

Sound and Complete axiomatization of trace semantics for probabilistic systems

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Motivation

$$P ::= \mathbf{0} \mid a.P \mid P + P \mid \mu x.P^g$$

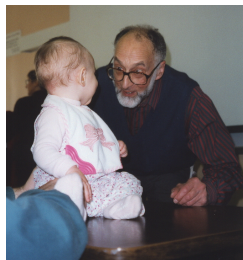
Kleene-like theorem: behaviours of LTS are characterized by P's and vice-versa

Axiomatization:

$$P + Q \equiv Q + P; P + \mathbf{0} \equiv P; \mu x.P \equiv P[\mu x.P/x]; \dots$$

Soundness and Completeness:

$$P \equiv Q \iff P \sim Q$$



Robin Milner

Robin Milner: A Complete Inference System for a Class of Regular Behaviours. J. Comput. Syst. Sci. 28(3): 439-466 (1984)

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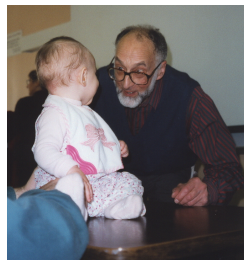
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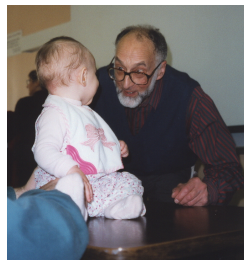
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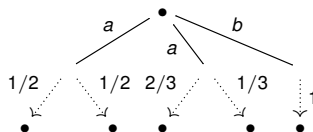
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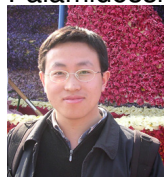
Alexander
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Probabilistic extensions of Milner's work

Segala systems



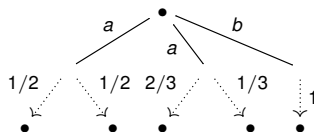
Catuscia
Palamidessi



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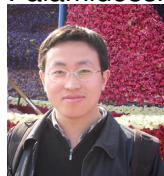
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$$E' ::= \bigoplus_{i \in 1 \dots n} p_i \cdot E_i$$

where $a \in A$, $p_i \in (0, 1]$ and $\sum_{i \in 1 \dots n} p_i = 1$



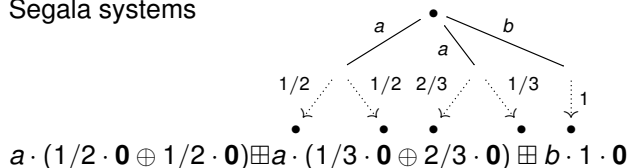
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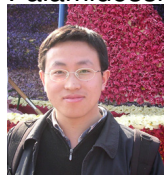
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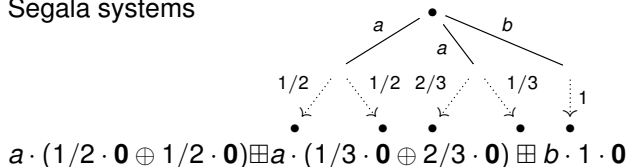
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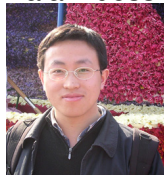
$$(E_1 \boxplus E_2) \boxplus E_3 \equiv E_1 \boxplus (E_2 \boxplus E_3)$$

$$\vdots$$

$$(p_1 \cdot E) \oplus (p_2 \cdot E) \equiv (p_1 + p_2) \cdot E$$

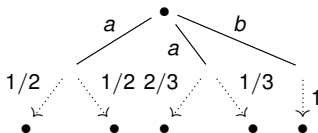


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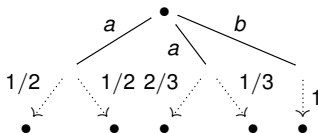
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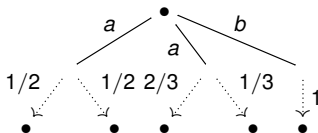
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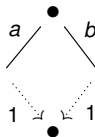
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Probabilistic extensions of Milner's work II

Extensions of Milner's work **uniformly** to a large class of systems including Segala systems, generative systems, alternating systems, ...

Key idea: $S \rightarrow GS$

The type G is enough to derive:

- 1 canonical notion of equivalence (bisimilarity)
- 2 syntax
- 3 sound and complete axiomatizations



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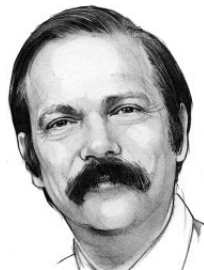
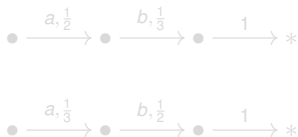


Jan Rutten

How about trace?

Many people think that bisimilarity is not the right equivalence. . .

. . . and that trace equivalence is more appropriate to reason about systems.

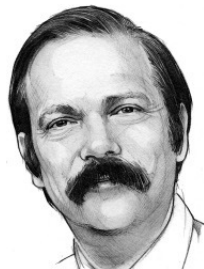
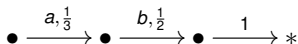
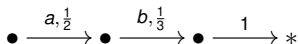


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- 2 Can we extend sound and complete axiomatizations of probabilistic systems for bisimilarity to trace equivalence?

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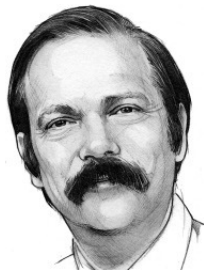
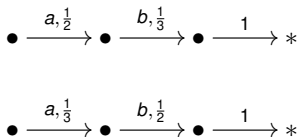


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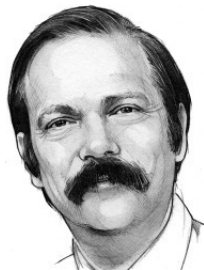
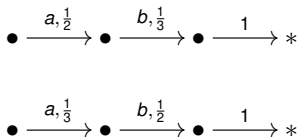


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First observation: this is a general phenomenon!

Theorem (Bonsangue&Milius&Silva 2011)

Sound and complete axiomatizations for bisimilarity can always be extended to sound and complete axiomatizations for trace semantics.

The theorem is valid for a large class of systems including LTS and weighted automata, but. . . not for probabilistic systems.

This talk: the method also works for probabilistic systems!

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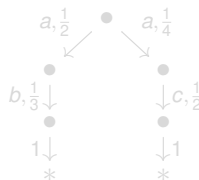
$$(S, \alpha: X \rightarrow \mathcal{D}_\omega(1 + A \times X))$$

$$x \xrightarrow{p} * \quad \text{if} \quad \alpha(x)(*) = p,$$

i.e., x successfully terminates with probability p , and

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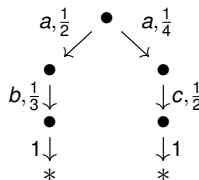
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Starting point

$$\begin{aligned} E &::= \bigoplus_{i \in I} p_i \cdot F_i \mid \mu x. E^g \mid x & (p_i \in [0, 1], \sum_{i \in I} p_i \leq 1) \\ E^g &::= \bigoplus_{i \in I} p_i \cdot F_i \mid \mu x. E^g & (p_i \in [0, 1], \sum_{i \in I} p_i \leq 1) \\ F_i &::= * \mid a \cdot E \end{aligned}$$

Bonchi et al. 2009

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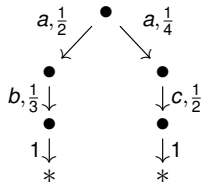
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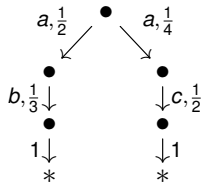
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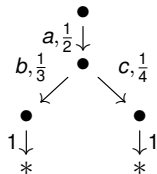
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Examples

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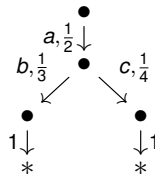
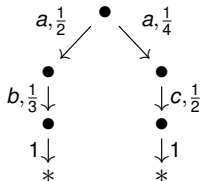
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Top states are **not** bisimilar but they are trace equivalent.

$$ab \mapsto \frac{1}{6}; \quad ab \mapsto \frac{1}{8}$$

⋮
all the axioms for \sim
⋮

$$p \cdot a \cdot (p_1 E_1 \oplus p_2 E_2) \equiv p_1 \cdot a \cdot p E_1 \oplus p_2 \cdot a \cdot p E_2 \quad (D)$$

Part of (D) is about multiplying probabilities

We define a notion of *scalar product* for expressions:

$$p \left(\bigoplus_{i \in I} p_i \cdot F_i \right) = \bigoplus_{i \in I} (p p_i) \cdot F_i$$

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(D) is also about eliminating branching



$$\begin{aligned}
 & \left(\frac{1}{2} \cdot a \cdot \frac{1}{3} \cdot b \cdot 1 \cdot * \right) \oplus \left(\frac{1}{4} \cdot a \cdot \frac{1}{2} \cdot c \cdot 1 \cdot * \right) \quad \left(\frac{1}{2} \cdot a \cdot \left(\frac{1}{3} \cdot b \cdot 1 \cdot * \right) \oplus \frac{1}{4} \cdot c \cdot 1 \cdot * \right) \\
 & \stackrel{(D)}{=} \quad \quad \quad = \\
 & \frac{1}{2} \cdot a \cdot \left(\frac{1}{2} \left(\frac{2}{3} \cdot b \cdot 1 \cdot * \right) \oplus \frac{1}{4} (1 \cdot c \cdot 1 \cdot *) \right)
 \end{aligned}$$

Soundness and Completeness

- Soundness and completeness proofs often boil down to find *normal forms*;
- Rabinovich's proof uses the fact that every finite LTS can be changed to a finite trace-equivalent LTS that is deterministic.
- This is not so trivial for probabilistic systems: for a finite system, there may be no finite deterministic system that is trace equivalent to it.
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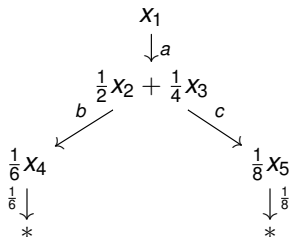
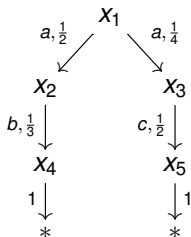
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General strategy

- Determinization

$$\begin{array}{ccc}
 X & \xrightarrow{\eta_X} & \mathcal{D}_\omega(X) \\
 \alpha \downarrow & & \nearrow (\delta \circ \alpha)^\# \\
 \mathcal{D}_\omega(1 + A \times X) & & \\
 \delta \downarrow & \swarrow & \\
 [0, 1] \times \mathcal{D}_\omega(X)^A & &
 \end{array}$$



General strategy

- Determinization and semantics by finality

$$\begin{array}{ccccc}
 X & \xrightarrow{\eta_X} & \mathcal{D}_\omega(X) & \xrightarrow{\text{out}} & [0, 1]^{A^*} \\
 \alpha \downarrow & & \swarrow (\delta \circ \alpha)^\# & & \downarrow \langle \varepsilon?, (-)_a \rangle \\
 \mathcal{D}_\omega(1 + A \times X) & & & & \\
 \delta \downarrow & \swarrow & & & \\
 [0, 1] \times \mathcal{D}_\omega(X)^A & \xrightarrow{id \times \text{out}^A} & [0, 1] \times ([0, 1]^{A^*})^A & &
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 \end{array}$$

Theorem

For any $x \in X$, $tr(x) = out(\eta(x))$. For $E \in \text{Exp}$, $tr(x) = out_\equiv([E])$

This actually means that the image of *out* is a distribution on words.

Soundness and completeness

Soundness	Completeness
$E_1 \equiv E_2$	$E_1 \sim_{\text{tr}} E_2$
$\Leftrightarrow [E_1] = [E_2]$	$\Leftrightarrow \text{tr}(E_1) = \text{tr}(E_2)$
$\stackrel{(*)}{\Rightarrow} out_{\equiv}([E_1]) = out_{\equiv}([E_2])$	$\stackrel{(\triangle)}{\Leftrightarrow} out_{\equiv}([E_1]) = out_{\equiv}([E_2])$
$\stackrel{(\triangle)}{\Leftrightarrow} tr(E_1) = tr(E_2)$	$\stackrel{(\heartsuit)}{\Rightarrow} [E_1] = [E_2]$
$\Leftrightarrow E_1 \sim_{\text{tr}} E_2$	$\Leftrightarrow E_1 \equiv E_2$

($*$): existence of out_{\equiv} , (\triangle): $out_{\equiv} \circ [-] = tr$, (\heartsuit): out_{\equiv} is injective.

The proof of (\heartsuit) is where the difficulties arose.

Soundness and completeness

Soundness	Completeness
$E_1 \equiv E_2$	$E_1 \sim_{\text{tr}} E_2$
$\Leftrightarrow [E_1] = [E_2]$	$\Leftrightarrow \text{tr}(E_1) = \text{tr}(E_2)$
$\stackrel{(*)}{\Rightarrow} out_{\equiv}([E_1]) = out_{\equiv}([E_2])$	$\stackrel{(\triangle)}{\Leftrightarrow} out_{\equiv}([E_1]) = out_{\equiv}([E_2])$
$\stackrel{(\triangle)}{\Leftrightarrow} tr(E_1) = tr(E_2)$	$\stackrel{(\heartsuit)}{\Rightarrow} [E_1] = [E_2]$
$\Leftrightarrow E_1 \sim_{\text{tr}} E_2$	$\Leftrightarrow E_1 \equiv E_2$

($*$): existence of out_{\equiv} , (\triangle): $out_{\equiv} \circ [-] = tr$, (\heartsuit): out_{\equiv} is injective.

The proof of (\heartsuit) is where the difficulties arose.

Conclusions

- First sound and complete axiomatization of trace semantics of generative systems
- Similarly to Rabinovich

Future Work

- Extend **uniformly** to other types of systems
- All the proofs are coinductive parametrized by the functor type. The restriction on generalizing lies in the theory of generic coalgebraic trace semantics (Hasuo & Jacobs & Sokolova 2007).

Thank you for your attention!