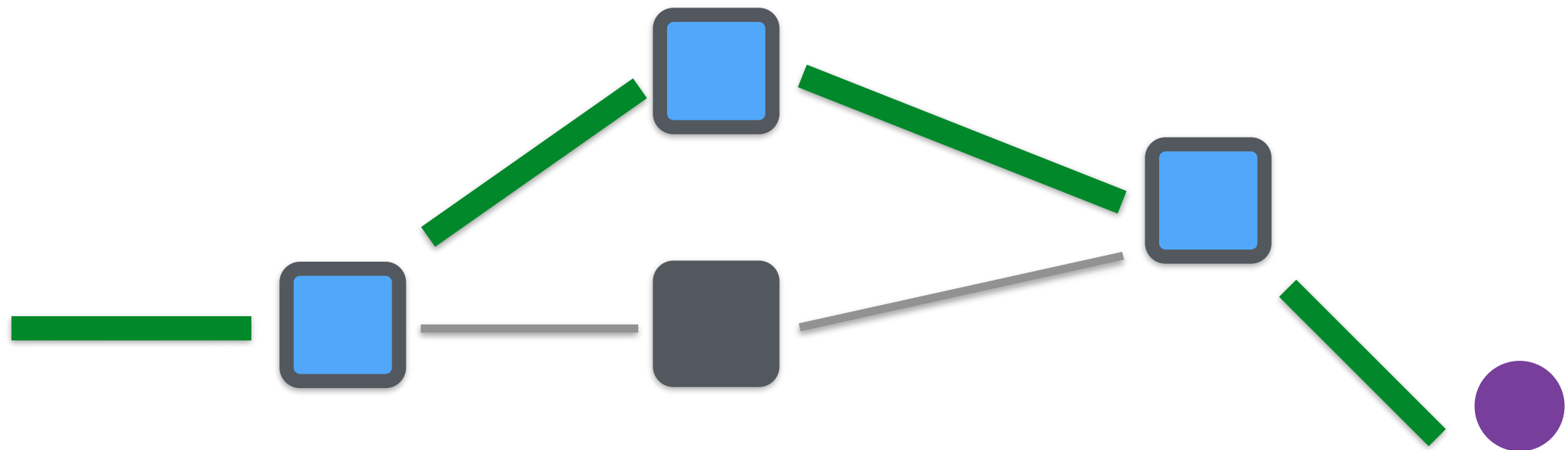
Iteration

(topology • switch)*



Iteration

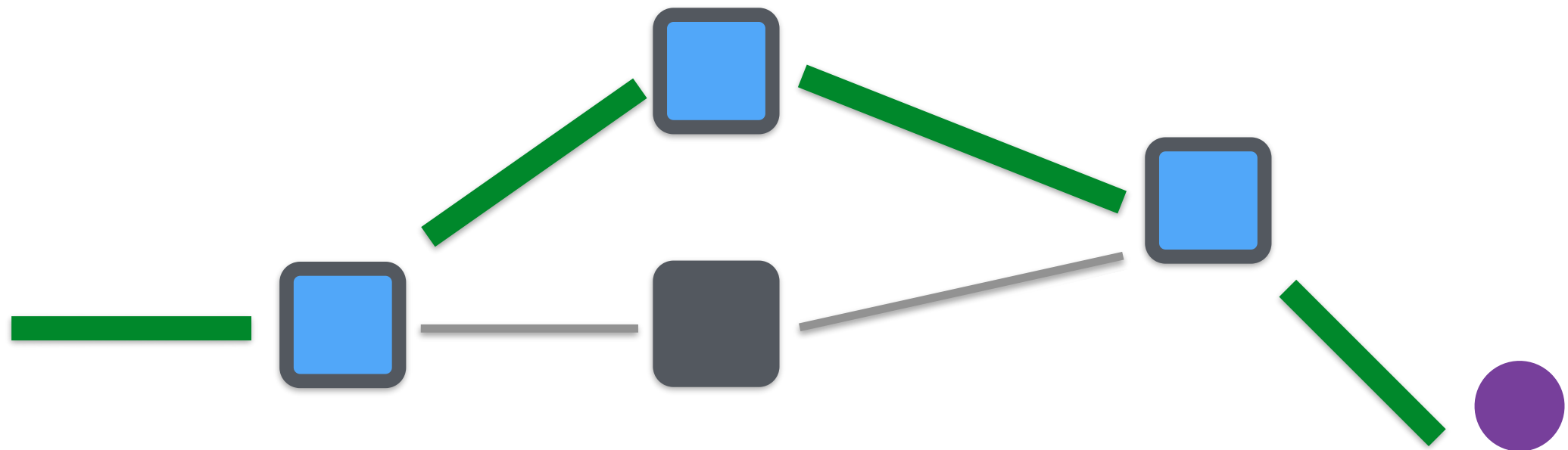
(topology • switch)*



Packets → Packets

Iteration

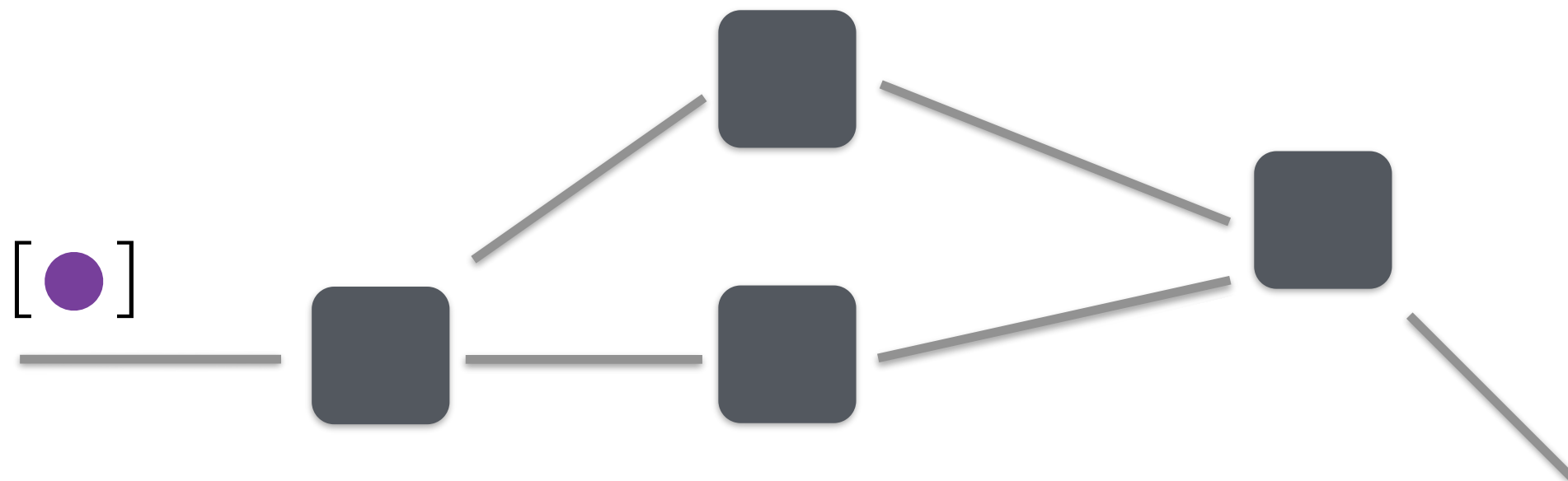
(topology • switch)*



~~Packets → Packets~~

Iteration

(**topology** • **switch**)^{*}

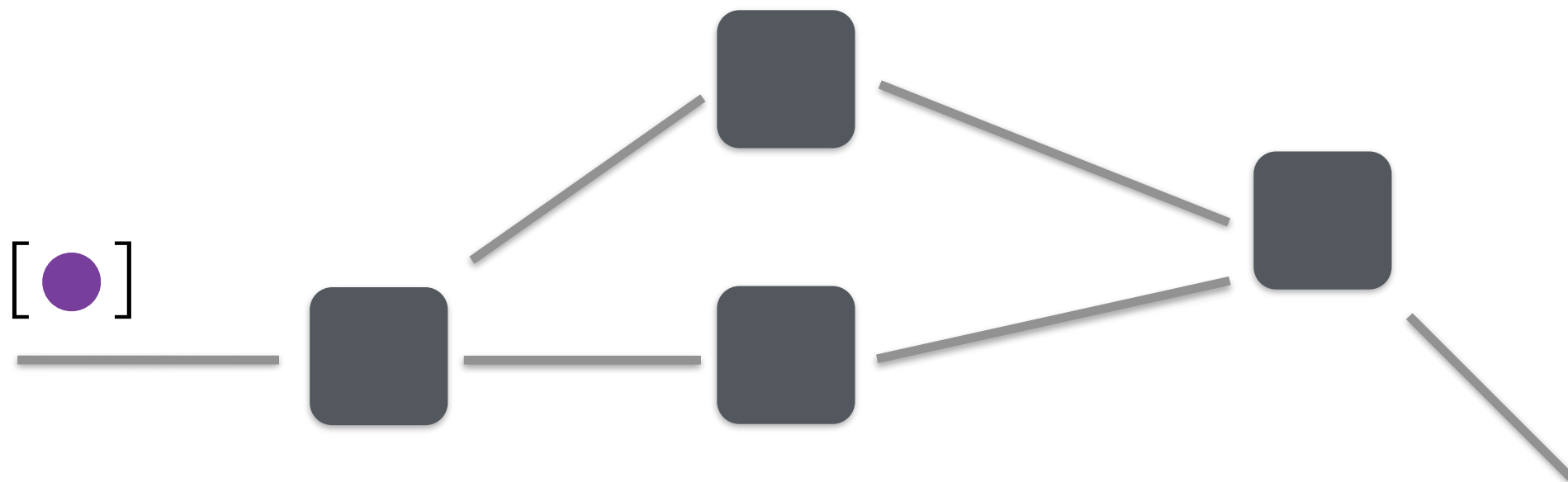


Packets → Packets

Histories → Histories

Iteration

(topology • switch)*

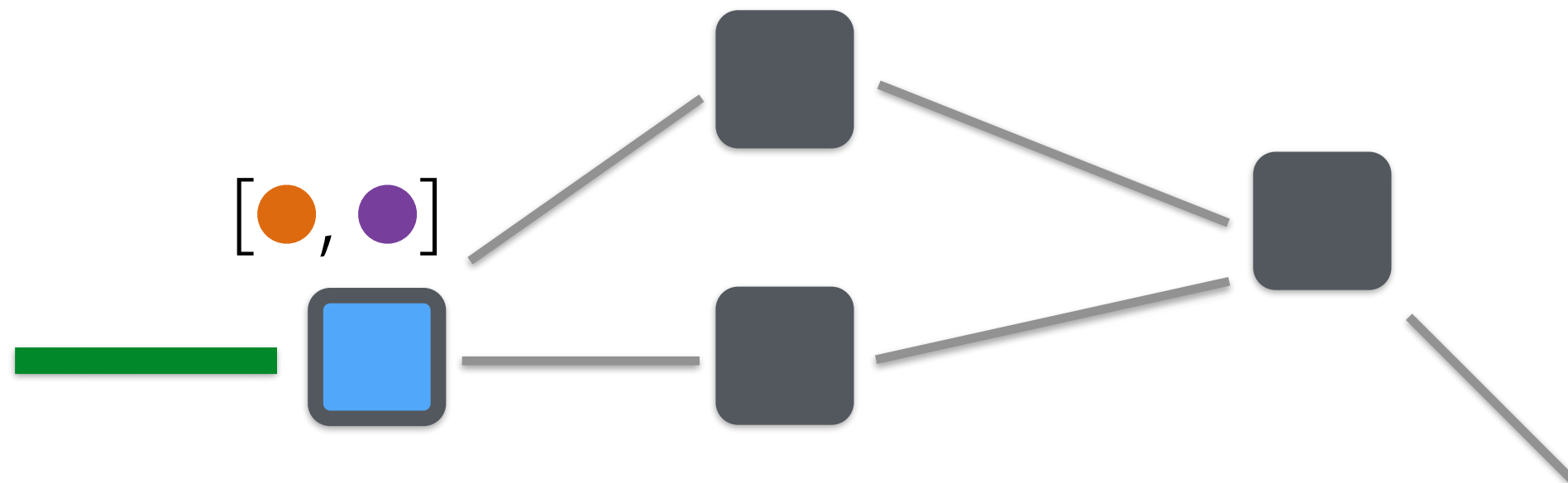


~~Packets → Packets~~

Histories → Histories

Iteration

(**topology** • **switch**)^{*}

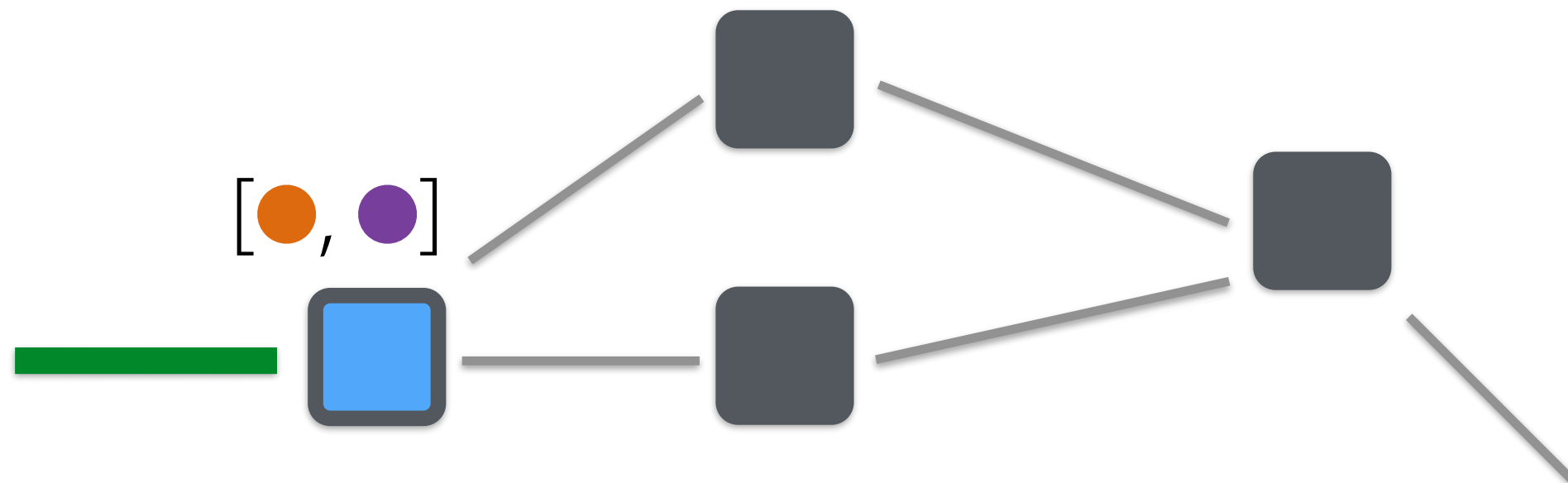


Packets → Packets

Histories → Histories

Iteration

(**topology** • **switch**)^{*}

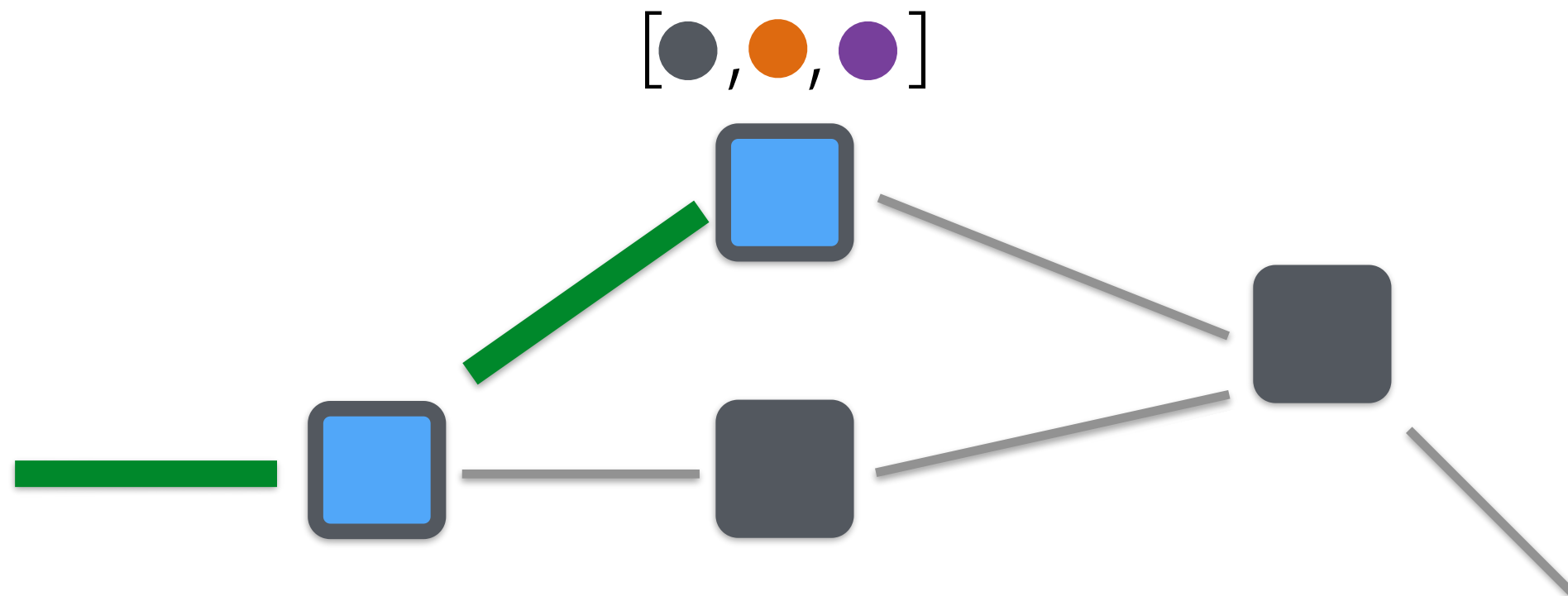


~~Packets → Packets~~

Histories → Histories

Iteration

(topology • switch)*

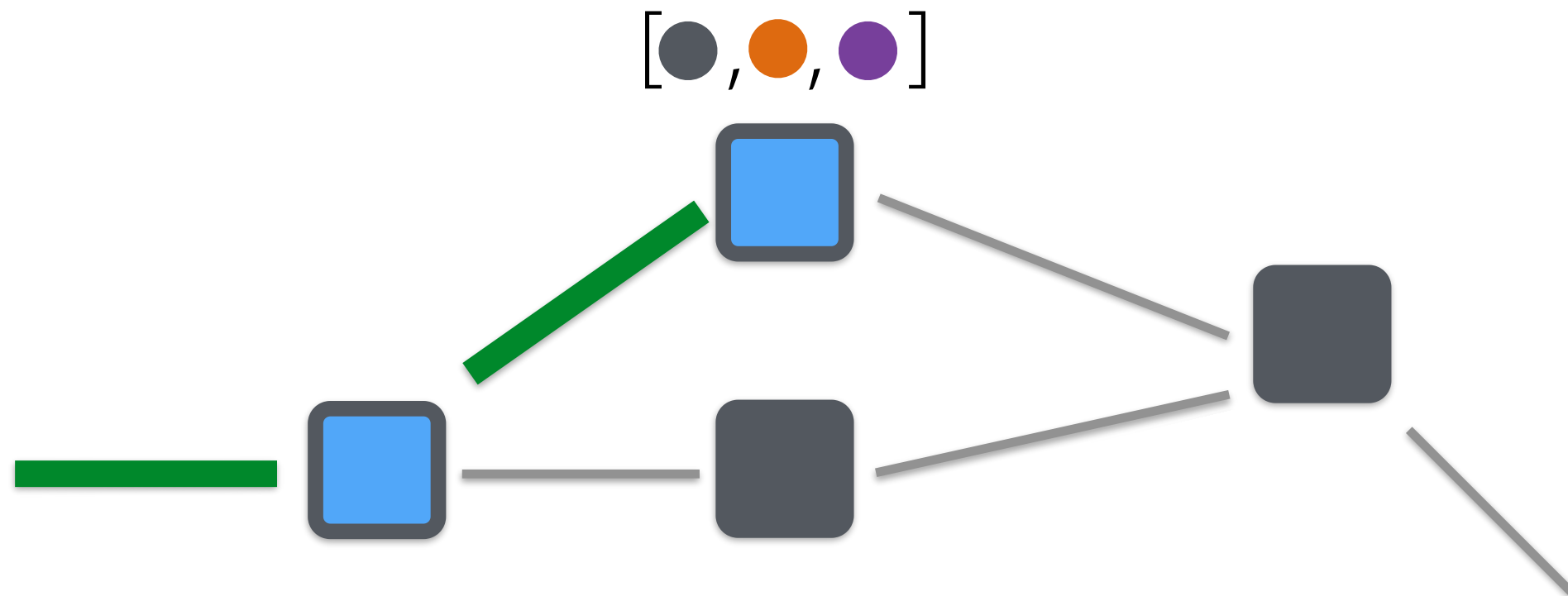


Packets → Packets

Histories → Histories

Iteration

(topology • switch)*

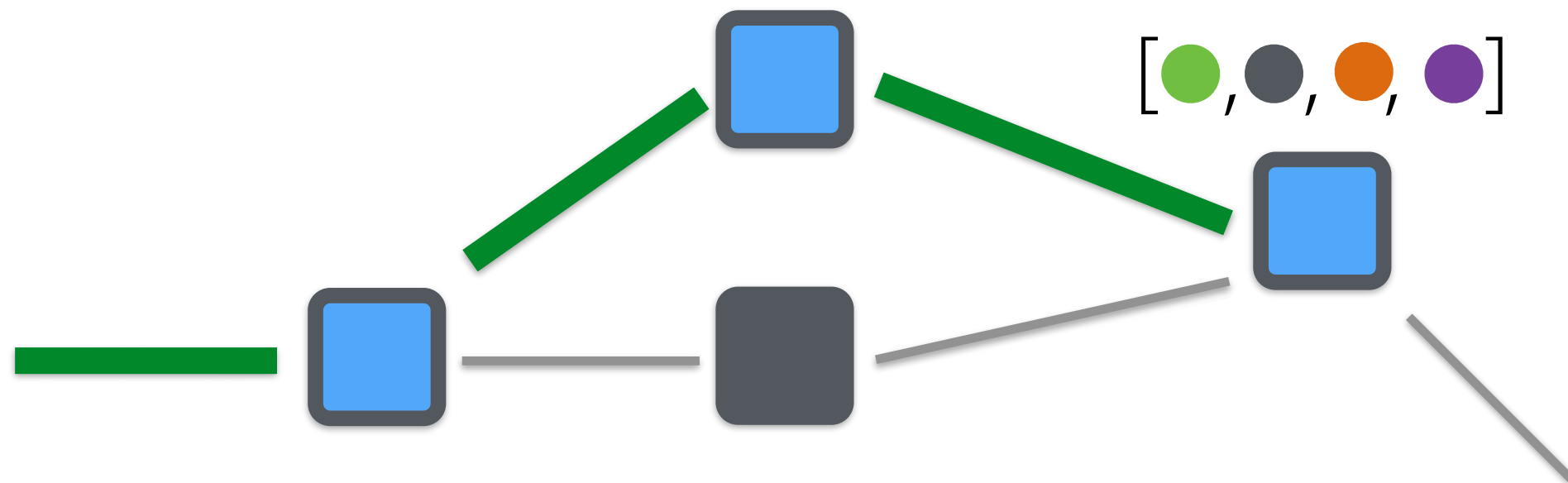


~~Packets → Packets~~

Histories → Histories

Iteration

(topology • switch)*

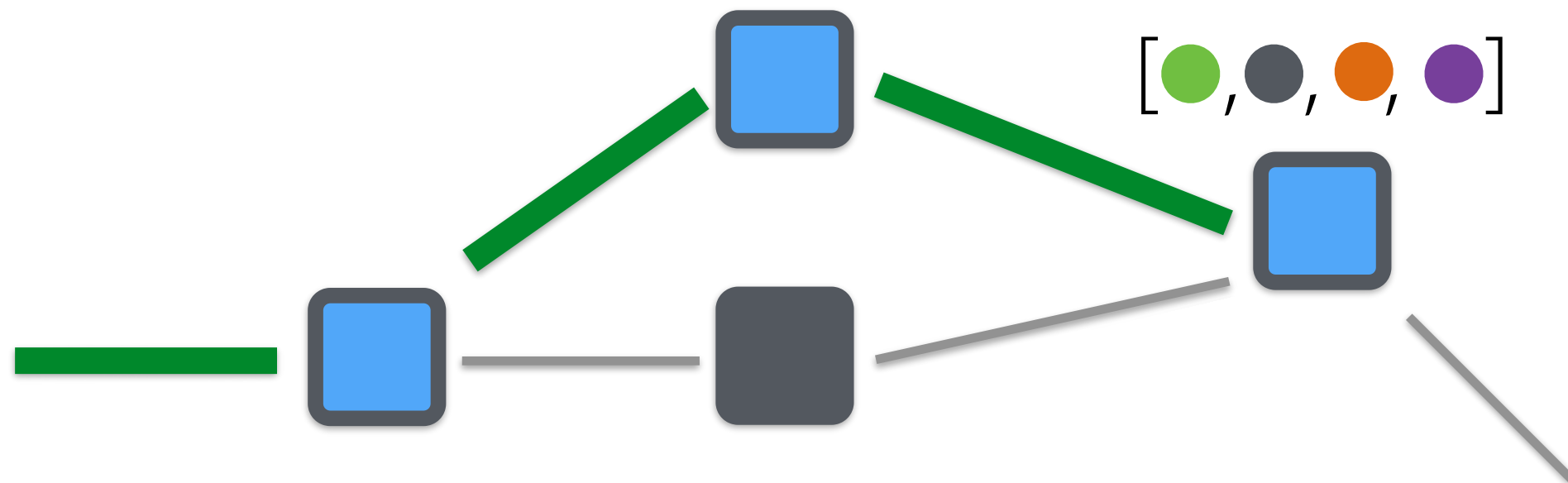


Packets → Packets

Histories → Histories

Iteration

(topology • switch)*

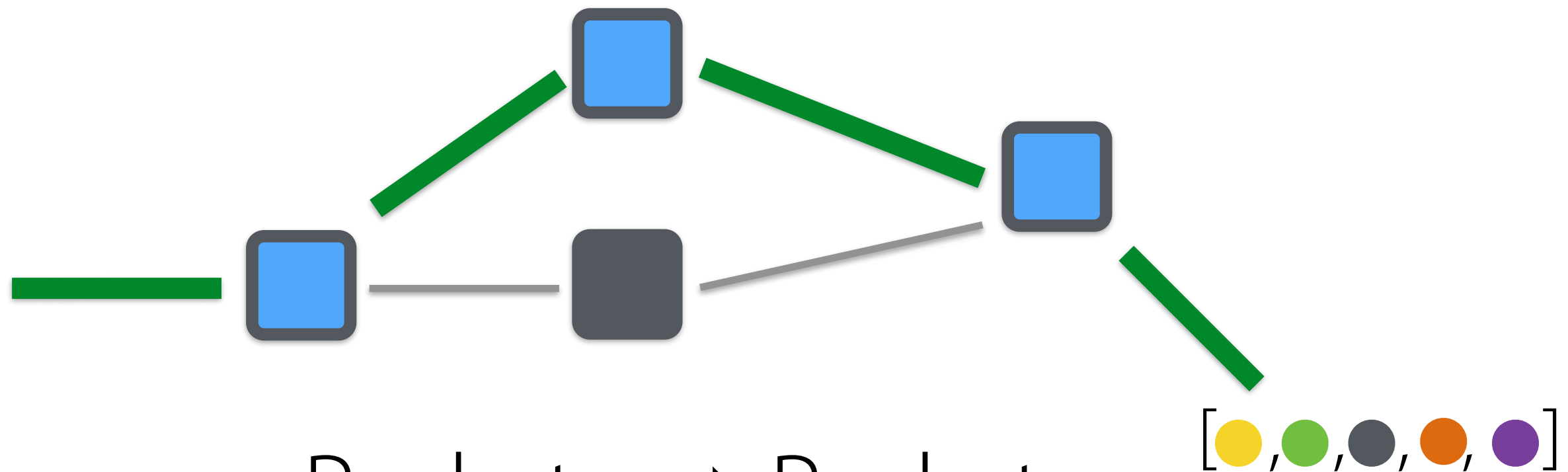


~~Packets → Packets~~

Histories → Histories

Iteration

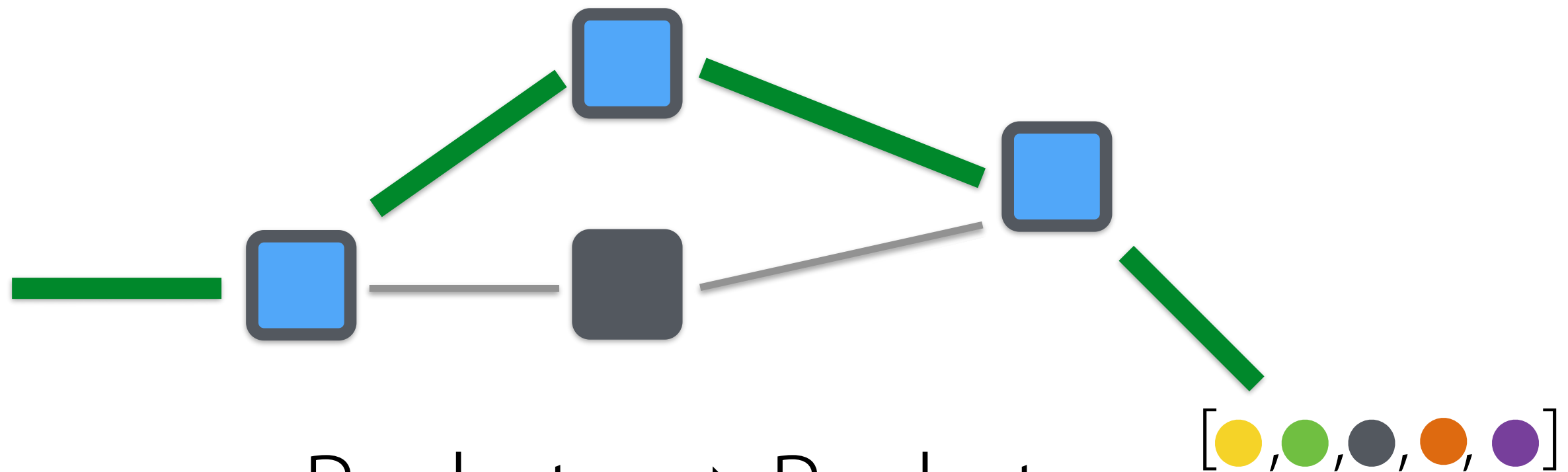
(topology • switch)*



Histories → Histories

Iteration

(topology • switch)*

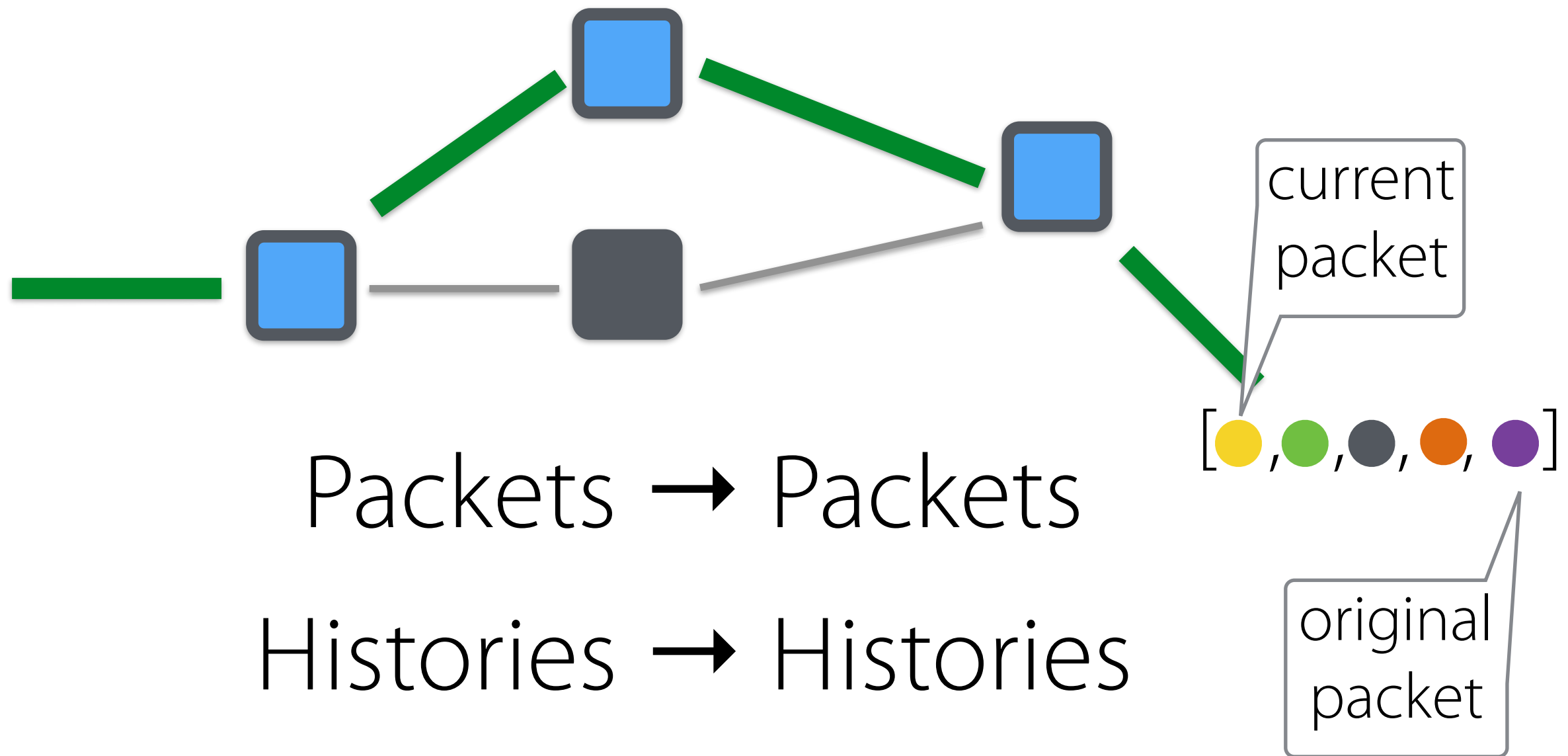


~~Packets → Packets~~

Histories → Histories

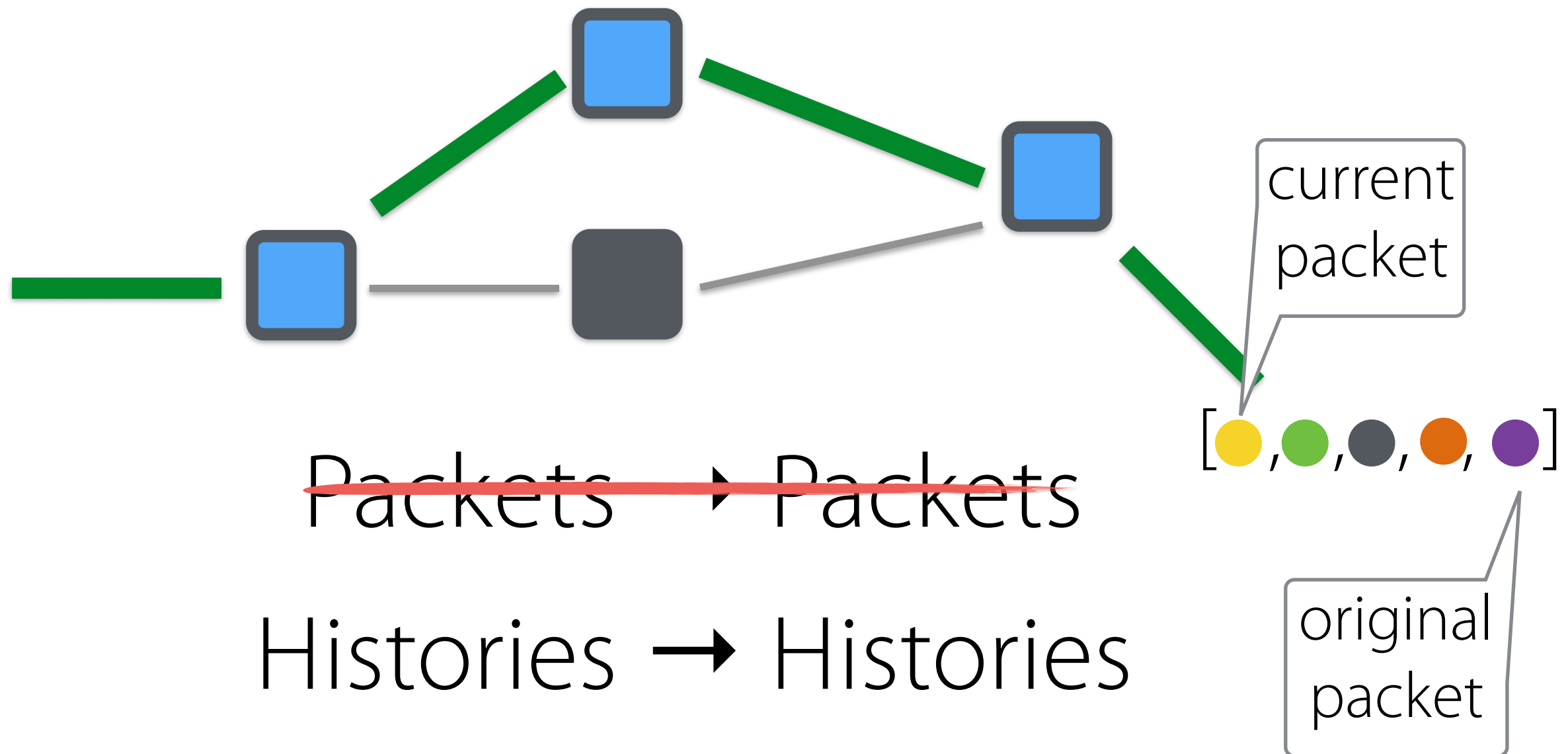
Iteration

$(\text{topology} \bullet \text{switch})^*$



Iteration

$(\text{topology} \bullet \text{switch})^*$



NetKAT Syntax

```
pol ::= false
      | true
      |  $f = n$ 
      |  $f := n$ 
      |  $pol_1 + pol_2$ 
      |  $pol_1 \bullet pol_2$ 
      |  $!pol$ 
      |  $pol^*$ 
      | dup
```

NetKAT Syntax

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pol ::= false
      | true
      |  $f = n$ 
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      | dup
```

Boolean
Predicates
+
Regular
Expressions
+
Packet
Primitives

Negation may only be applied to *Boolean predicates*:
true, **false**, $f = n$, closed under $+$, $\bullet$, and **!**

NetKAT Syntax

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Boolean
Predicates
+
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Expressions
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Packet
Primitives

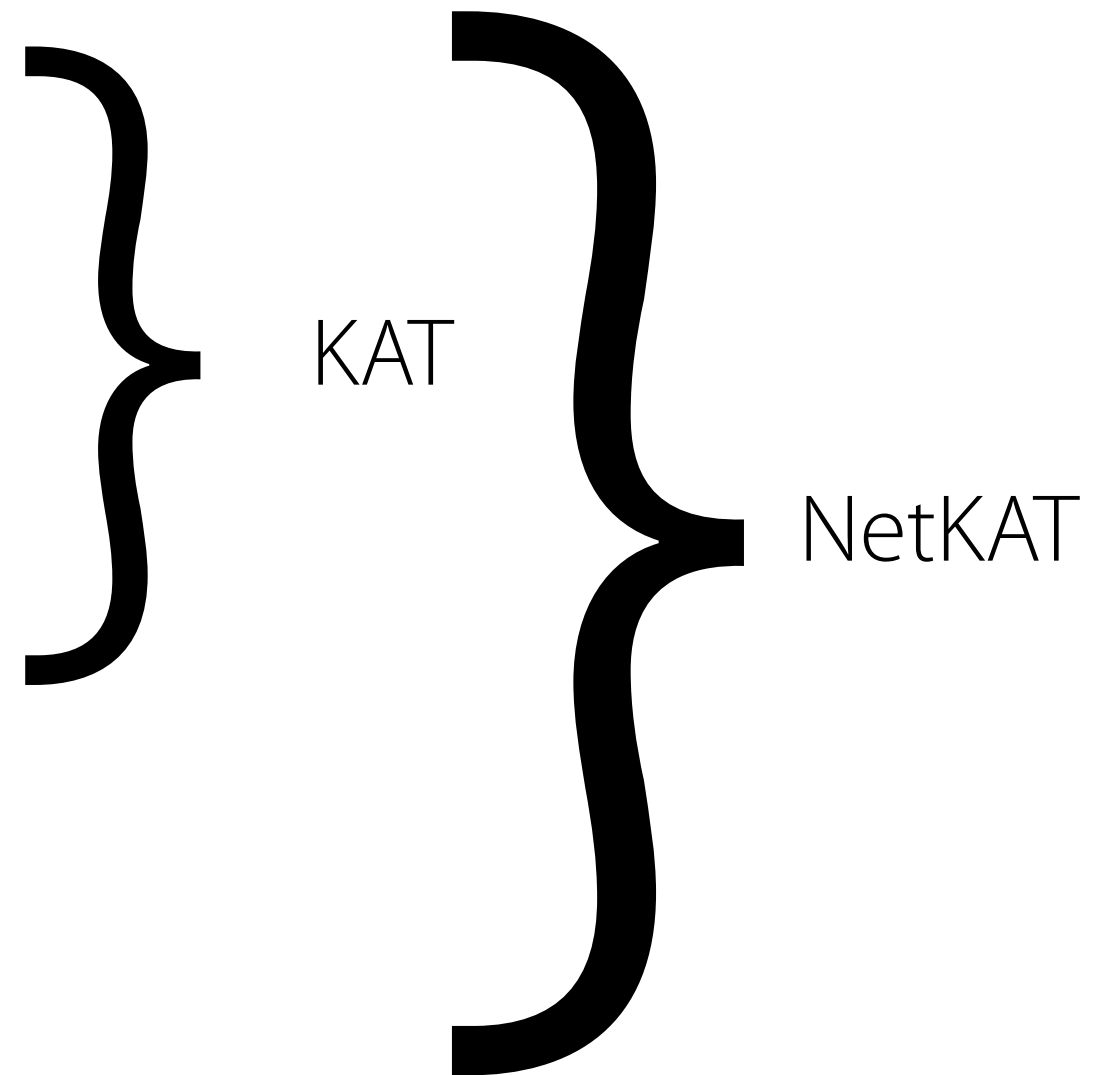
} KAT

Negation may only be applied to *Boolean predicates*:
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      | dup
```

Boolean
Predicates
+
Regular
Expressions
+
Packet
Primitives



Negation may only be applied to *Boolean predicates*:
true, **false**, $f = n$, closed under $+$, $\bullet$, and $!$

NetKAT Syntax

$\text{pol} ::=$ **false**
| **true**

Boolean
Predicates

if b **then** p_1 **else** $p_2 \triangleq (b \bullet p_1) + (!b \bullet p_2)$

while b **do** $p \triangleq (b \bullet p)^* \bullet !b$

$S \rightarrow S' \triangleq \text{sw} = S \bullet \mathbf{dup} \bullet \text{sw} := S' \bullet \mathbf{dup}$

| pol
| **dup**

Primitives

Negation may only be applied to *Boolean predicates*:

true, **false**, $f = n$, closed under $+$, $\bullet$, and $!$

Related: Kat + B! [LICS '14]

```
p ::= false
    | true
    | t?
    |  $\bar{t}$ ?
    | t!
    |  $\bar{t}$ !
    | p1 + p2
    | p1 • p2
    | !p
    | p*
```

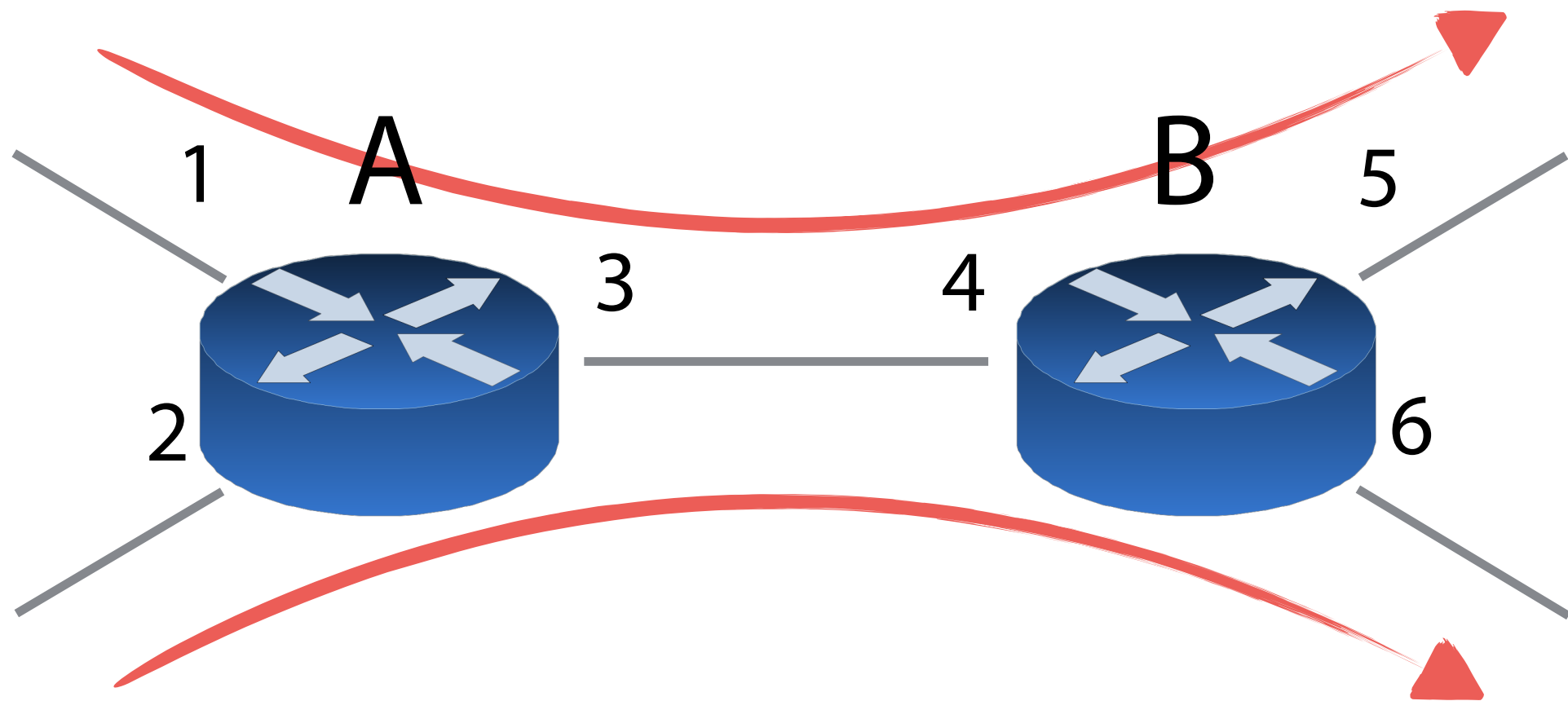
Idea: extend KAT with a finite number of mutable boolean state variables

Formally:

- Fix a finite set of tests
 $T = \{t_1, \dots, t_n\}$
- Add primitive tests, both positive (**t?**) and negative (**$\bar{t}$?**)
- Add primitive actions, both positive (**t!**) and negative (**$\bar{t}$!**)

15-minute Break

Question: How can we compile global programs like this?

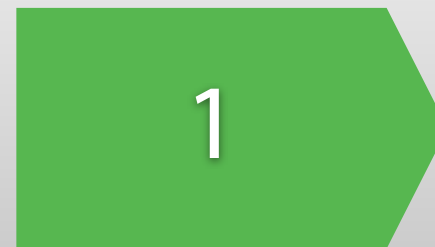
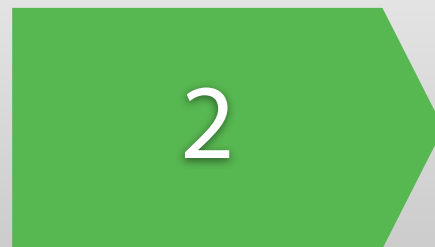


```
port=1; A→B; port:=5  
+  
port=2; A→B; port:=6
```


Compilation

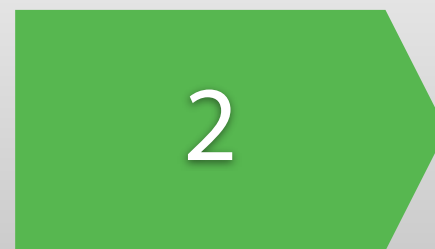
Overview

NetKAT Compiler Pipeline



Overview

NetKAT Compiler Pipeline



local
policy



Pattern	Actions
dstpt=2	drop
srcpt=7	fwd 1
*	fwd 2



drop-in replacement
~ 100x speedup

Overview

NetKAT Compiler Pipeline



global
policy

**Global
Compiler**

local
policy

**Local
Compiler**

Pattern	Actions
dstpt=2	drop
srcpt=7	fwd 1
*	fwd 2



network-wide
behavior



drop-in replacement
~ 100x speedup

Overview

NetKAT Compiler Pipeline

virtual
policy

**Virtual
Compiler**

global
policy

**Global
Compiler**

local
policy

**Local
Compiler**

Pattern	Actions
dstpt=2	drop
srcpt=7	fwd 1
*	fwd 2

abstract
topologies

network-wide
behavior

drop-in replacement
~ 100x speedup

Overview

NetKAT Compiler Pipeline

virtual
policy

**Virtual
Compiler**

global
policy

**Global
Compiler**

local
policy

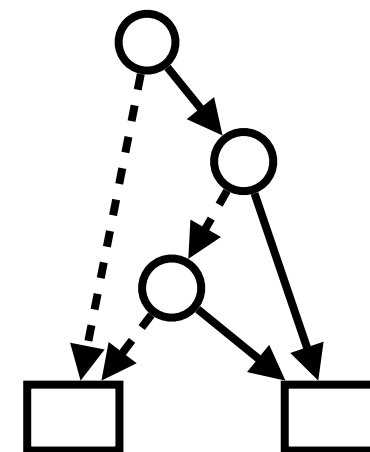
**Local
Compiler**

Pattern	Actions
dstpt=2	drop
srcpt=7	fwd 1
*	fwd 2

abstract
topologies

network-wide
behavior

drop-in replacement
~ 100x speedup



Overview

NetKAT Compiler Pipeline

virtual
policy

**Virtual
Compiler**

global
policy

**Global
Compiler**

local
policy

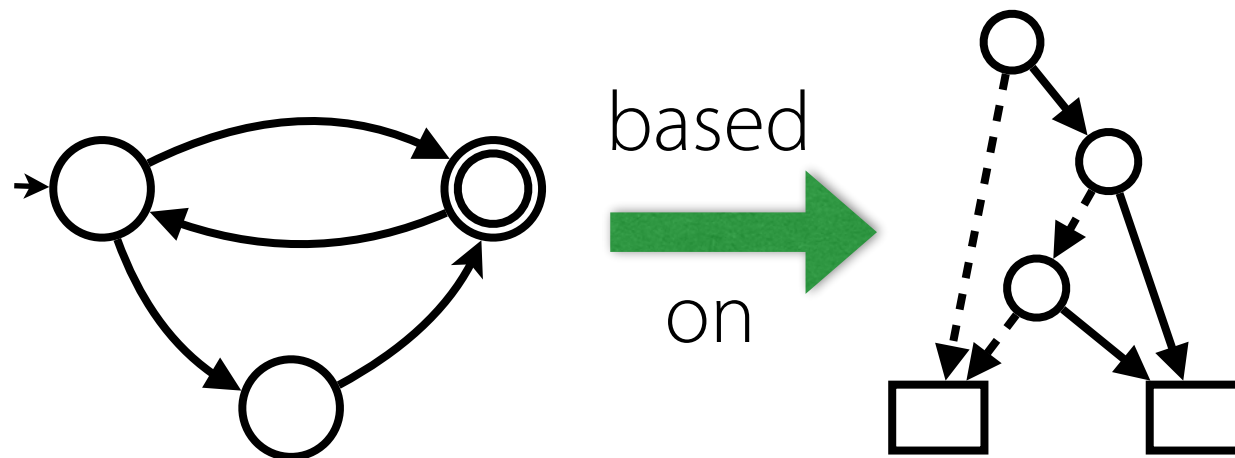
**Local
Compiler**

Pattern	Actions
dstpt=2	drop
srcpt=7	fwd 1
*	fwd 2

abstract
topologies

network-wide
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~ 100x speedup



Overview

NetKAT Compiler Pipeline

virtual
policy

**Virtual
Compiler**

global
policy

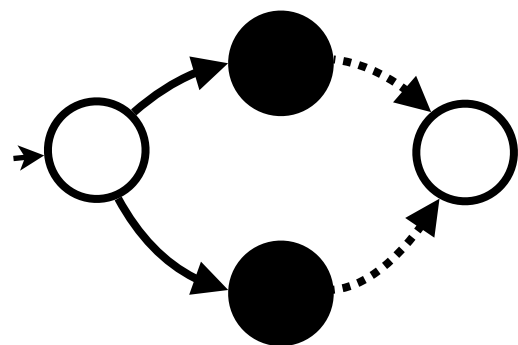
**Global
Compiler**

local
policy

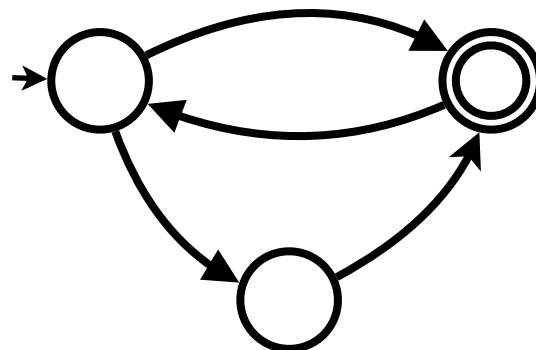
**Local
Compiler**

Pattern	Actions
dstpt=2	drop
srcpt=7	fwd 1
*	fwd 2

abstract
topologies

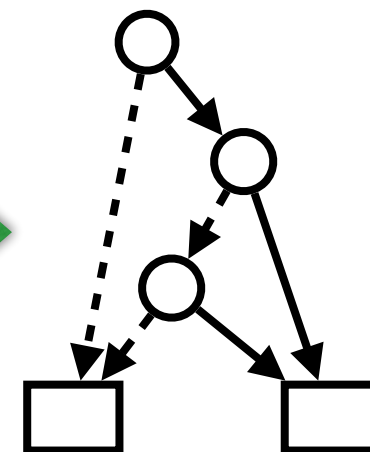


network-wide
behavior



drop-in replacement
~ 100x speedup

based
on



Local Compilation



Input: local program

Output: collection of flow tables, one per switch

Challenges: efficiency and size of generated tables

Local Compilation



Input: local program

Output: collection of flow tables, one per switch

Challenges: efficiency and size of generated tables

Strawman Approach

```
let route =
```

```
  if ipDst = 10.0.0.1 then  
    port := 1  
  else if ipDst = 10.0.0.2 then  
    port := 2  
  else  
    port := learn
```

+

```
let monitor =
```

```
  if (tcpSrc = 22 + tcpDst = 22) then  
    port:=console  
  else  
    false
```

Strawman Approach

let route =

```
if ipDst = 10.0.0.1 then
  port := 1
else if ipDst = 10.0.0.2 then
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let monitor =

```
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```



Pattern	Actions
src=10.0.0.1	Fwd 1
src=10.0.0.2	Fwd 2
*	Controller



Pattern	Actions
tcpSrc=22	Controller
tcpDst=22	Controller
*	Drop

Strawman Approach

let route =

```
if ipDst = 10.0.0.1 then
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else if ipDst = 10.0.0.2 then
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else
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+

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```



Pattern	Actions
src=10.0.0.1	Fwd 1
src=10.0.0.2	Fwd 2
*	Controller

+



Pattern	Actions
tcpSrc=22	Controller
tcpDst=22	Controller
*	Drop

Strawman Approach

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  if ipDst = 10.0.0.1 then
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```

+

```
let monitor =
```

```
  if (tcpSrc = 22 + tcpDst = 22) then
    port:=console
  else
    false
```



Pattern	Actions
src=10.0.0.1	Fwd 1
src=10.0.0.2	Fwd 2
*	Controller



Pattern	Actions
tcpSrc=22	Controller
tcpDst=22	Controller
*	Drop



Inefficient!

Tables are a hardware abstraction,
not an efficient data structure!!

Better Approach

```
let route =
```

```
  if ipDst = 10.0.0.1 then  
    port := 1  
  else if ipDst = 10.0.0.2 then  
    port := 2  
  else  
    port := learn
```

+

```
let monitor =
```

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    false
```



Better Approach

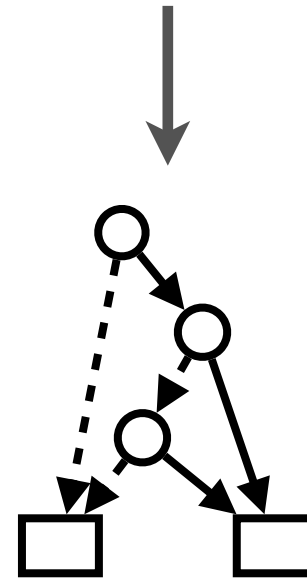
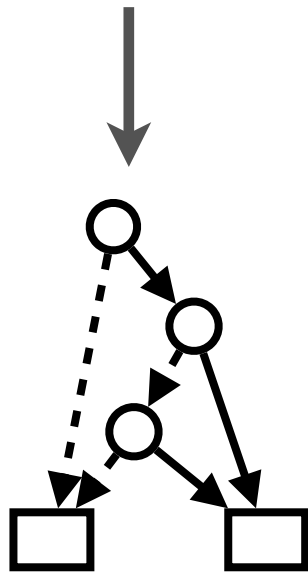
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let route =
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  else  
    port := learn
```

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```
let monitor =
```

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  if (tcpSrc = 22 + tcpDst = 22) then  
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    false
```



Better Approach

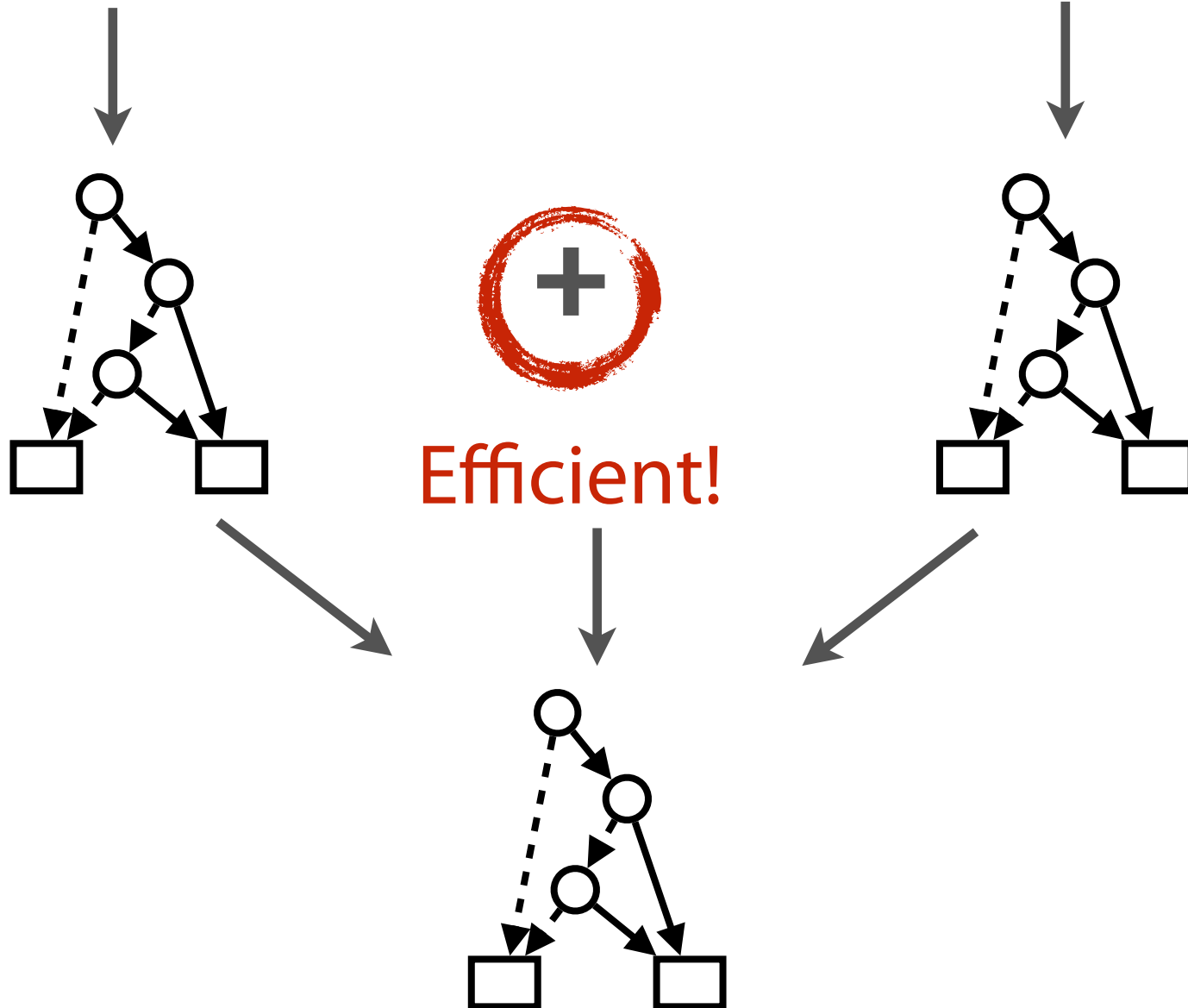
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let monitor =
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Better Approach

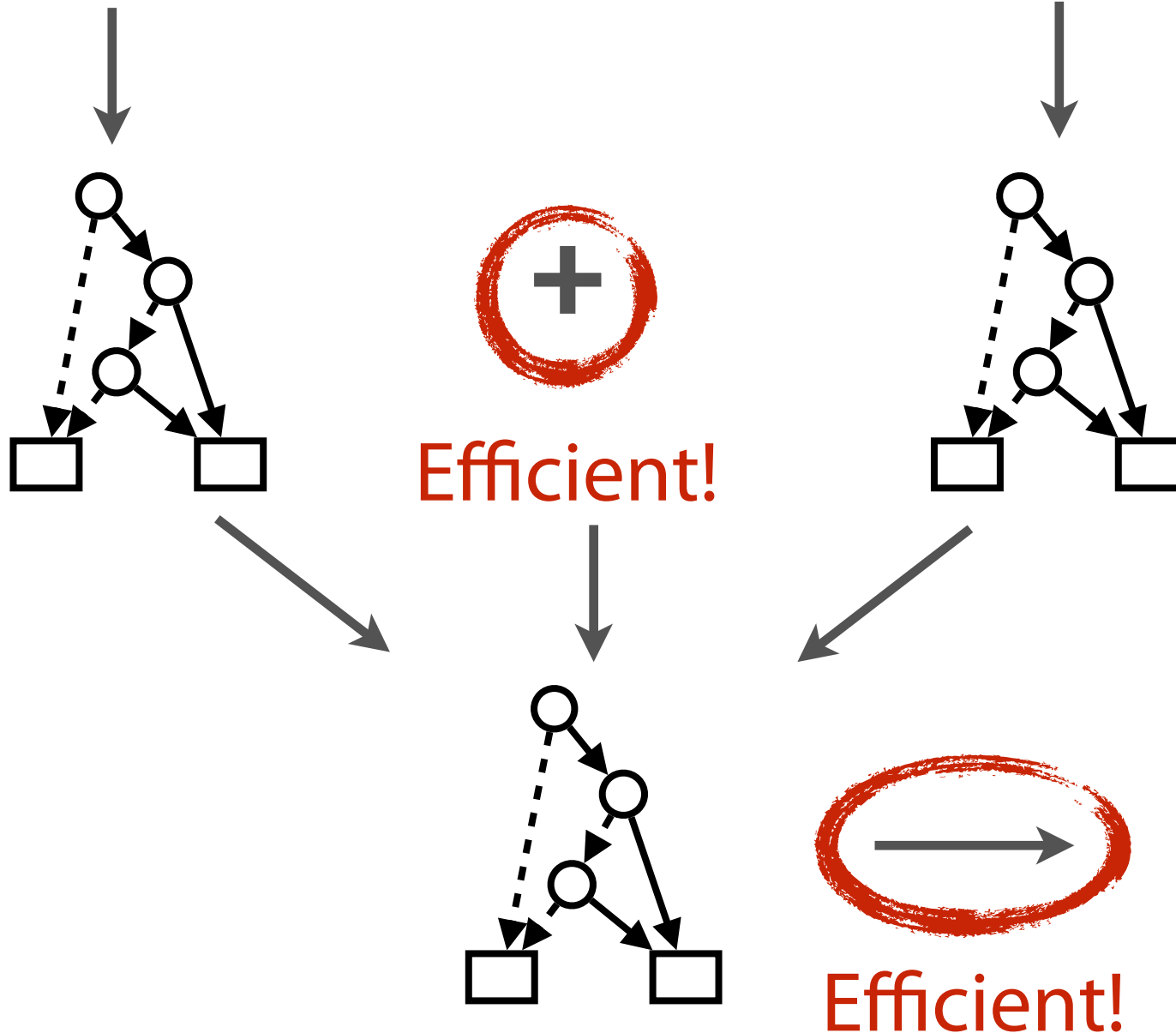
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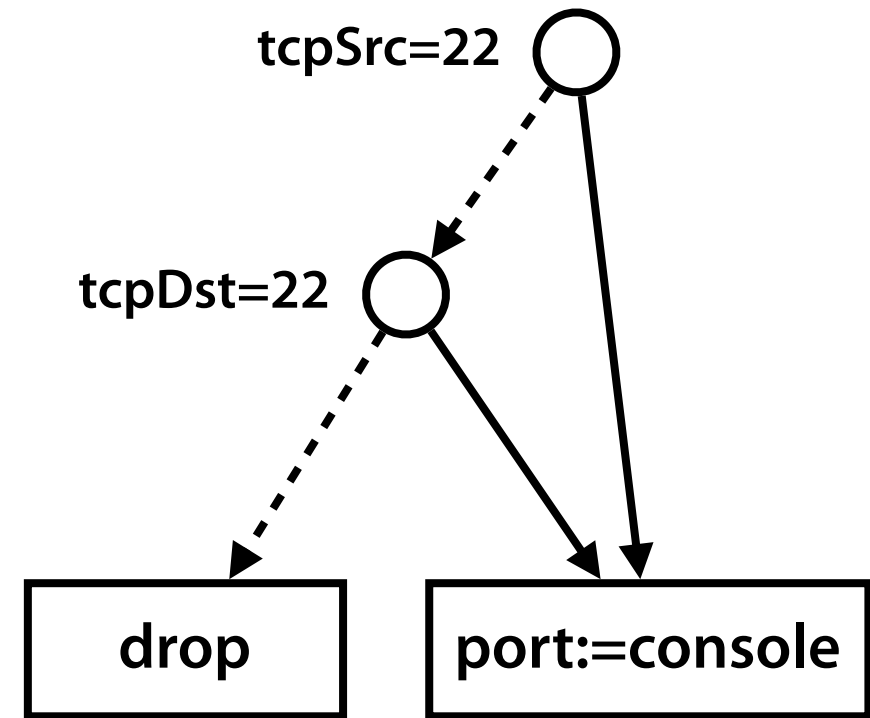
```
  if (tcpSrc = 22 + tcpDst = 22) then  
    port:=console  
  else  
    false
```



Pattern	Actions
ipDst=10.0.0.1, tcpSrc=22	Forward 1, Controller
ipDst=10.0.0.1, tcpDst=22	Forward 1, Controller
...	

IR: Forwarding Decision Diagrams

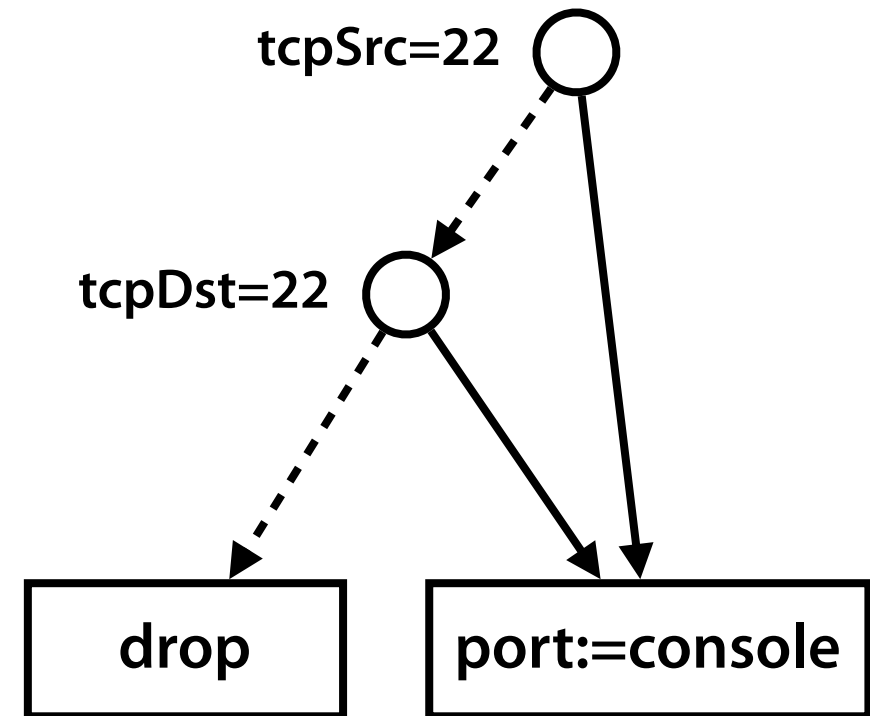
```
if (tcpSrc = 22  
    + tcpDst = 22)  
then  
  port := console  
else  
  drop
```



Inspired by Binary Decision Diagrams

IR: Forwarding Decision Diagrams

```
if (tcpSrc = 22  
    + tcpDst = 22)  
then  
    port := console  
else  
    drop
```



Inspired by Binary Decision Diagrams

NetKAT operators (+, ;, *, !) can be implemented efficiently on FDDs using standard BDD techniques

Global Compilation



Input: NetKAT program (with links)

Output: equivalent local program (without links)

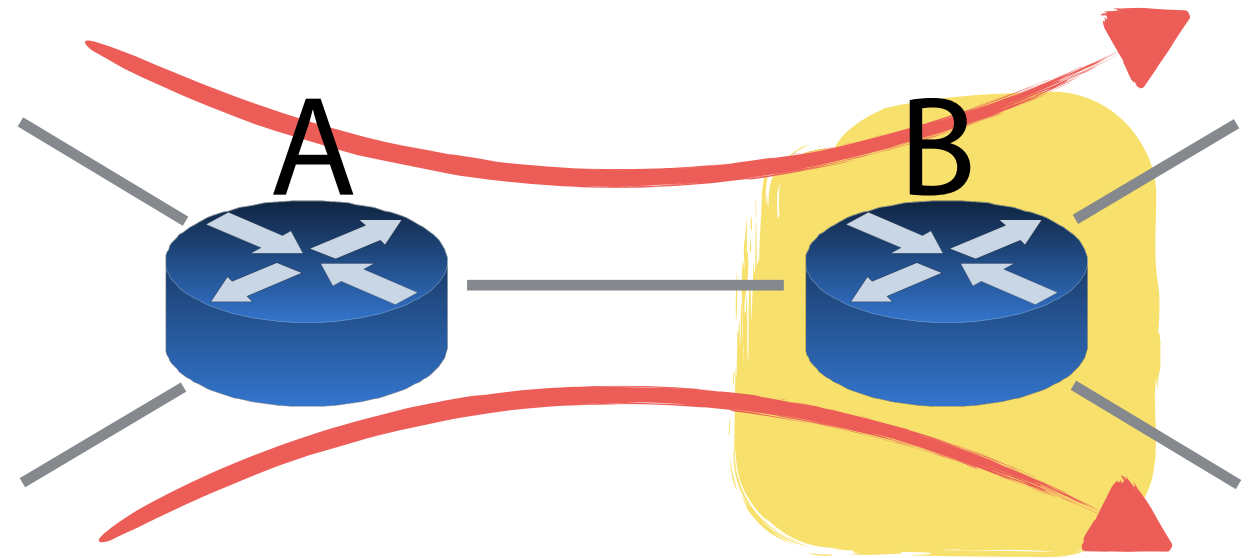
Global Compilation



Input: NetKAT program (with links)

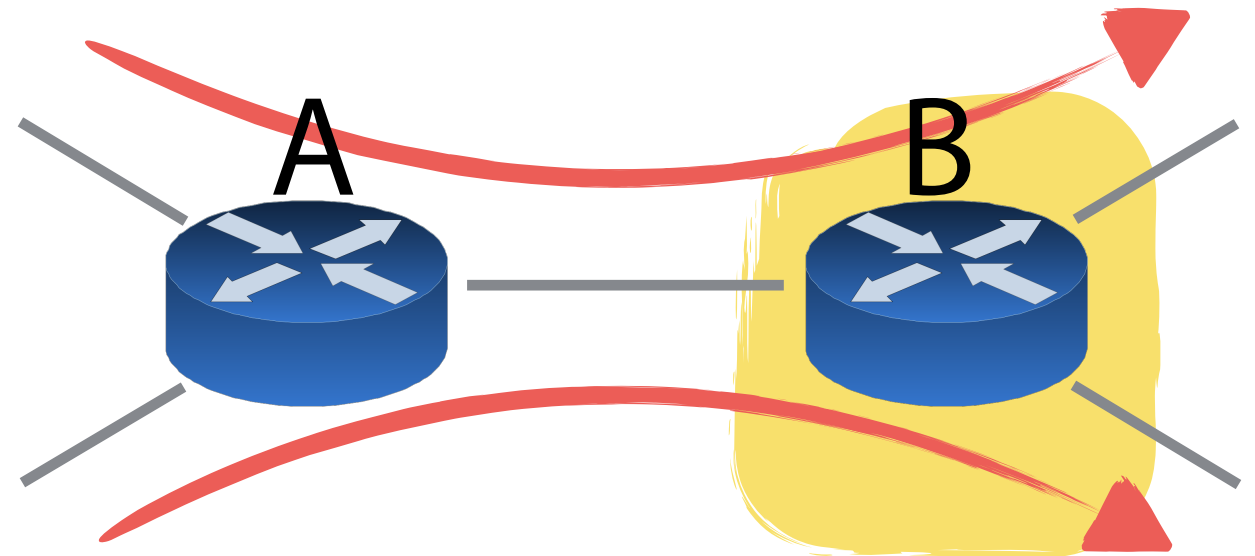
Output: equivalent local program (without links)

Main Challenges



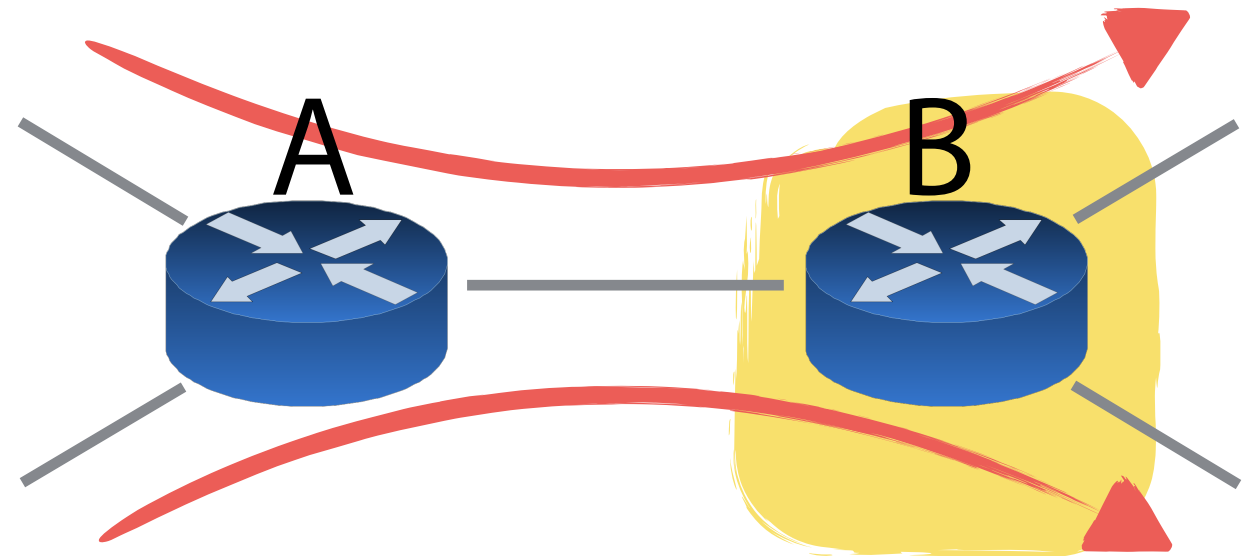
Main Challenges

1. Adding Extra State
"Tagging"

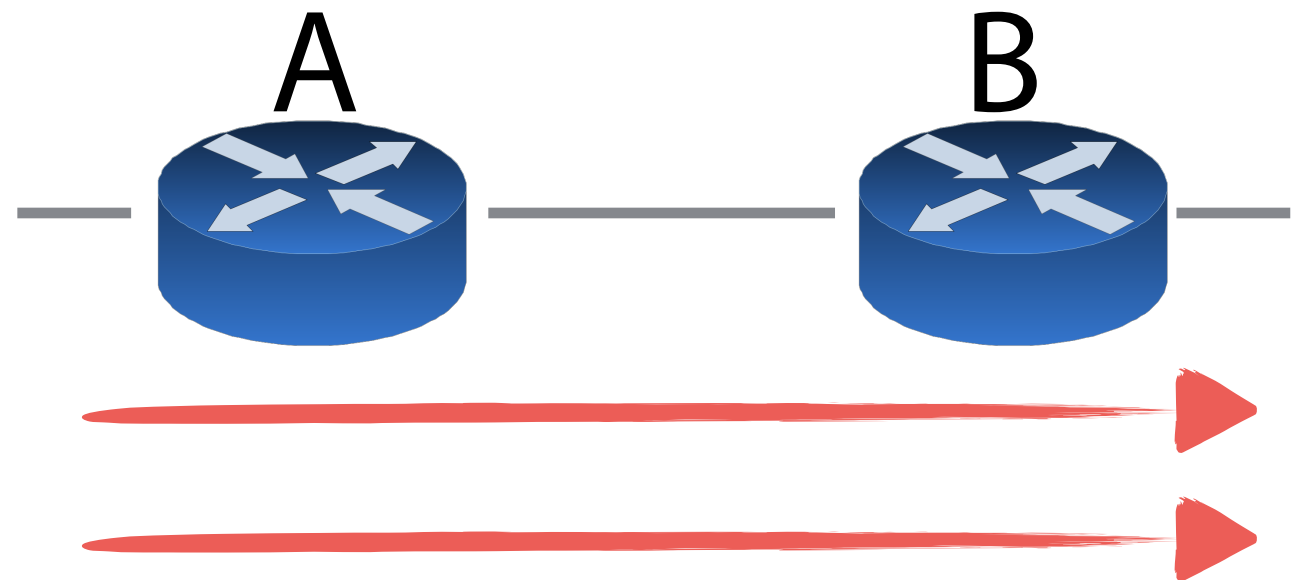


Main Challenges

1. Adding Extra State "Tagging"



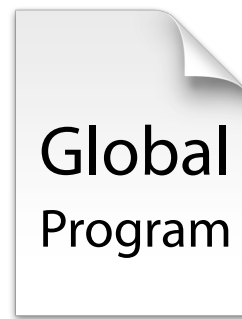
2. Avoiding Duplication (naive tagging is unsound!)



Our Solution

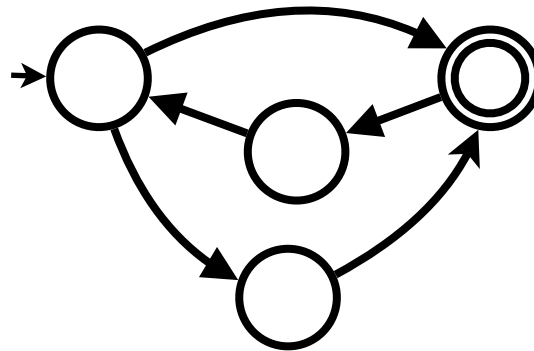


Our Solution

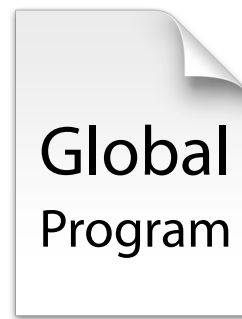


Adding Extra State
= Translation to Automaton

NetKAT NFA

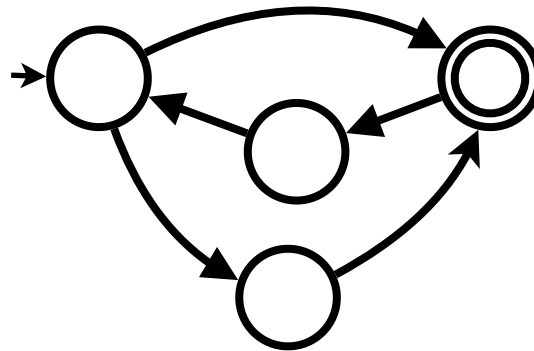


Our Solution



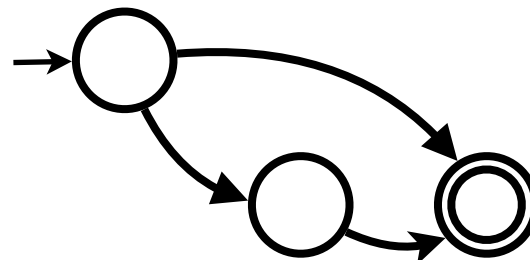
Adding Extra State
= Translation to Automaton

NetKAT NFA

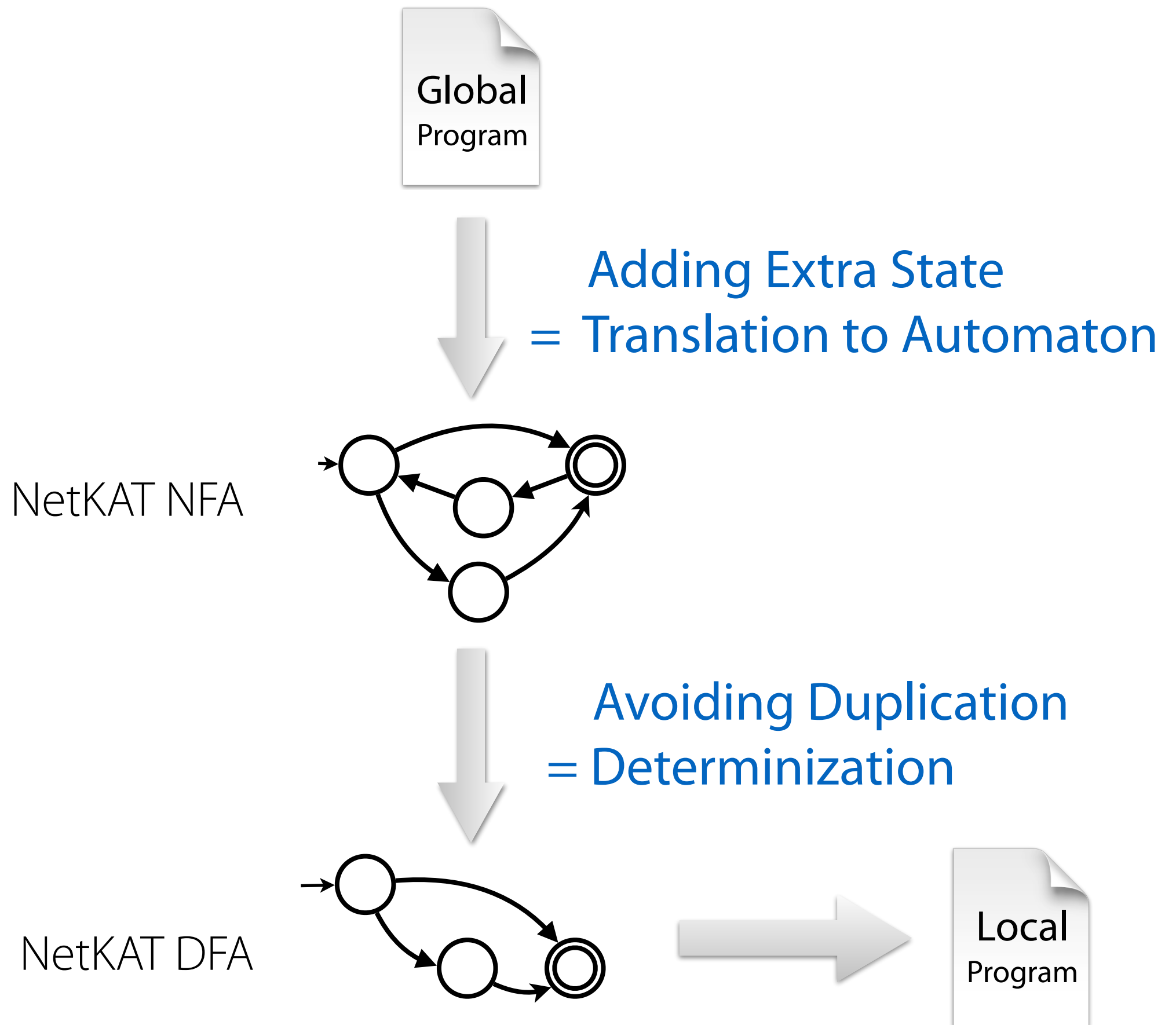


Avoiding Duplication
= Determinization

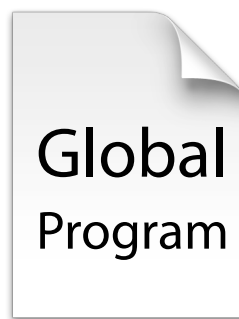
NetKAT DFA



Our Solution

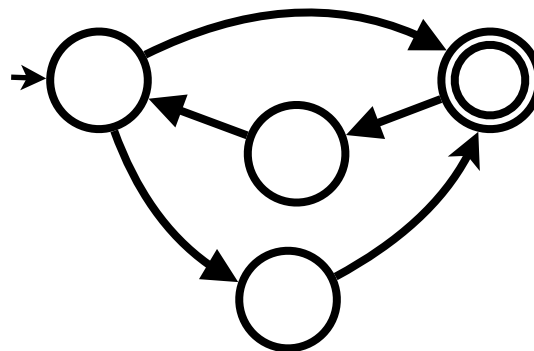


Our Solution



Adding Extra State
= Translation to Automaton

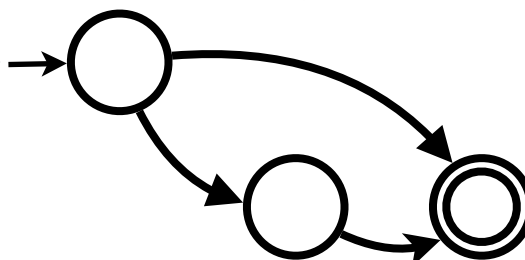
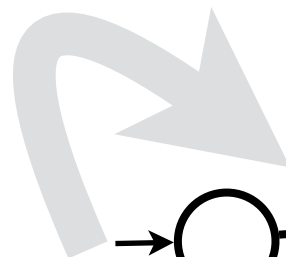
NetKAT NFA



Automaton Minimization
= Tag Elimination

Avoiding Duplication
= Determinization

NetKAT DFA



Virtual Compilation



Input: program written against virtual topology

Output: global program that simulates virtual behavior

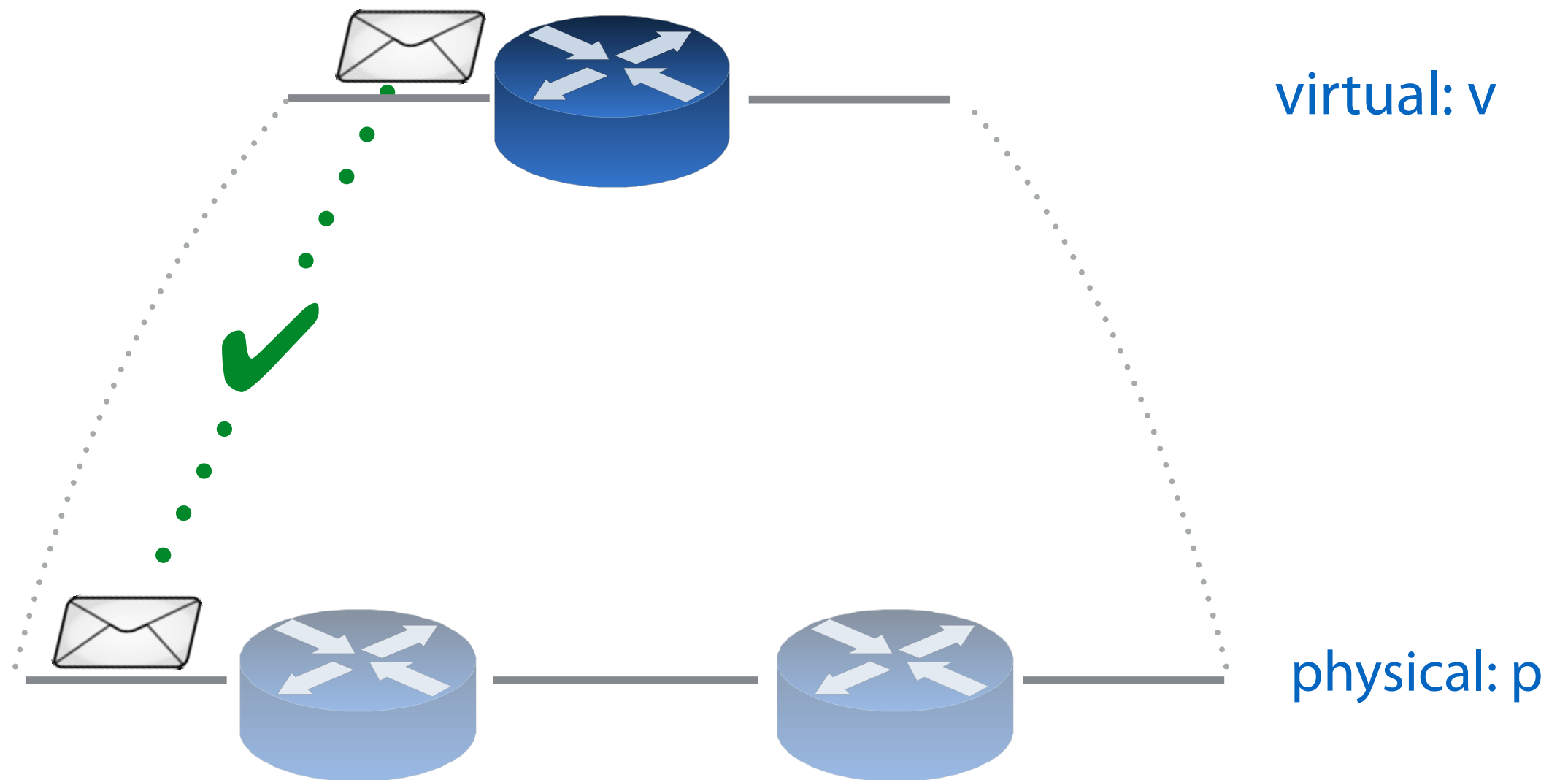
Virtual Compilation



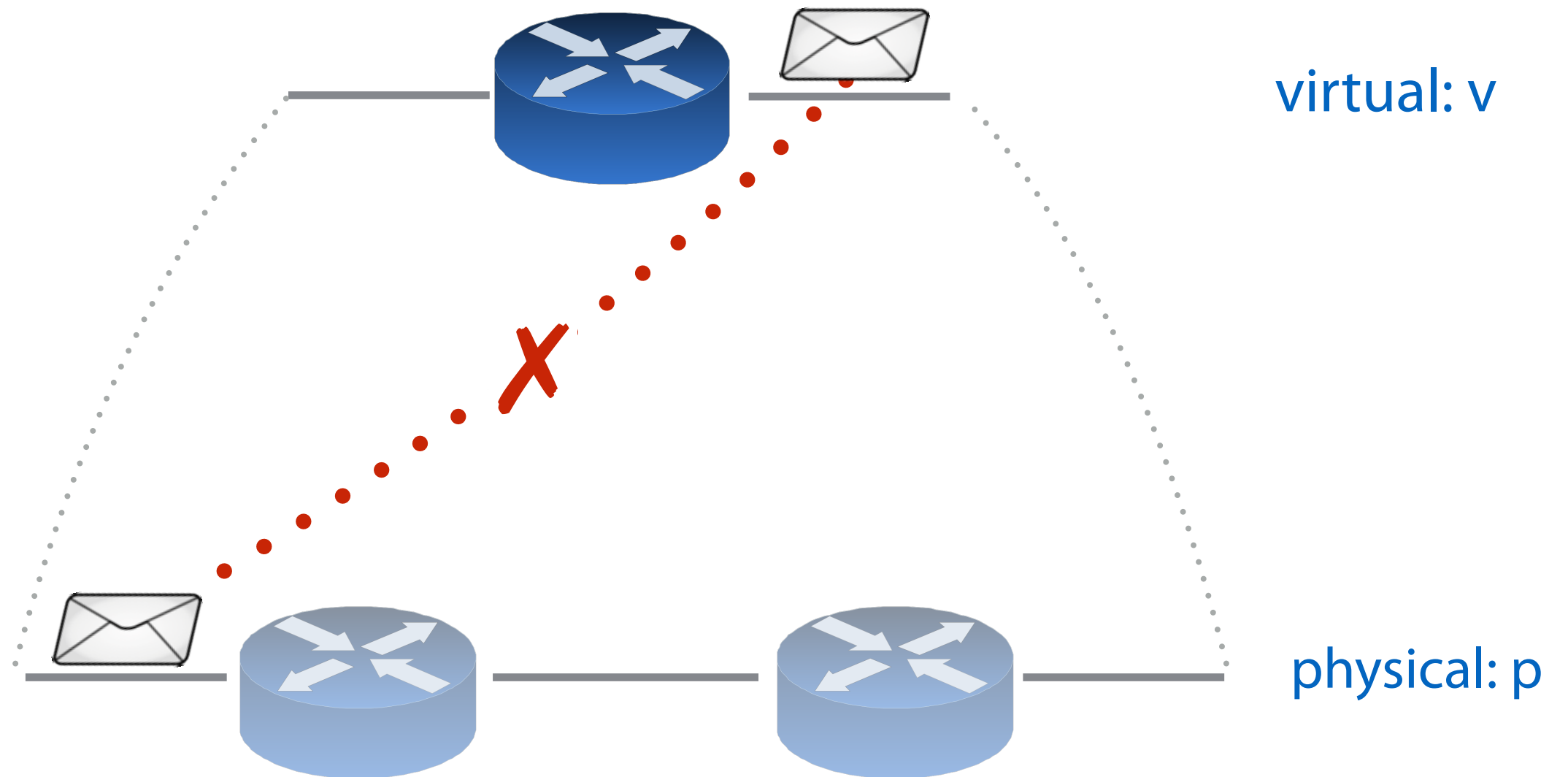
Input: program written against virtual topology

Output: global program that simulates virtual behavior

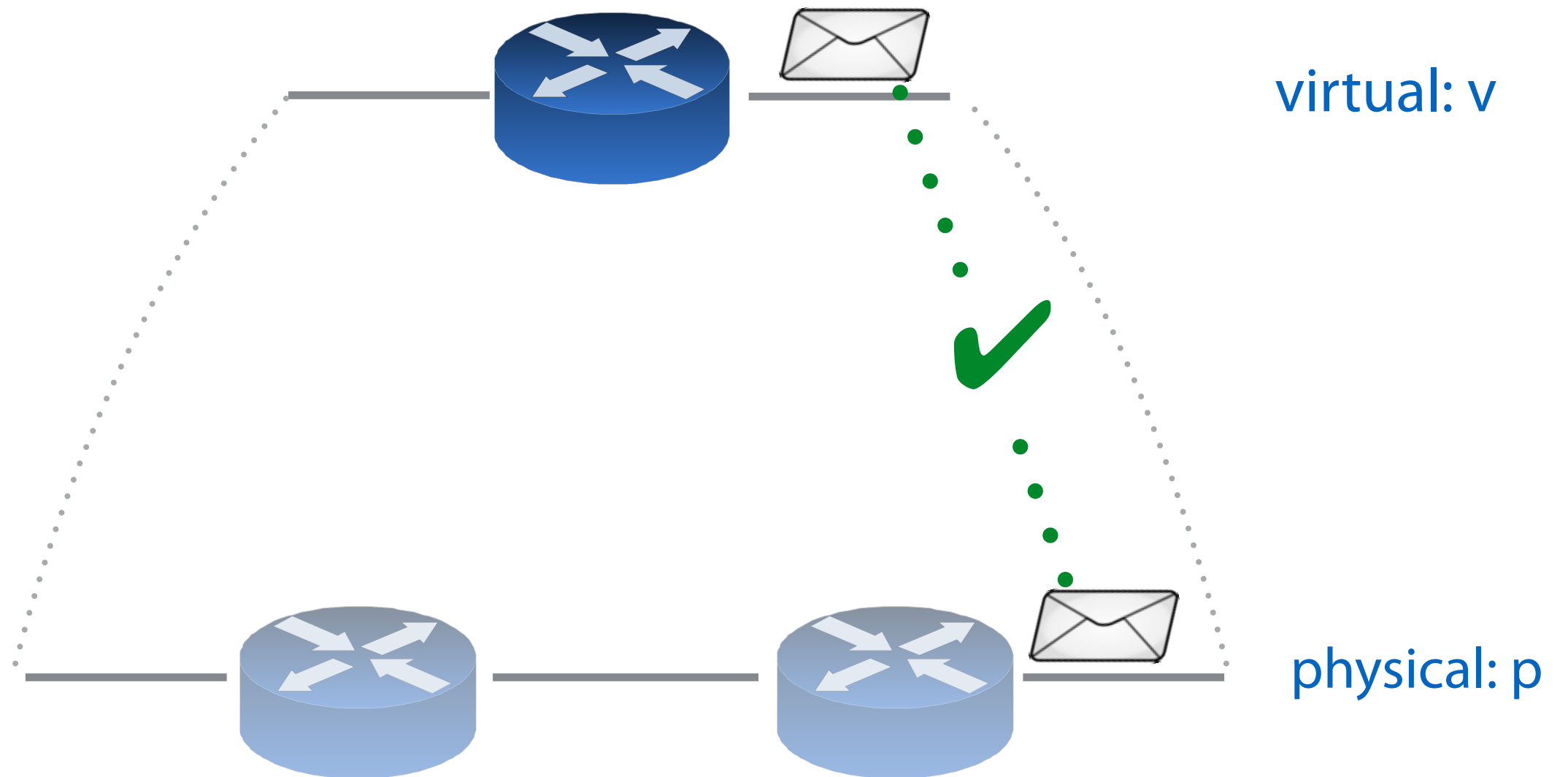
Virtualization



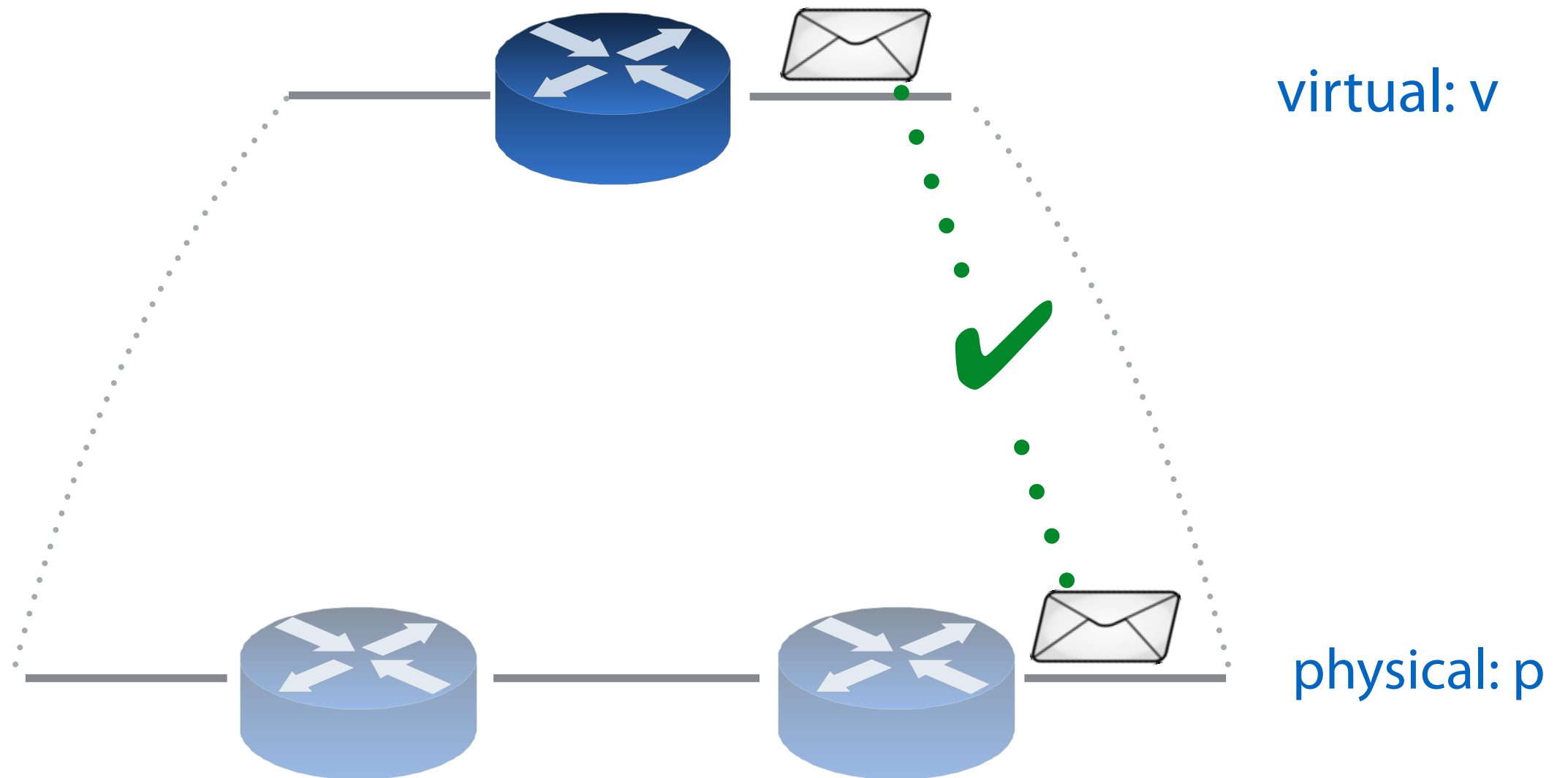
Virtualization



Virtualization



Virtualization



Observation: can formulate execution of a virtual program as a two-player game

Compiler: synthesizes physical program p that encodes a winning strategy to all instances of that game

NetKAT Semantics



Denotational

NetKAT Semantics



Denotational

Axiomatic

$\vdash p \equiv q$

NetKAT Semantics

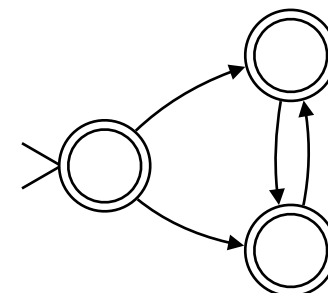


Denotational

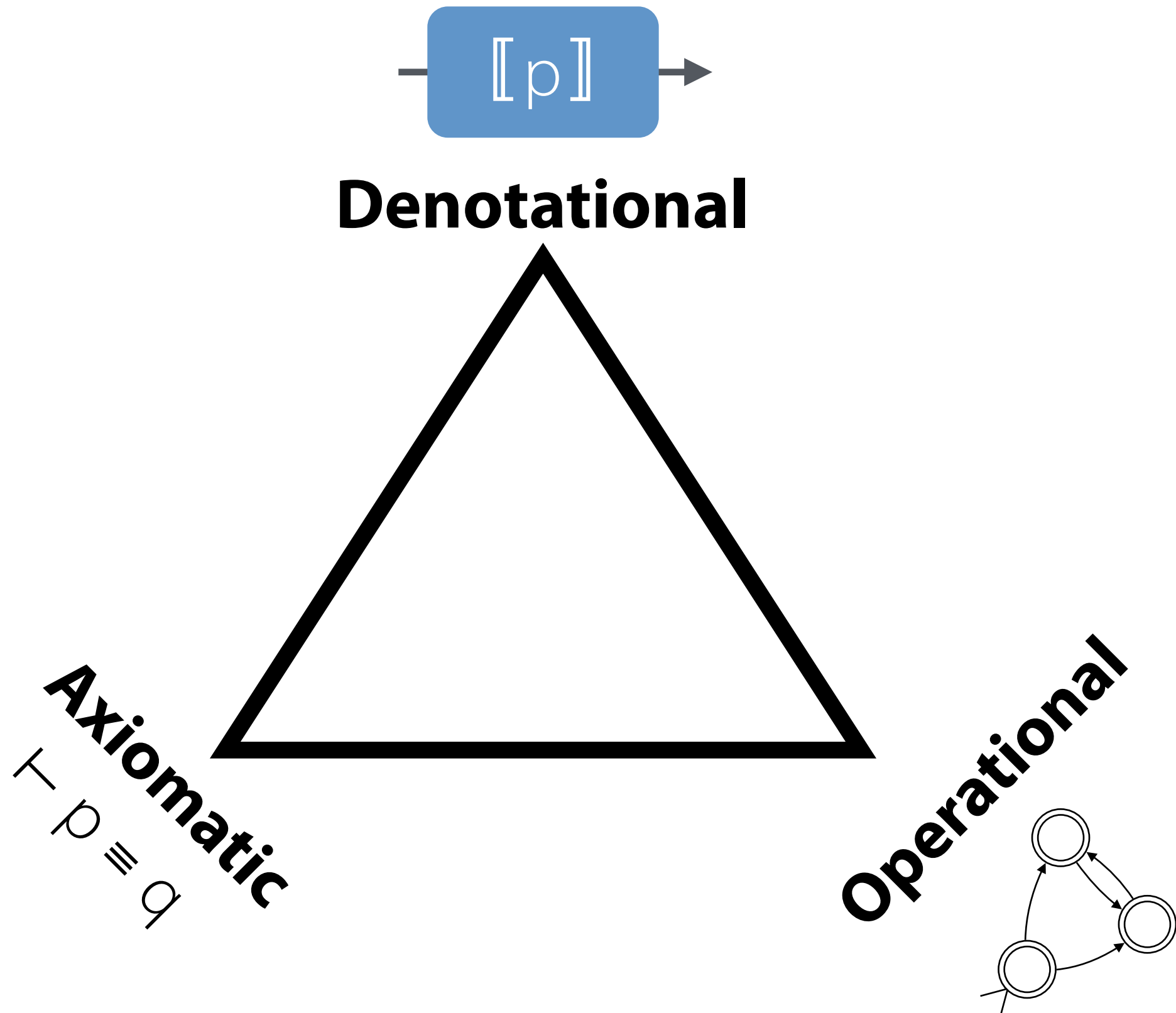
Axiomatic

$\vdash p \equiv q$

Operational



NetKAT Semantics



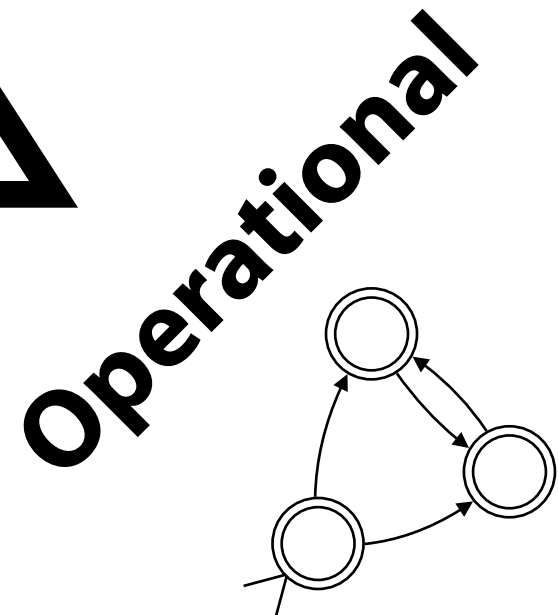
NetKAT Semantics



Denotational

Soundness+Completeness
[POPL'14]

Axiomatic
 $\vdash p \equiv q$



NetKAT Semantics

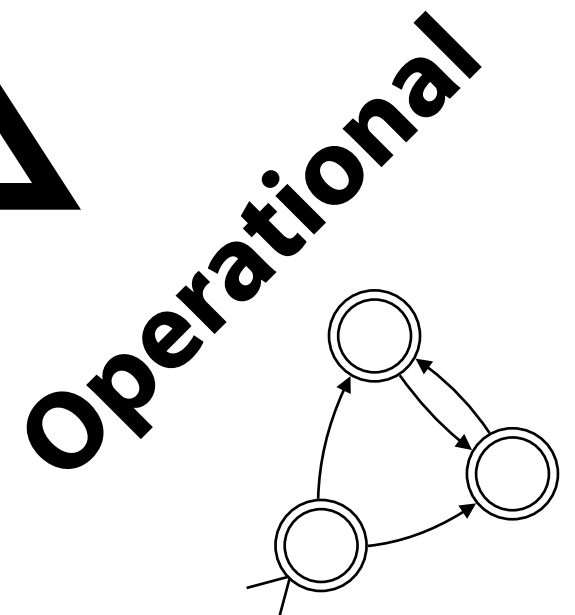


Denotational

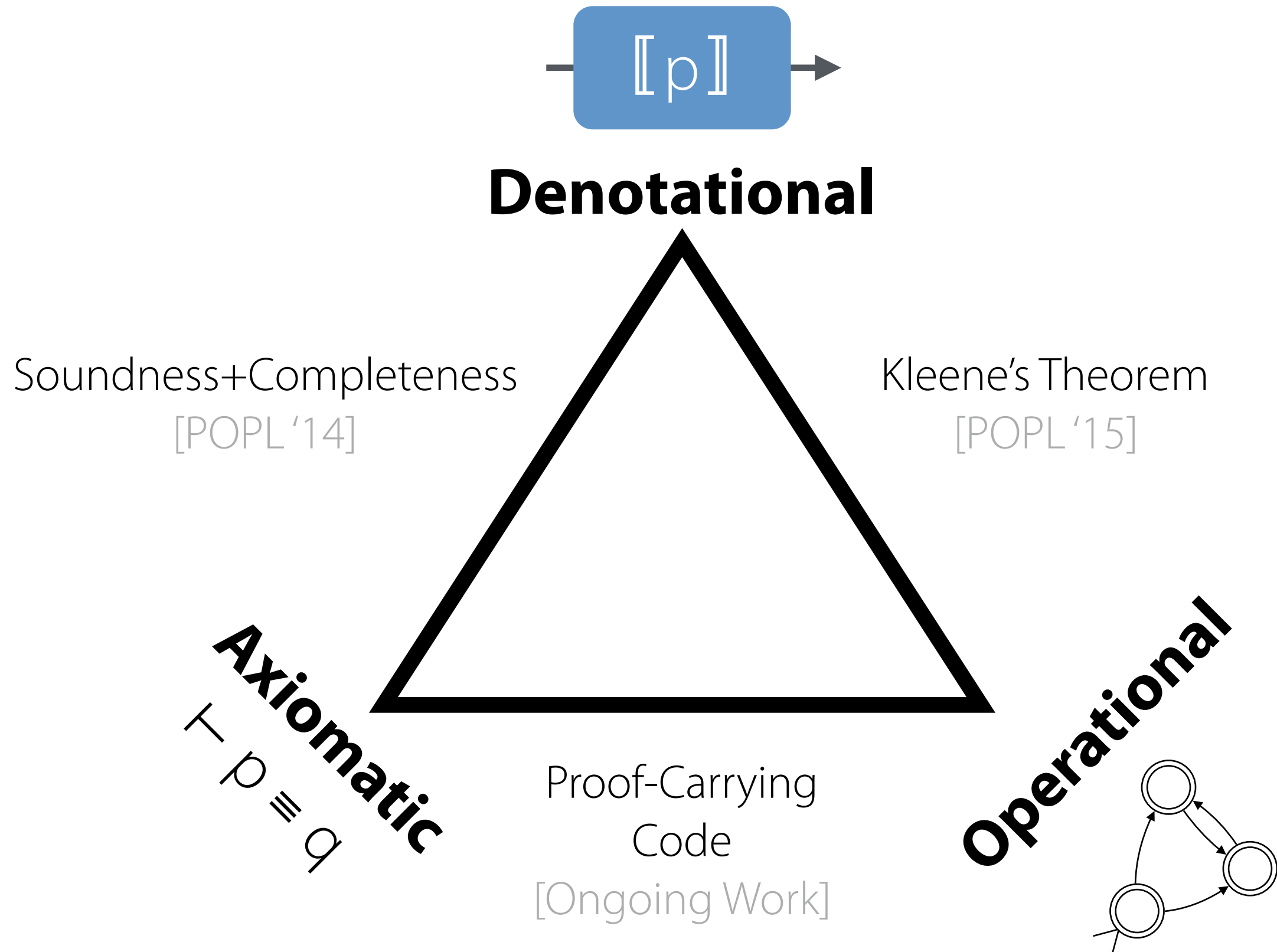
Soundness+Completeness
[POPL'14]

Kleene's Theorem
[POPL'15]

Axiomatic
 $\vdash p \equiv q$



NetKAT Semantics



Denotational Semantics

Denotational Semantics

pol ::=

| **false**

| **true**

| field = val

| field ::= val

| pol₁ + pol₂

| pol₁ • pol₂

| !pol

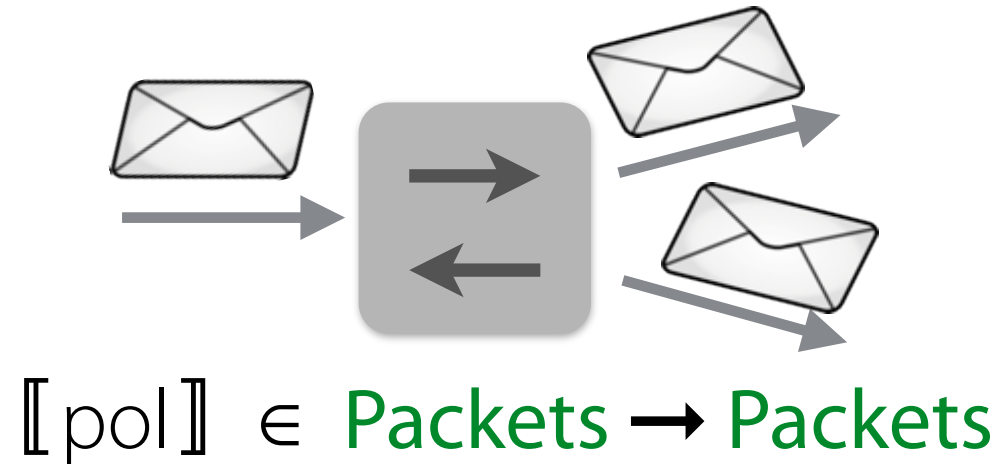
| pol*

| **dup**

Denotational Semantics

```
pol ::=  
| false  
| true  
| field = val  
| field := val  
| pol1 + pol2  
| pol1 • pol2  
| !pol  
| pol*  
| dup
```

Local: input-output behavior of switches



Denotational Semantics

$\text{pol} ::=$

| **false**

| **true**

| $\text{field} = \text{val}$

| $\text{field} := \text{val}$

| $\text{pol}_1 + \text{pol}_2$

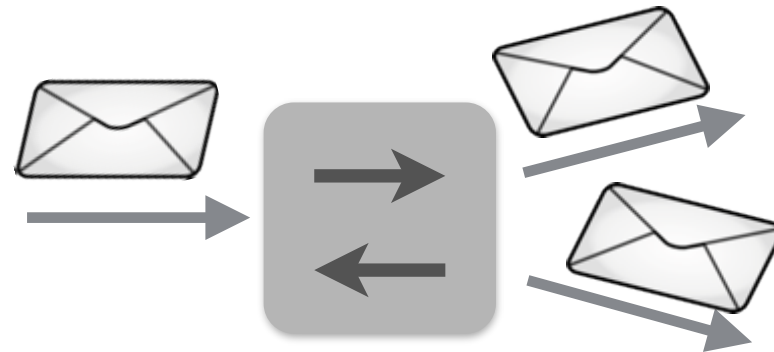
| $\text{pol}_1 \bullet \text{pol}_2$

| $!\text{pol}$

| pol^*

| **dup**

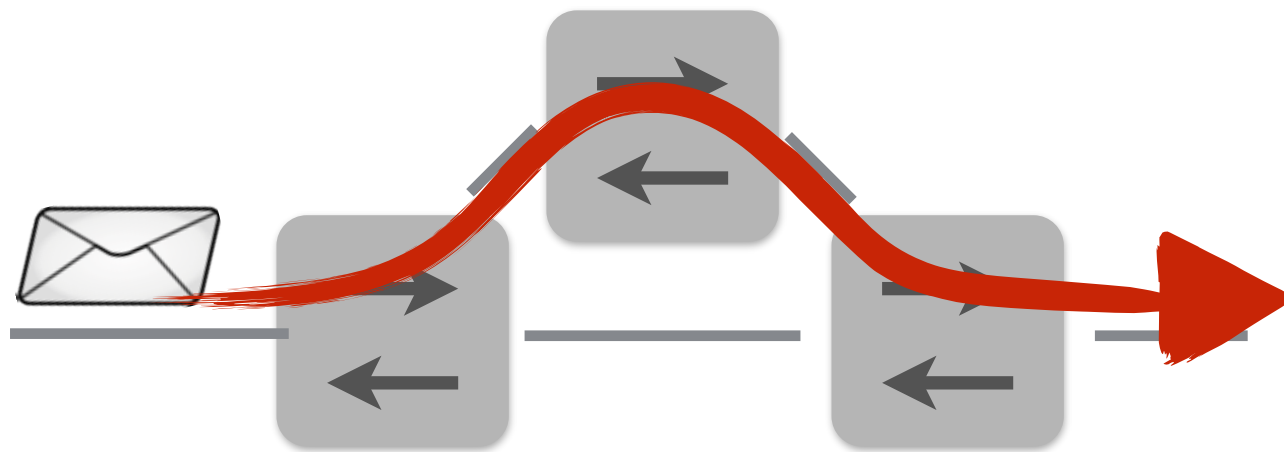
Local: input-output behavior of switches



$\llbracket \text{pol} \rrbracket \in \text{Packets} \rightarrow \text{Packets}$

.....

Global: network-wide paths



$\llbracket \text{pol} \rrbracket \in \text{Histories} \rightarrow \text{Histories}$

NetKAT Semantics

$\llbracket \text{pol} \rrbracket \in \mathbf{History} \rightarrow \mathbf{History Set}$

$\llbracket \mathbf{true} \rrbracket h = \{ h \}$

$\llbracket \mathbf{false} \rrbracket h = \{ \}$

$\llbracket f = n \rrbracket pk :: h = \begin{cases} \{ pk :: h \} & \text{if } pk.f = n \\ \{ \} & \text{otherwise} \end{cases}$

$\llbracket ! \text{pol} \rrbracket h = \{ h \} \setminus \llbracket \text{pol} \rrbracket$

$\llbracket f := n \rrbracket pk :: h = \{ pk[f:=n] :: h \}$

$\llbracket \text{pol}_1 + \text{pol}_2 \rrbracket h = \llbracket \text{pol}_1 \rrbracket h \cup \llbracket \text{pol}_2 \rrbracket h$

$\llbracket \text{pol}_1 \bullet \text{pol}_2 \rrbracket h = (\llbracket \text{pol}_1 \rrbracket \bullet \llbracket \text{pol}_2 \rrbracket) h$

$\llbracket \text{pol}^* \rrbracket h = (\cup_i \llbracket \text{pol} \rrbracket^i h)$

$\llbracket S \rightarrow S' \rrbracket pk :: h = \begin{cases} \{ pk[sw:=S'] :: pk :: h \} & \text{if } pk.sw = S \\ \{ \} & \text{otherwise} \end{cases}$

$f, g \in \mathbf{History} \rightarrow \mathbf{History Set}$

$(f \bullet g) h = \cup \{ g h' \mid h' \in f h \}$

Axiomatic Semantics

Kleene Algebra with Tests

The design of NetKAT is not an accident!

Its foundation rests upon canonical structure:

- Regular operators ($+$, $\cdot$, and $*$) encode network paths
- Boolean operators ($+$, $\cdot$, and $!$) encode switch tables

Need to extend KAT with additional axioms to obtain a sound and complete system

NetKAT Equational Axioms

Kleene Algebra Axioms

$p + (q + r) \equiv (p + q) + r$
 $p + q \equiv q + p$
 $p + \mathbf{false} \equiv p$
 $p + p \equiv p$
 $p \cdot (q \cdot r) \equiv (p \cdot q) \cdot r$
 $p \cdot (q + r) \equiv p \cdot q + p \cdot r$
 $(p + q) \cdot r \equiv p \cdot r + q \cdot r$
 $\mathbf{true} \cdot p \equiv p$
 $p \equiv p \cdot \mathbf{true}$
 $\mathbf{false} \cdot p \equiv \mathbf{false}$
 $p \cdot \mathbf{false} \equiv \mathbf{false}$
 $\mathbf{true} + p \cdot p^* \equiv p^*$
 $\mathbf{true} + p^* \cdot p \equiv p^*$
 $p + q \cdot r + r \equiv r \Rightarrow p^* \cdot q + r \equiv r$
 $p + q \cdot r + q \equiv q \Rightarrow p \cdot r^* + q \equiv q$

Boolean Algebra Axioms

$a + (b \cdot c) \equiv (a + b) \cdot (a + c)$
 $a + \mathbf{true} \equiv \mathbf{true}$
 $a + !a \equiv \mathbf{true}$
 $a \cdot b \equiv b \cdot a$
 $a \cdot !a \equiv \mathbf{false}$
 $a \cdot a \equiv a$

Packet Axioms

$f := n \cdot f' := n' \equiv f' := n' \cdot f := n \quad \text{if } f \neq f'$
 $f := n \cdot f' = n' \equiv f' = n' \cdot f := n \quad \text{if } f \neq f'$
 $f := n \cdot f = n \equiv f := n$
 $f = n \cdot f := n \equiv f = n$
 $f := n \cdot f := n' \equiv f := n'$
 $f = n \cdot f = n' \equiv \mathbf{false} \quad \text{if } n \neq n'$
 $\text{dup} \cdot f = n \equiv f = n \cdot \text{dup}$
 $\Sigma_i f = n_i \equiv \mathbf{true}$

NetKAT Equational Axioms

Kleene Algebra Axioms

$p + (q + r) \equiv (p + q) + r$
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 $p + \mathbf{false} \equiv p$
 $p + p \equiv p$
 $p \cdot (q \cdot r) \equiv (p \cdot q) \cdot r$
 $p \cdot (q + r) \equiv (p \cdot q) + (p \cdot r)$
 $(p + q) \cdot r \equiv (p \cdot r) + (q \cdot r)$
 $\mathbf{true} \cdot p \equiv p$
 $p \equiv p \cdot \mathbf{true}$
 $\mathbf{false} \cdot p \equiv \mathbf{false}$
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 $\mathbf{true} + p \cdot p^* \equiv p^*$
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Boolean Algebra Axioms

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 $a + \mathbf{true} \equiv \mathbf{true}$
 $a + !a \equiv \mathbf{true}$
 $a \cdot b = b \cdot a$
 $a + a = a$

Soundness: If $\vdash p \equiv q$, then $\llbracket p \rrbracket = \llbracket q \rrbracket$

Completeness: If $\llbracket p \rrbracket = \llbracket q \rrbracket$, then $\vdash p \equiv q$

$f := n \cdot f' = n' \equiv f' = n' \cdot f := n$ if $f \neq f'$
 $f := n \cdot f = n \equiv f := n$
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 $\text{dup} \cdot f = n \equiv f = n \cdot \text{dup}$
 $\Sigma_i f = n_i \equiv \mathbf{true}$

Reduced NetKAT

Complete tests

$\alpha ::= \mathbf{switch} = n \bullet \mathbf{port} = n$

Complete assignments

$\beta ::= \mathbf{switch} := n \bullet \mathbf{port} := n$

Reduced terms

$p, q ::= \alpha$	(* complete test *)
β	(* complete assignment *)
$p + q$	(* union *)
$p \bullet q$	(* sequence *)
p^*	(* Kleene star *)
dup	(* Duplication *)

Reduced NetKAT

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*For simplicity, only
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Lemma: For every NetKAT term p , there is a reduced NetKAT term p' such that $\vdash p \equiv p'$

Regular Interpretation

Can interpret reduced terms as regular languages over an “alphabet” of complete tests, complete assignments, and **dup**:

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$$R(a) = \{a\}$$

$$R(\beta) = \{\beta\}$$

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Unfortunately $\llbracket p \rrbracket = \llbracket q \rrbracket$ does not imply $R(p) = R(q)$

Counterexample:

switch=1 · port=1 · switch=1 · port=2 and
switch=1 · port=1 · switch=2 · port=1

Language Model

Language Model

Language Interpretation: $G(p) \subseteq A \cdot (B \cdot \{\mathbf{dup}\})^* \cdot B$

$$G(a) = \{a \cdot \pi_a\}$$

$$G(\beta) = \{a \cdot \beta \mid a \in A\}$$

$$G(p + q) = G(p) \cup G(q)$$

$$G(p \cdot q) = G(p) \diamond G(q)$$

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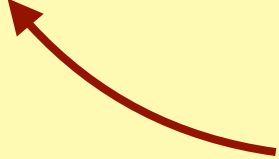
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*Guarded
strings*



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Example: $\alpha_1 \cdot \beta_2 \cdot \mathbf{dup} \cdot \beta_3 \cdot \mathbf{dup} \cdot \dots \cdot \mathbf{dup} \cdot \beta_n$

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Intuition: models trajectories through the network

Language Model

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Intuition: models trajectories through the network

Theorem: $\llbracket p \rrbracket = \llbracket q \rrbracket$ if and only if $G(p) = G(q)$

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Intuition: models trajectories through the network

Theorem: $\llbracket p \rrbracket = \llbracket q \rrbracket$ if and only if $G(p) = G(q)$

Lemma: For all p , there exists a normal form $\hat{p}$ such that $\vdash p \equiv \hat{p}$ and $G(\hat{p})$ is regular

Completeness Proof

p and q such that $\llbracket p \rrbracket = \llbracket q \rrbracket$

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p and q such that $\llbracket p \rrbracket = \llbracket q \rrbracket$



$\vdash p \equiv \hat{p}$ and $\vdash q \equiv \hat{q}$

Reduce and Normalize

Completeness Proof

p and q such that $\llbracket p \rrbracket = \llbracket q \rrbracket$

$\vdash p \equiv \hat{p}$ and $\vdash q \equiv \hat{q}$

$\llbracket \hat{p} \rrbracket = \llbracket \hat{q} \rrbracket$

Reduce and Normalize

Soundness

Completeness Proof

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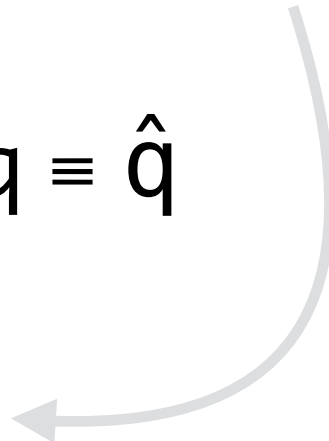
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Kleene Algebra Completeness
[Kozen '94]

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Reduce and Normalize

Soundness

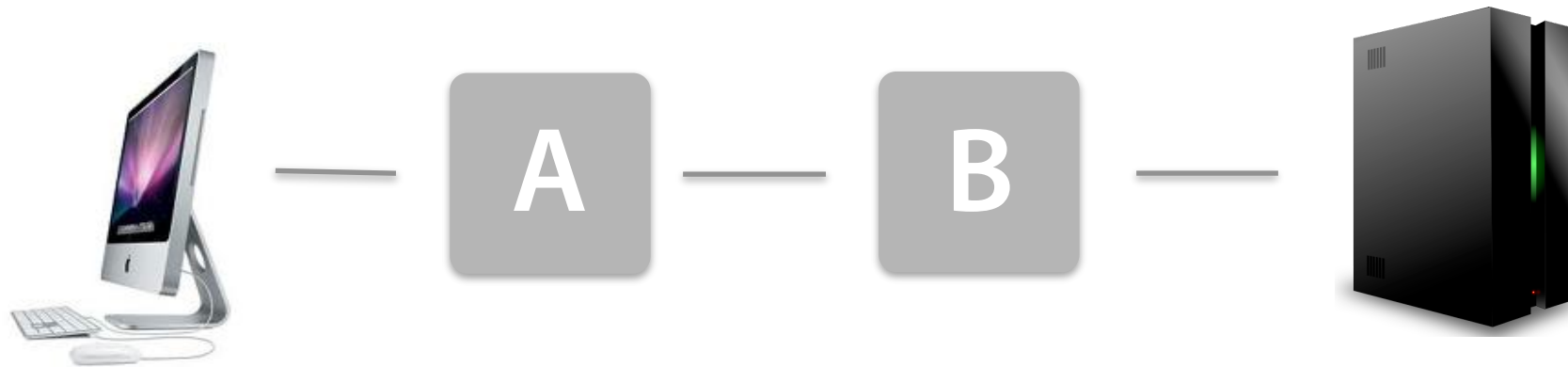
Language Model

Kleene Algebra Completeness
[Kozen '94]

Transitivity

Application: Optimization

Given a program and a topology:

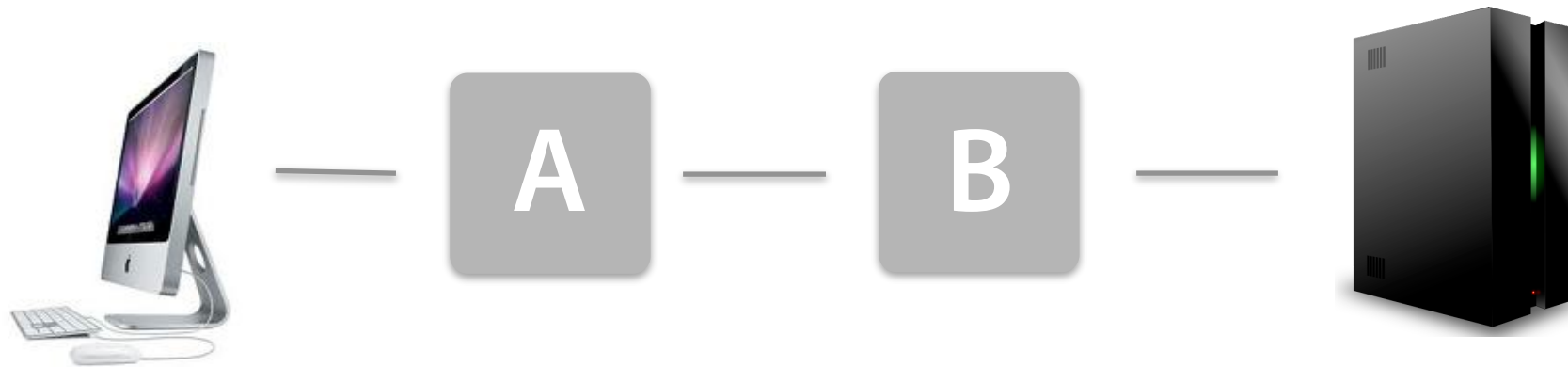


Want to be able to answer questions like:

“Will my network behave the same if I put the firewall rules on A, or on switch B (or both)?”

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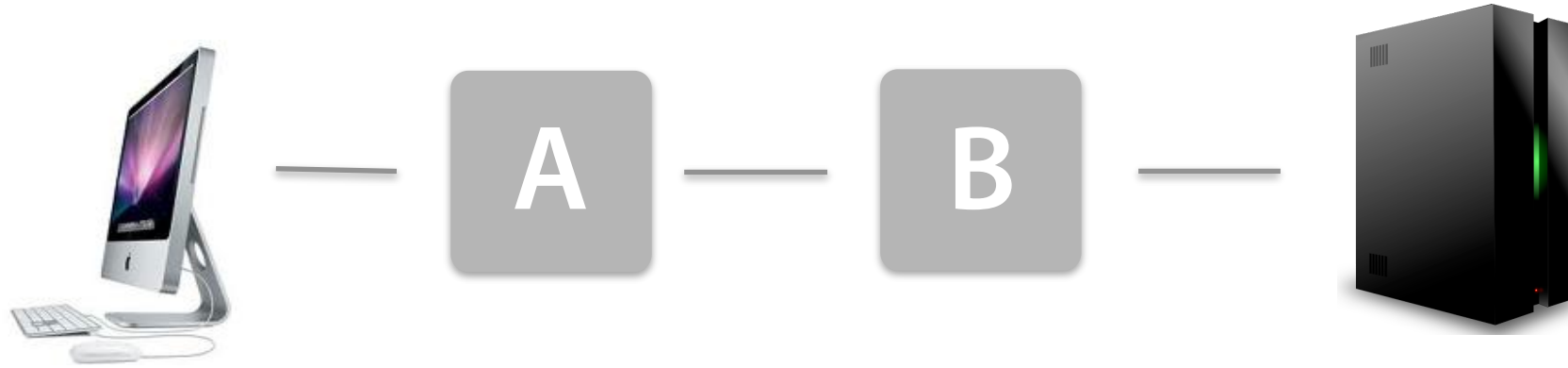
Want to be able to answer questions like:

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Formally, does the following equivalence hold?

$$(\text{sw} = A \bullet \underline{\text{firewall}} \bullet \text{routing}) \vdash (\text{sw} = B \bullet \text{routing})$$
$$\equiv$$
$$(\text{sw} = A \bullet \text{routing}) \vdash (\text{sw} = B \bullet \underline{\text{firewall}} \bullet \text{routing})$$

Code Motion Proof

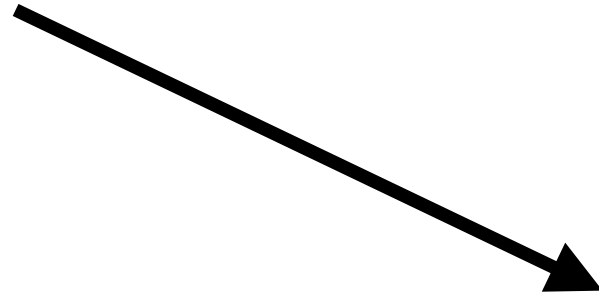


$$\begin{aligned}
 & in \cdot SSH \cdot (p_A \cdot t)^* \cdot out \\
 \equiv & \{ \text{KAT-INVARIANT, definition } p_A \} \\
 & in \cdot SSH \cdot ((a_A \cdot \neg SSH \cdot p + a_B \cdot p) \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{KA-SEQ-DIST-R} \} \\
 & in \cdot SSH \cdot (a_A \cdot \neg SSH \cdot p \cdot t \cdot SSH + a_B \cdot p \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{KAT-COMMUTE} \} \\
 & in \cdot SSH \cdot (a_A \cdot \neg SSH \cdot SSH \cdot p \cdot t + a_B \cdot p \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{BA-CONTRA} \} \\
 & in \cdot SSH \cdot (a_A \cdot 0 \cdot p \cdot t + a_B \cdot p \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{KA-SEQ-ZERO/ZERO-SEQ, KA-PLUS-COMM, KA-PLUS-ZERO} \} \\
 & in \cdot SSH \cdot (a_B \cdot p \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{KA-UNROLL-L} \} \\
 & in \cdot SSH \cdot (1 + (a_B \cdot p \cdot t \cdot SSH) \cdot (a_B \cdot p \cdot t \cdot SSH)^*) \cdot out \\
 \equiv & \{ \text{KA-SEQ-DIST-L, KA-SEQ-DIST-R, definition } out \} \\
 & in \cdot SSH \cdot a_B \cdot a_2 + \\
 & in \cdot SSH \cdot a_B \cdot p \cdot t \cdot SSH \cdot (a_B \cdot p \cdot t \cdot SSH)^* \cdot a_B \cdot a_2 \\
 \equiv & \{ \text{KAT-COMMUTE} \} \\
 & in \cdot a_B \cdot SSH \cdot a_2 + \\
 & in \cdot a_B \cdot SSH \cdot p \cdot t \cdot SSH \cdot (a_B \cdot p \cdot t \cdot SSH)^* \cdot a_B \cdot a_2 \\
 \equiv & \{ \text{Lemma 1} \} \\
 & 0 + 0 \\
 \equiv & \{ \text{KA-PLUS-IDEM} \} \\
 & 0
 \end{aligned}$$

$$\begin{aligned}
 & \equiv \{ \text{KA-PLUS-IDEM} \} \\
 & 0 + 0 \\
 \equiv & \{ \text{Lemma 1, Lemma 2} \} \\
 & in \cdot a_B \cdot SSH \cdot a_2 + \\
 & in \cdot SSH \cdot (a_A \cdot p \cdot t \cdot SSH)^* \cdot p \cdot SSH \cdot a_A \cdot t \cdot out \\
 \equiv & \{ \text{KAT-COMMUTE, definition } out \} \\
 & in \cdot SSH \cdot out + \\
 & in \cdot SSH \cdot (a_A \cdot p \cdot t \cdot SSH)^* \cdot a_A \cdot p \cdot t \cdot SSH \cdot out \\
 \equiv & \{ \text{KA-SEQ-DIST-L, KA-SEQ-DIST-R} \} \\
 & in \cdot SSH \cdot (1 + (a_A \cdot p \cdot t \cdot SSH)^* \cdot (a_A \cdot p \cdot t \cdot SSH)) \cdot out \\
 \equiv & \{ \text{KA-UNROLL-R} \} \\
 & in \cdot SSH \cdot (a_A \cdot p \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{KA-SEQ-ZERO/ZERO-SEQ, KA-PLUS-ZERO} \} \\
 & in \cdot SSH \cdot (a_A \cdot p \cdot t \cdot SSH + a_B \cdot 0 \cdot p \cdot t)^* \cdot out \\
 \equiv & \{ \text{BA-CONTRA} \} \\
 & in \cdot SSH \cdot (a_A \cdot p \cdot t \cdot SSH + a_B \cdot \neg SSH \cdot SSH \cdot p \cdot t)^* \cdot out \\
 \equiv & \{ \text{KAT-COMMUTE} \} \\
 & in \cdot SSH \cdot (a_A \cdot p \cdot t \cdot SSH + a_B \cdot \neg SSH \cdot p \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{KA-SEQ-DIST-R} \} \\
 & in \cdot SSH \cdot ((a_A \cdot p + a_B \cdot \neg SSH \cdot p) \cdot t \cdot SSH)^* \cdot out \\
 \equiv & \{ \text{KAT-INVARIANT, definition } p_B \} \\
 & in \cdot SSH \cdot (p_B \cdot t)^* \cdot out
 \end{aligned}$$

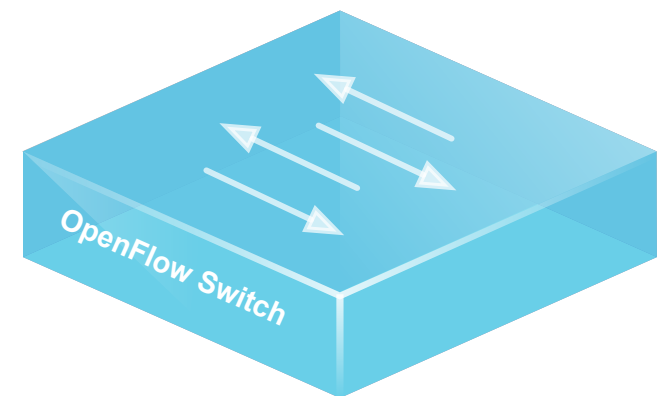
Application: Compiler Validation

$!(\text{type}=\text{SSH}) \cdot (\text{pt}=1 \cdot \text{pt} \leftarrow 2 + \text{pt}=2 \cdot \text{pt} \leftarrow 1)$



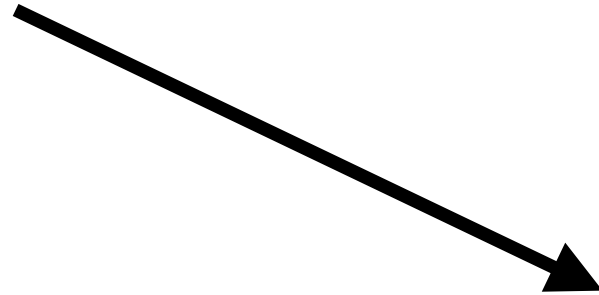
OpenFlow rule table

Pattern	Action
type=SSH	Drop
port=1	Output 2
port=2	Output 1
*	Drop



Application: Compiler Validation

$!(\text{type}=\text{SSH}) \cdot (\text{pt}=1 \cdot \text{pt} \leftarrow 2 + \text{pt}=2 \cdot \text{pt} \leftarrow 1)$

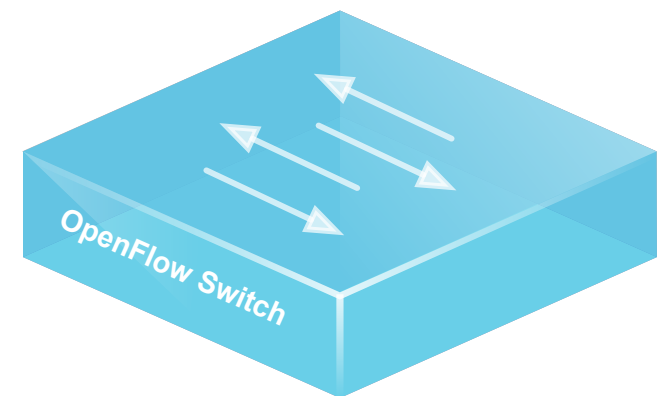


OpenFlow rule table

$\text{type}=\text{SSH} \cdot 0 +$
 $!\text{type}=\text{SSH} \cdot \text{pt}=1 \cdot \text{pt} \leftarrow 2 +$
 $!\text{type}=\text{SSH} \cdot !\text{pt}=1 \cdot \text{pt}=2 \cdot \text{pt} \leftarrow 1 +$
 $!\text{type}=\text{SSH} \cdot !\text{pt}=1 \cdot !\text{pt}=2 \cdot 0$

Pattern	Action
$\text{type}=\text{SSH}$	Drop
$\text{port}=1$	Output 2
$\text{port}=2$	Output 1
*	Drop

Theorem: $p \equiv \text{compile}(p)$



Operational Semantics

NetKAT Automata

A *NetKAT automaton* $M = (S, s_0, \varepsilon, \delta)$ is a tuple where:

- S is a finite set of states,
- $s_0 \in S$ is the start state,
- $\varepsilon \in S \rightarrow \text{Packet} \rightarrow \text{Packet Set}$ is the observation function
- $\delta \in S \rightarrow \text{Packet} \rightarrow (\text{State} * \text{Packet}) \text{ Set}$ is the continuation function

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Input “strings”: $\text{pkt}_{\text{in}} \cdot \text{pkt}_1 \cdot \mathbf{dup} \cdot \dots \cdot \mathbf{dup} \cdot \text{pkt}_n \mathbf{dup} \cdot \text{pkt}_{\text{out}}$

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Input “strings”: $\text{pkt}_{\text{in}} \cdot \text{pkt}_1 \cdot \mathbf{dup} \cdot \dots \cdot \mathbf{dup} \cdot \text{pkt}_n \mathbf{dup} \cdot \text{pkt}_{\text{out}}$

A NetKAT automaton M *accepts* an input in state s if:

- $\text{accept } s (\text{pkt}_{\text{in}} \cdot \text{pkt}_{\text{out}}) \Leftrightarrow \text{pkt}_{\text{out}} \in \varepsilon s \text{ pat}_{\text{in}}$
- $\text{accept } s (\text{pkt}_{\text{in}} \cdot \text{pkt}_1 \cdot \mathbf{dup} \cdot w) \Leftrightarrow$
 $\exists s'. (\text{pkt}_1, s') \in \delta s \text{ pkt}_{\text{in}} \text{ and } \text{accept } s' (\text{pkt}_1 \cdot w)$

NetKAT Derivatives

$E(\text{pol}) \in \text{Pol}$

$D(\text{pol}) \in (\text{Pol} * L * \text{Pol}) \text{ Set}$

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occurrence of **dup***



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$E(\text{pol}) \in \text{Pol}$

$D(\text{pol}) \in (\text{Pol} * L * \text{Pol}) \text{ Set}$

*Labels, one per
occurrence of **dup***



Observation map

$E(\mathbf{false}) = \mathbf{false}$

$E(\mathbf{true}) = \mathbf{true}$

$E(f = n) = f = n$

$E(f := n) = f := n$

$E(!\text{pol}) = !\text{pol}$

$E(\mathbf{dup}^l) = \mathbf{false}$

$E(\text{pol}_1 + \text{pol}_2) = E(\text{pol}_1) + E(\text{pol}_2)$

$E(\text{pol}_1 \bullet \text{pol}_2) = E(\text{pol}_1) \bullet E(\text{pol}_2)$

$E(\text{pol}^*) = E(\text{pol})^*$

NetKAT Derivatives

$E(\text{pol}) \in \text{Pol}$

$D(\text{pol}) \in (\text{Pol} * L * \text{Pol})$ set

Continuation map

$$D(\mathbf{false}) = \{\}$$

$$D(\mathbf{true}) = \{\}$$

$$D(f=n) = \{\}$$

$$D(f:=n) = \{\}$$

$$D(!\text{pol}) = \{\}$$

$$D(\mathbf{dup}^l) = \{ (\mathbf{true}, l, \mathbf{true}) \}$$

$$D(\text{pol}_1 + \text{pol}_2) = D(\text{pol}_1) + D(\text{pol}_2)$$

$$D(\text{pol}_1 \bullet \text{pol}_2) = D(\text{pol}_1) \bullet \text{pol}_2 + E(\text{pol}_1) \bullet D(\text{pol}_2)$$

$$D(\text{pol}^*) = E(\text{pol})^* \bullet D(\text{pol}) \bullet \text{pol}^*$$

NetKAT Derivatives

$$E(\text{pol}) \in \text{Pol}$$

$$D(\text{pol}) \in (\text{Pol} * L * \text{Pol}) \text{ set}$$

Continuation map

$$D(\mathbf{false}) = \{\}$$

Theorem [POPL '15]: every NetKAT program has a finite number of derivatives (modulo ACI)

$$D(\mathbf{true}) = \{\}$$

$$D(!\text{pol}) = \{\}$$

$$D(\mathbf{dup}^l) = \{ (\mathbf{true}, l, \mathbf{true}) \}$$

$$D(\text{pol}_1 + \text{pol}_2) = D(\text{pol}_1) + D(\text{pol}_2)$$

$$D(\text{pol}_1 \bullet \text{pol}_2) = D(\text{pol}_1) \bullet \text{pol}_2 + E(\text{pol}_1) \bullet D(\text{pol}_2)$$

$$D(\text{pol}^*) = E(\text{pol})^* \bullet D(\text{pol}) \bullet \text{pol}^*$$

Program Automaton

Notation: Write k_l for the unique continuation of dup^l

The *program automaton* M for a program pol is a tuple where:

- S is the set of labels occurring in pol , plus the initial label 0
- $s_0 \triangleq 0$ is the start state,
- $\varepsilon \mid \text{pkt} = \{ \text{pkt}' \mid \langle \text{pkt}' \rangle \in \llbracket E(k_l) \rrbracket \langle \text{pkt} \rangle \}$
- $\delta \mid \text{pkt} = \{ (\text{pkt}', l') \mid (d, l', k) \in D(k_l) \text{ and } \langle \text{pkt}' \rangle \in \llbracket d \rrbracket \langle \text{pkt} \rangle \}$

Program Automaton

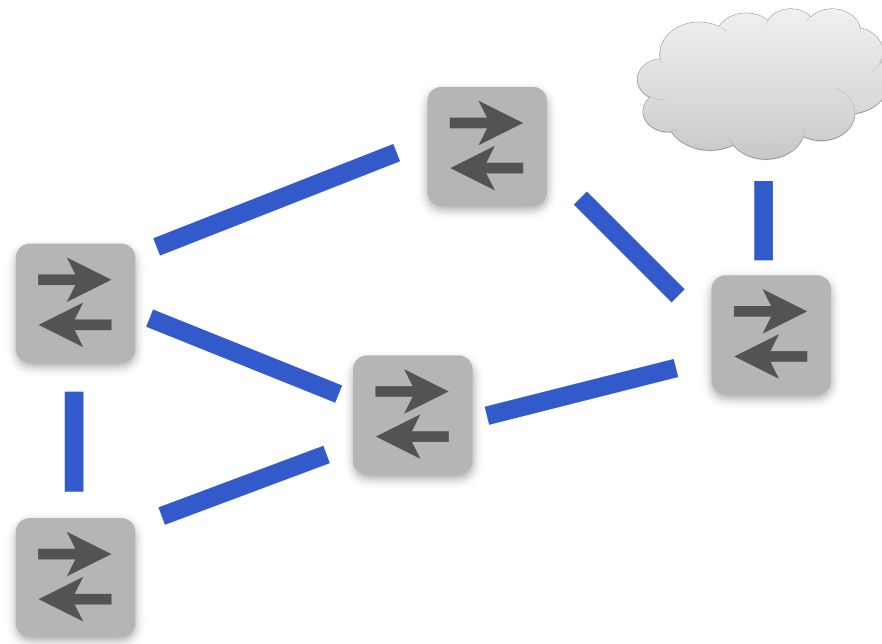
Lemma: For every label l occurring in a NetKAT program pol there exist unique programs d and k such that $(d, l, k) \in D(\text{pol})$.

Notation: Write k_l for the unique continuation of dup^l

The *program automaton* M for a program pol is a tuple where:

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Application: Loop Freedom



Can also exploit automata representations to *efficiently* check whether a network is free of forwarding loops...

Intuition: $\forall a. \text{in} \bullet (p \bullet t)^* \bullet a \bullet (p \bullet t)^* \bullet a \equiv \mathbf{false}$

- $\forall \text{pkt}, \text{pkt}'. \text{pkt}' \in \llbracket E(\Phi(\text{in} \bullet (p \bullet t)^*)) \rrbracket \text{pkt}$
- Check whether $\text{pkt}' \in \llbracket E(\Phi(p \bullet t)^*) \rrbracket \text{pkt}'$

Limits and Open Questions

Open Questions

The Horn theory of a KA/T is undecidable in general.
What theories are useful in practice?

How can we extend KA/T to model concurrency?

- Concurrent KA [CONCUR '09]
- KA with Observations [CONCUR '19]
- Concurrent KA with Observations [FoSSaCS '19]

Come to Tobias's POPL talk on Wednesday at 4:18pm!

Guarded Kleene Algebra with Tests

Reading

- Carolyn Jane Anderson, Nate Foster, Arjun Guha, Jean-Baptiste Jeannin, Dexter Kozen, Cole Schlesinger, and David Walker.
NetKAT: Semantic Foundations for Networks. In *ACM SIGPLAN--SIGACT Symposium on Principles of Programming Languages (POPL)*, January 2014.
- Steffen Smolka, Spiridon Eliopoulos, Nate Foster, and Arjun Guha.
A Fast Compiler for NetKAT. In *ACM SIGPLAN International Conference on Functional Programming (ICFP)*, September 2015.
- Nate Foster, Dexter Kozen, Matthew Milano, Alexandra Silva, and Laure Thompson. **A Coalgebraic Decision Procedure for NetKAT.** In *ACM SIGPLAN--SIGACT Symposium on Principles of Programming Languages (POPL)*, Mumbai, India, January 2015.