

# Coalgebraic Up-to Techniques

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Radboud University Nijmegen & CWI Amsterdam

Shonan Meeting 026  
07.10.2013

(slide credits: Damien Pous)

# Context

Tools and proof techniques for systems equivalence

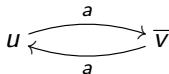
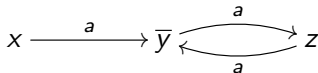
Methodology:

1. characterise coinductively a given notion of equivalence
2. improve the associated proof method

up-to techniques

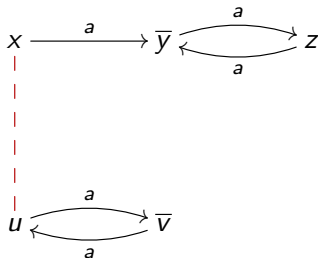
# Deterministic finite automata

The states  $x$  and  $u$  are language equivalent



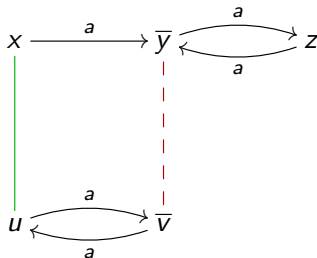
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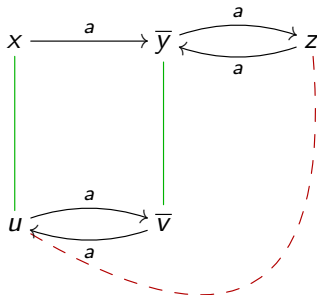
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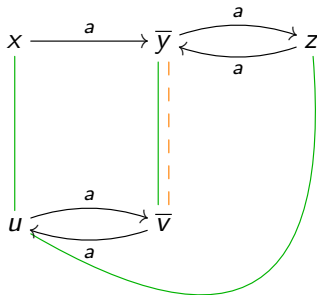
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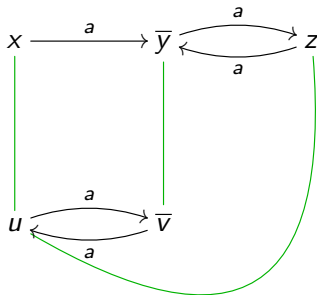
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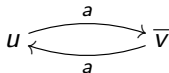
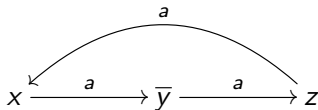
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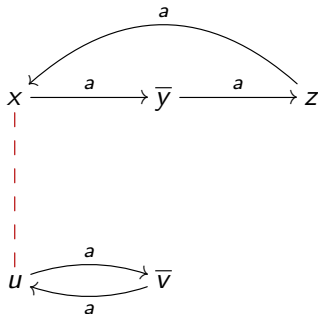
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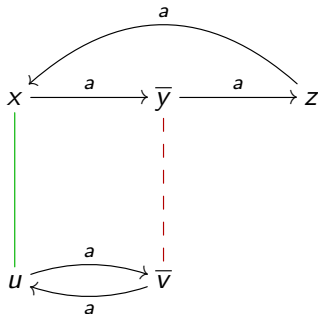
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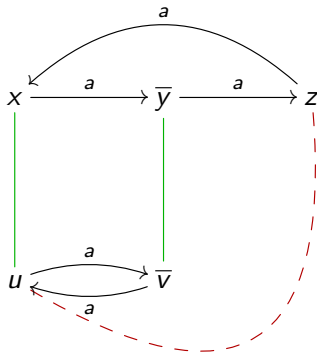
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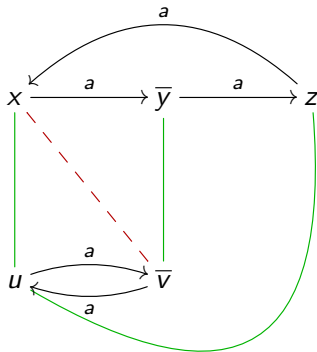
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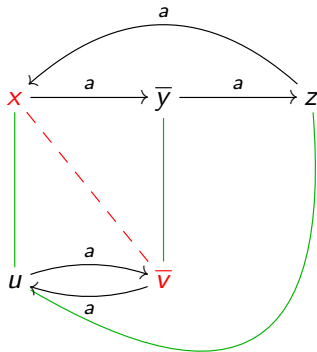
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# Correctness

- ▶ A relation  $R$  is a **bisimulation** if  $x R y$  entails
  - ▶  $o(x) = o(y)$ ;
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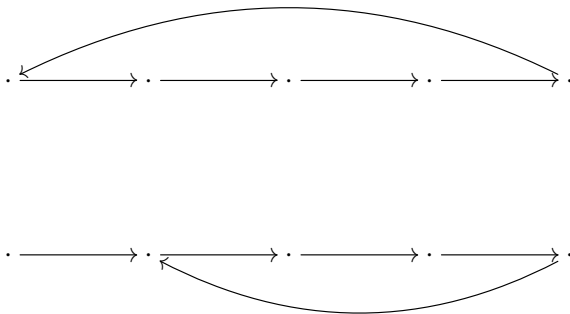
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The previous algorithm attempts to construct a bisimulation

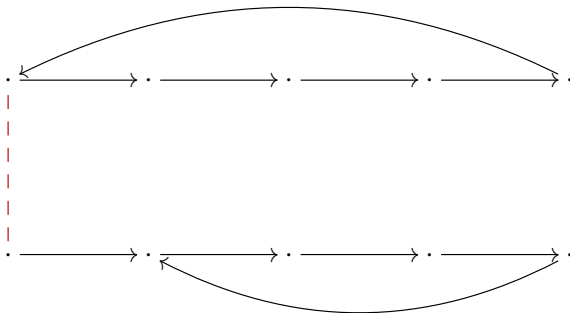
# Complexity

The previous algorithm is **quadratic**



# Complexity

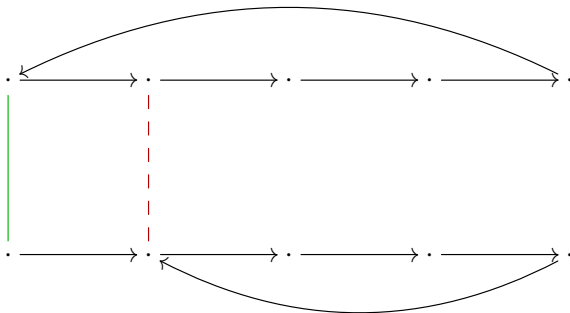
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0 pairs

# Complexity

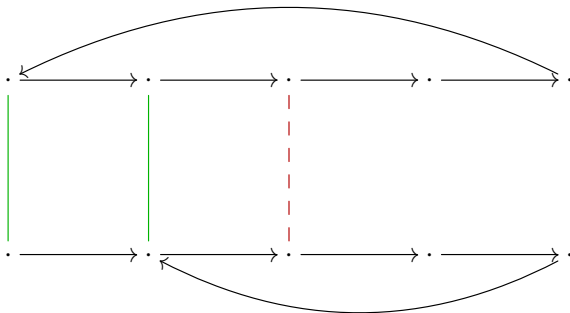
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1 pairs

# Complexity

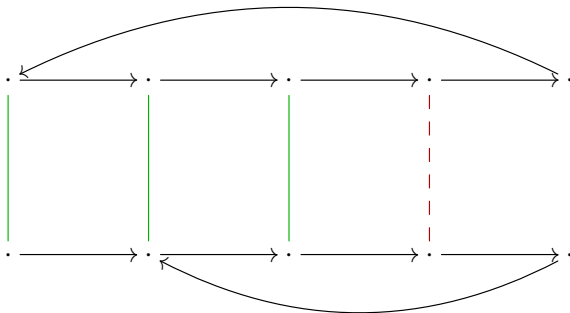
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2 pairs

# Complexity

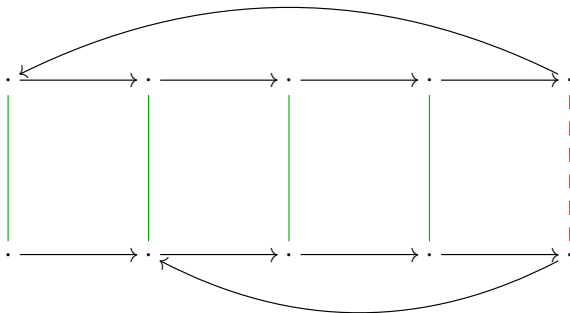
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3 pairs

# Complexity

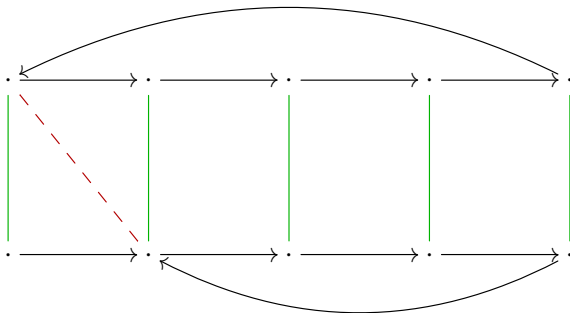
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4 pairs

# Complexity

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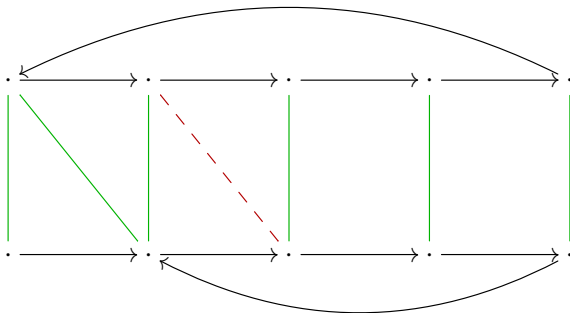


5 pairs



# Complexity

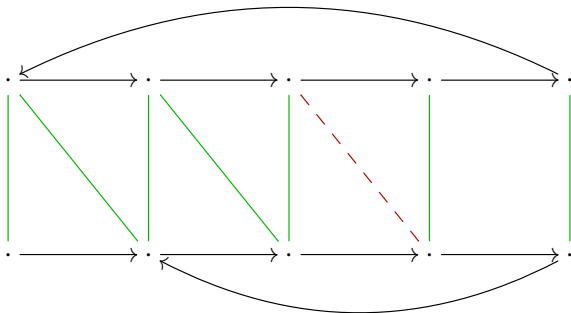
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6 pairs

# Complexity

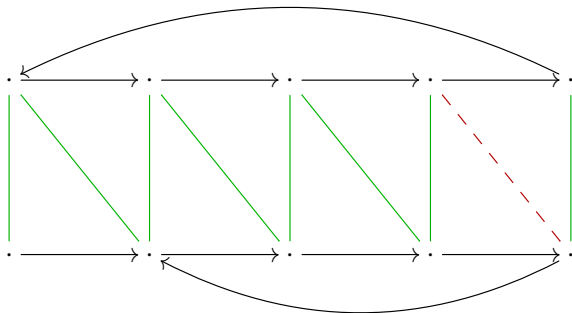
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7 pairs

# Complexity

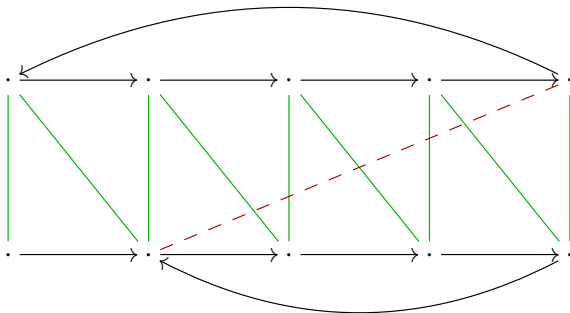
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8 pairs

# Complexity

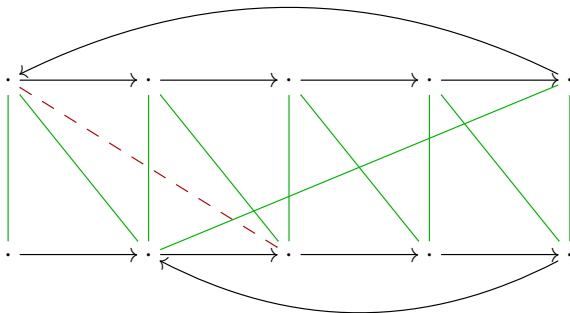
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9 pairs

# Complexity

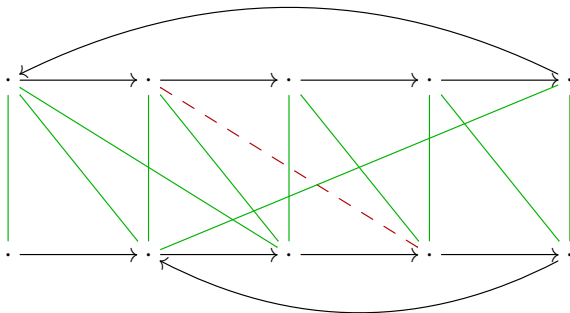
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10 pairs

# Complexity

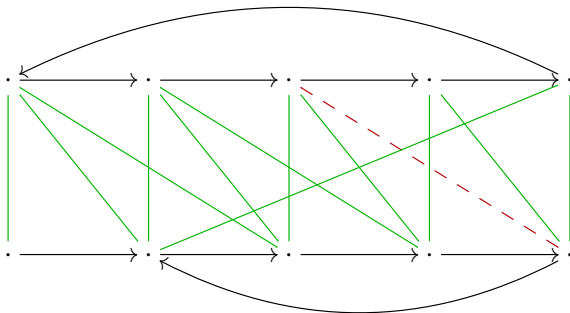
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11 pairs

# Complexity

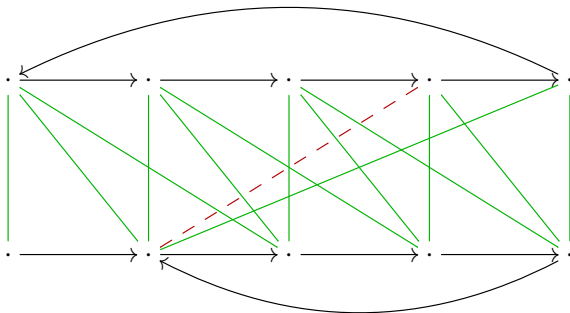
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12 pairs

# Complexity

The previous algorithm is **quadratic**

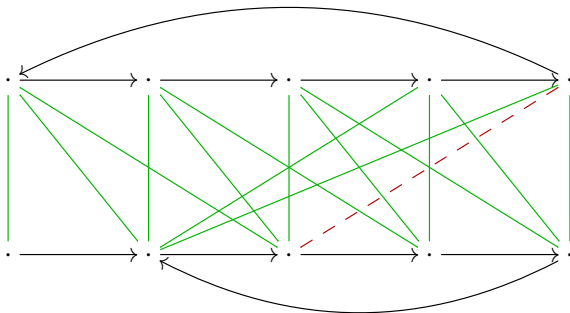


13 pairs



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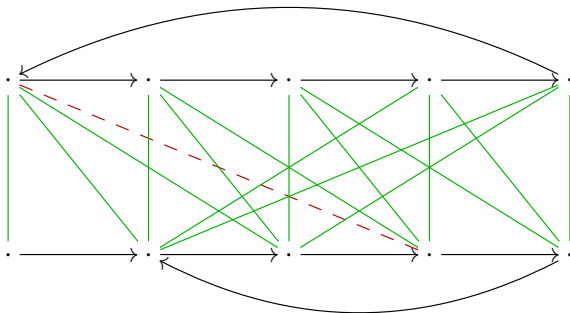
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14 pairs

# Complexity

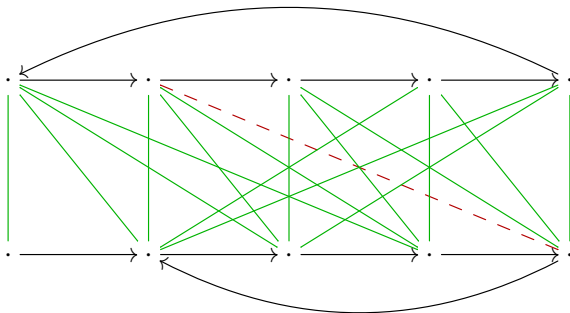
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15 pairs

# Complexity

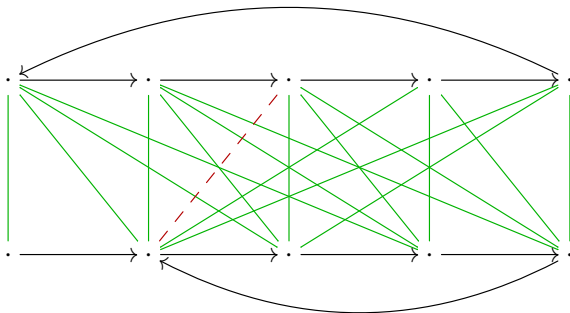
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16 pairs

# Complexity

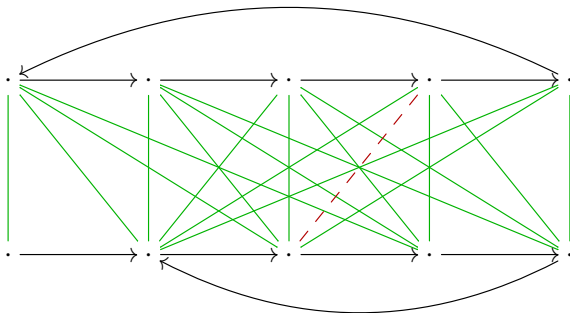
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17 pairs

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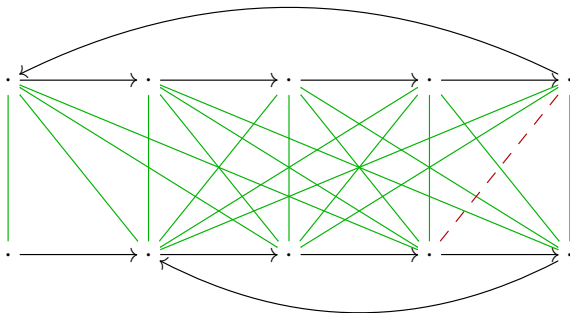
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18 pairs

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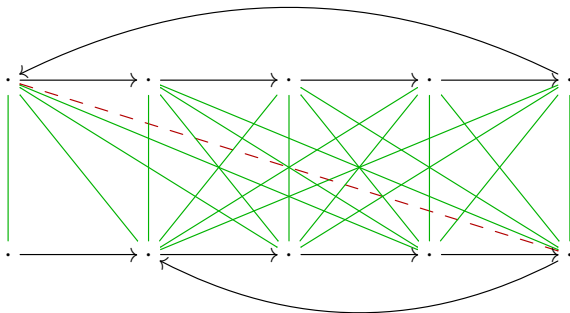
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19 pairs

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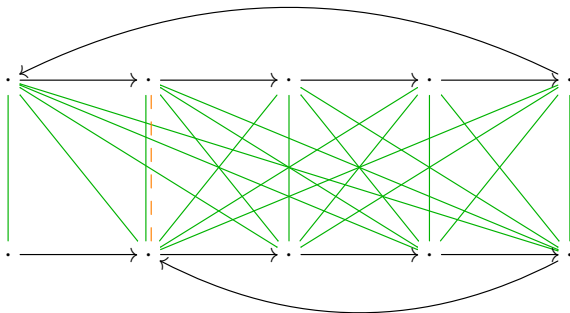
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20 pairs

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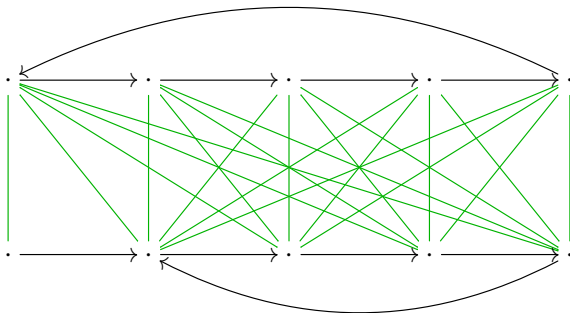


21 pairs



# Complexity

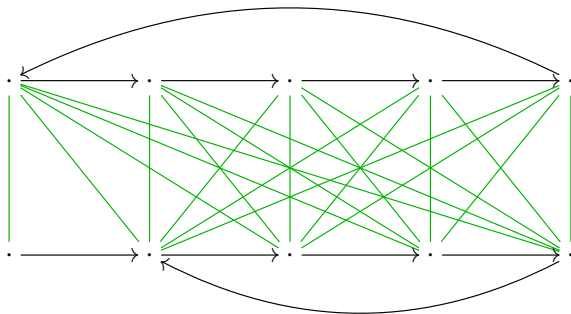
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21 pairs

# First improvement

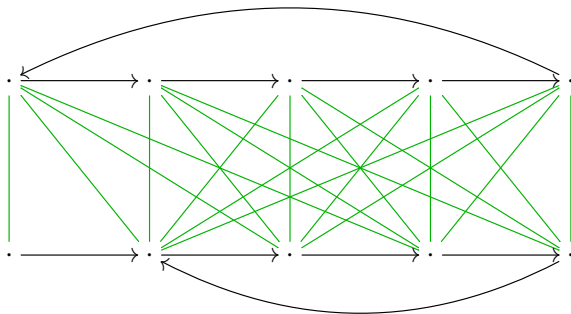
One can stop much earlier



21 pairs

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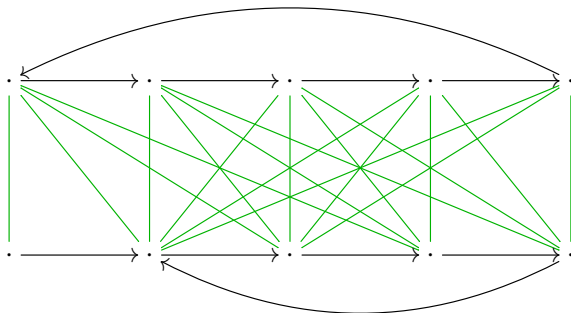
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21 20 pairs

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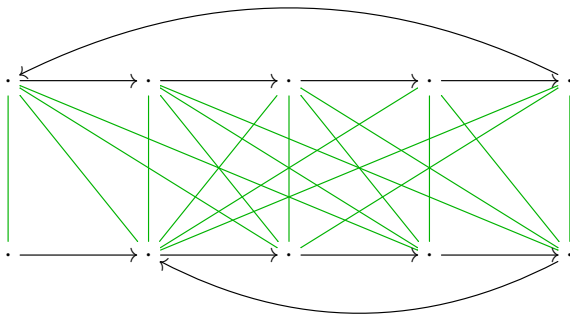
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21 19 pairs

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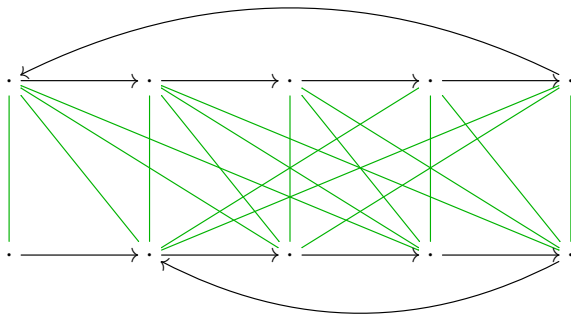
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21 18 pairs

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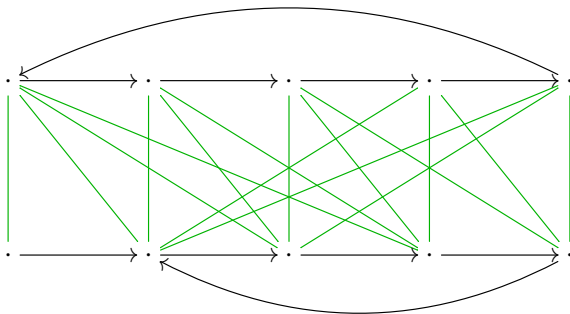
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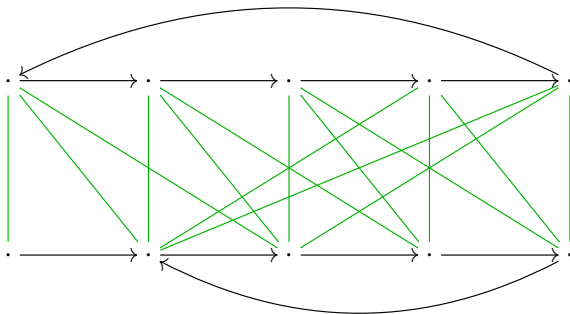
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21 16 pairs

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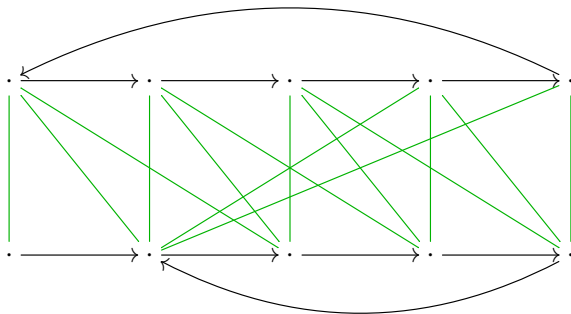


21 15 pairs



# First improvement

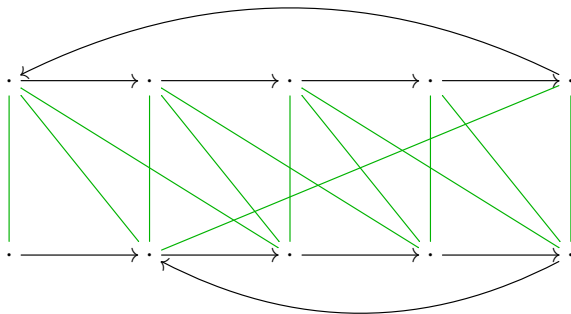
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21 14 pairs

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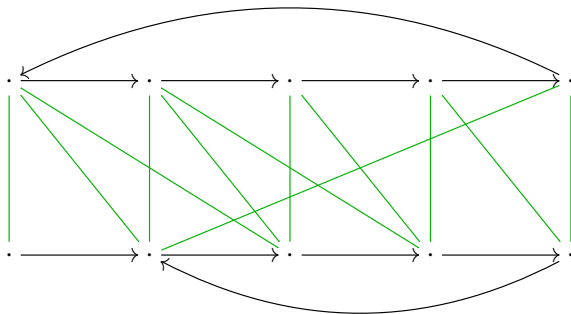
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21 13 pairs

# First improvement

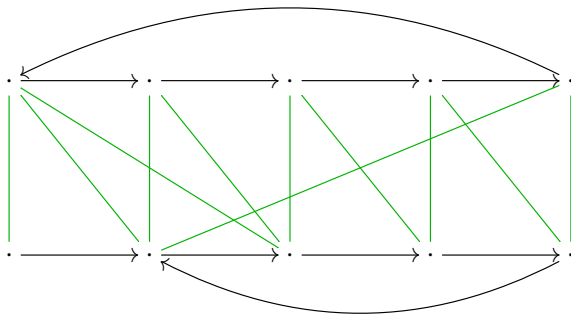
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21 12 pairs

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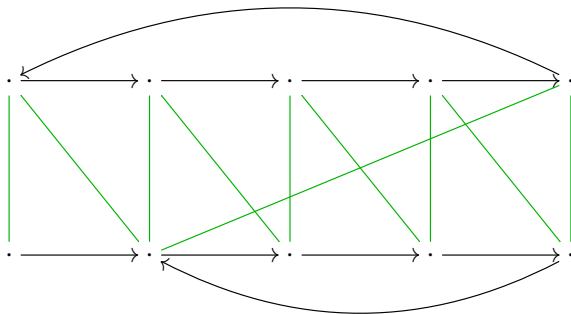
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21 11 pairs

# First improvement

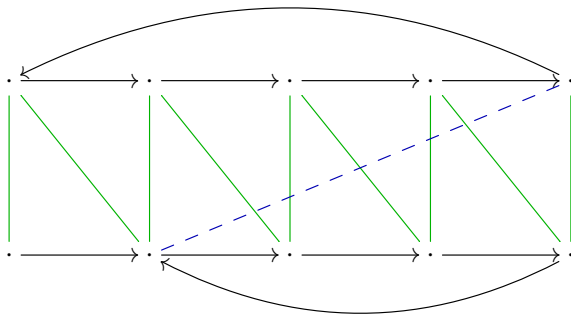
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21 10 pairs

# First improvement

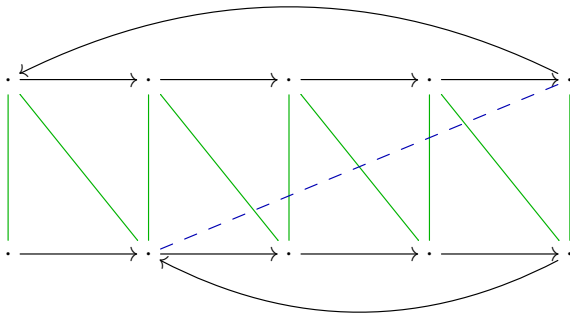
One can stop much earlier



21 9 pairs

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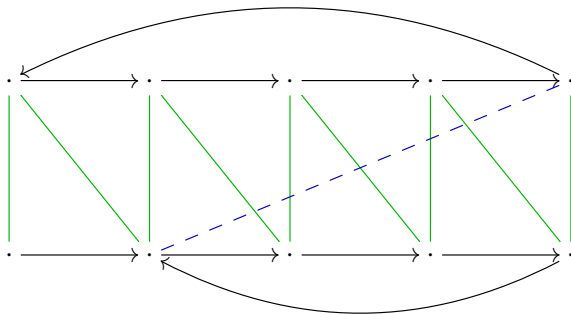
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[Hopcroft and Karp '71]

# First improvement

One can stop much earlier



Complexity: almost linear

[Hopcroft and Karp '71]

[Tarjan '75]



# Correctness of the improvement

Correctness of HK algorithm, revisited:

- ▶ Denote by  $R^e$  the equivalence closure of  $R$
- ▶  $R$  is a **bisimulation up to equivalence** if  $x R y$  entails
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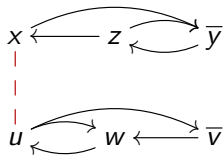
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Ten years before Milner and Park!

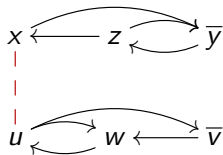
# Non-Deterministic Automata

Use Hopcroft and Karp **on the fly**, through the powerset construction:



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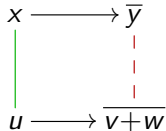
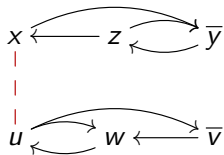
Use Hopcroft and Karp **on the fly**, through the powerset construction:



$x$   
|  
|  
|  
 $u$

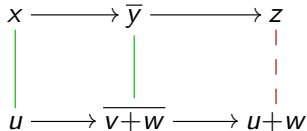
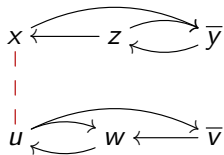
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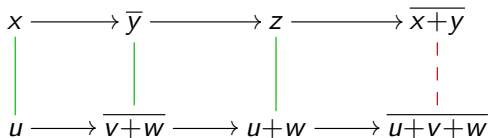
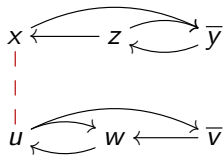
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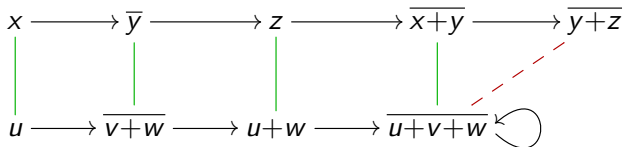
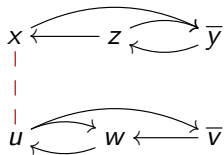
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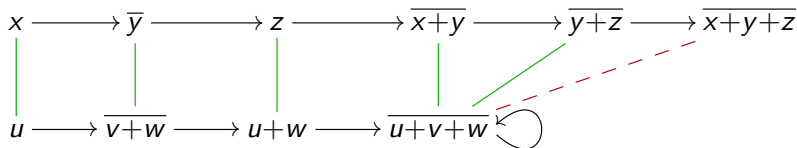
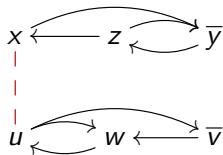
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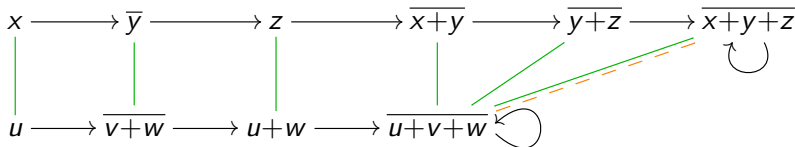
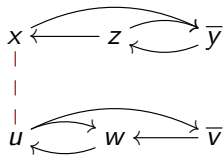
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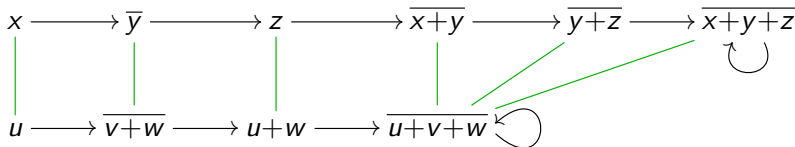
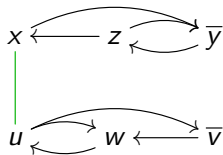
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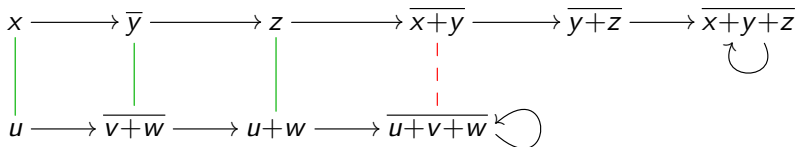
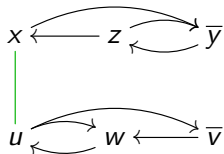
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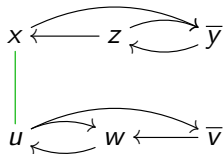
# Non-Deterministic Automata

One can do **better**:

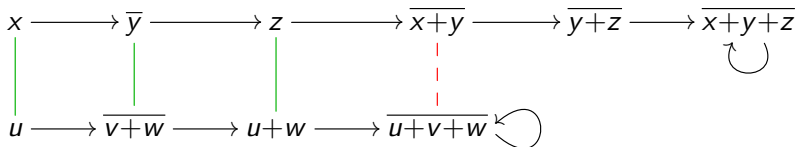


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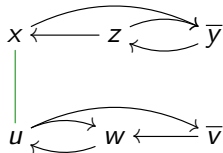


$$\frac{(x, u) + (y, v+w)}{= (x+y, u+v+w)}$$

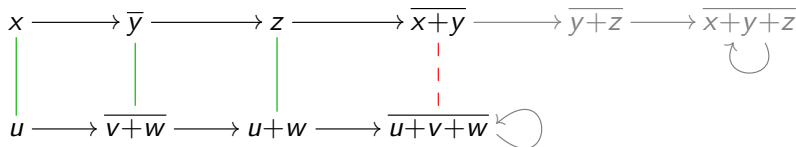


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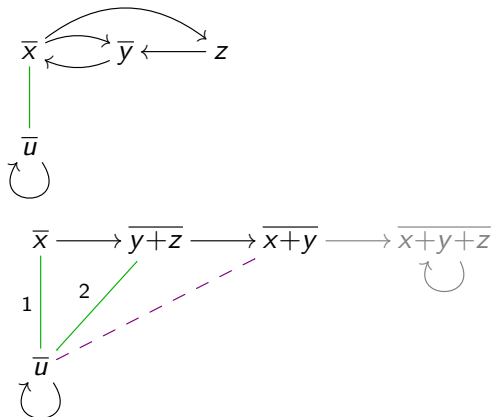
$$\begin{array}{r} (x, u) \\ + (y, v+w) \\ \hline = (x+y, u+v+w) \end{array}$$



using bisimulations **up to union**

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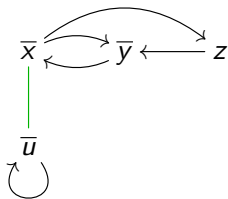
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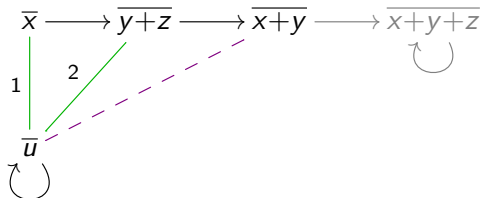


$$x+y = u+y \quad (1)$$

$$= y+z+y \quad (2)$$

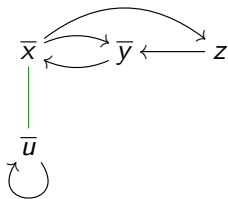
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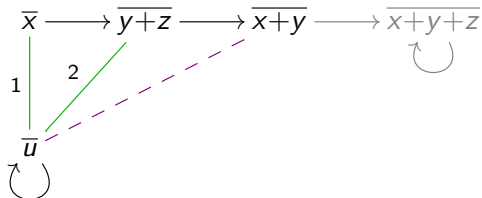


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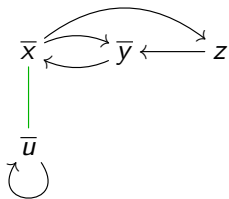
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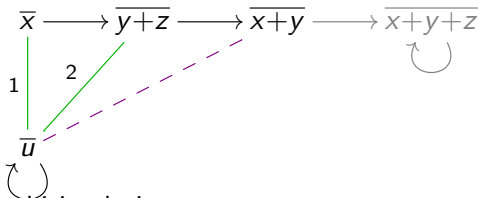


$$x+y = u+y \quad (1)$$

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$$= y+z$$

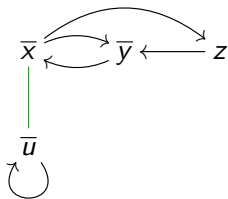
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using bisimulations up to congruence

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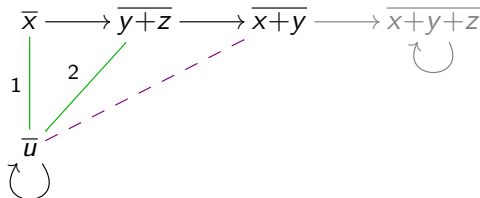


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this yield to the HKC algorithm [Bonchi, Pous'13]

# Outline

## Up-to techniques at work

Deterministic finite automata

Non-Deterministic Automata

Stream calculus

## Abstract coinduction in complete lattices

# Coinductive stream calculus [Rutten'03]

Streams can be defined by **behavioural differential equations**:

$$\begin{array}{ll} (\sigma + \tau)' = \sigma' + \tau' & o(\sigma + \tau) = o(\sigma) + o(\tau) \quad (\text{sum}) \\ (\sigma \otimes \tau)' = \sigma' \otimes \tau + \sigma \otimes \tau' & o(\sigma \otimes \tau) = o(\sigma) \times o(\tau) \quad (\text{shuffle}) \\ (\sigma^{-1})' = -\sigma' \otimes (\sigma^{-1} \otimes \sigma^{-1}) & o(\sigma^{-1}) = o(\sigma)^{-1} \quad (\text{inverse}) \\ (i)' = 0 & o(i) = i \quad (\text{numbers}) \end{array}$$

A bisimulation is a relation  $R$  such that  $\sigma R \tau$  entails  $o(\sigma) = o(\tau)$  and  $\sigma' R \tau'$

- Let us show that  $\sigma + 0 \sim \sigma$

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A bisimulation **up to  $\sim$**  and is a relation  $R$  such that  $\sigma R \tau$  entails  $o(\sigma) = o(\tau)$  and  $\sigma' \sim R \sim \tau'$

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A bisimulation **up to  $\sim$**  and **up to context** is a relation  $R$  such that  $\sigma R \tau$  entails  $o(\sigma) = o(\tau)$  and  $\sigma' \sim_C(R) \sim \tau'$

- ▶ Let us show that  $\sigma + 0 \sim \sigma$
- ▶ How about  $\sigma \otimes 1 \sim \sigma$ ?
- ▶ And  $\sigma \otimes \sigma^{-1} \sim 1$ ?

# Lessons learned from the examples

- ▶ A wide range of up-to techniques
  - ▶ up to equivalence
  - ▶ up to bisimilarity
  - ▶ up to union
  - ▶ up to context
- ▶ For different kind of systems
  - ▶ {deterministic, non-deterministic, (weighted)} automata,
  - ▶ streams
  - ▶ process algebra [Milner'89, Sangiorgi'98]
- ▶ Sometimes they need to be combined together
  - ▶ union and equivalence  $\rightsquigarrow$  congruence (NFA)
  - ▶  $c$  and  $R \mapsto \sim R \sim \rightsquigarrow R \mapsto \sim c(R) \sim$  (streams)

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  - ▶  $c$  and  $R \mapsto \sim R \sim \rightsquigarrow R \mapsto \sim c(R) \sim$  (streams)
- ▶ ...but is this composition always sound?

# Two questions

- ▶ Can we study all these proof principles in one framework?
- ▶ Can we derive conditions for soundness?

# Compatibility

Use Pous's **algebra of enhancements**: abstract framework in terms of lattices and monotone functions.

- ▶  $b$ -simulation:  $R \subseteq b(R)$ ;
- ▶  $b$ -simulation up to  $f$ :  $R \subseteq b(f(R))$
- ▶ **Definition**:  $f$  is  **$b$ -compatible** if  $f \circ b \subseteq b \circ f$
- ▶  **$b$ -compatible** functions:  $f$  sound and closed under composition

# FT-Coalgebra

Coalgebras make it possible to encompass the previous examples in a uniform setting:

systems	functor (F)	monad (T)
deterministic automata	$2 \times -^A$	$(-)$
non-deterministic automata	$2 \times (-)^A$	$\mathcal{P}_f(-)$
weighed automata	$\mathbb{R} \times (-)^A$	$\mathbb{R}^{(-)}$
streams	$\mathbb{R} \times -$	$(-)$

First generalized powerset construction and then finality:

$$\begin{array}{ccccc}
 X & \xrightarrow{\eta} & T(X) & \xrightarrow{\llbracket \cdot \rrbracket} & \Omega \\
 \downarrow t & \swarrow t^\sharp & & & \downarrow \\
 FTX & & & \xrightarrow{F\llbracket \cdot \rrbracket} & F\Omega
 \end{array}$$

Behavioural equivalence becomes  $x \sim_\alpha y \triangleq \llbracket \eta(x) \rrbracket = \llbracket \eta(y) \rrbracket$

# Coalgebraic bisimulation

Given an  $F$ -coalgebra  $(X, \alpha)$ , define the following function on binary relations:

$$b_\alpha(R) = \{(x, y) \mid \exists z \in FR, F(\pi_1^R) = \alpha(x), F(\pi_2^R) = \alpha(y)\}$$

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Proposition [Rot, Bonchi, Bonsangue, Pous, Rutten, Silva'13]:

$b_\alpha$  satisfies  $(\dagger)$  iff  $F$  preserves weak pullbacks

$$(\dagger) \quad \forall R, S, b(R) \cdot b(S) \subseteq b(R \cdot S)$$

- up to equivalence (almost) always comes for free



## Contexts: bialgebras

What about the up to union/context techniques?

- ▶ They are all instances of the same framework we just exploit some algebraic structure of the state-space:
  - ▶ a semilattice for non-deterministic automata
  - ▶ a vector space for weighted automata
  - ▶ a syntax for streams
- ▶ Can be captured using  $\lambda$ -bialgebras:

$$\lambda : TF \Rightarrow FT$$

$$TX \xrightarrow{\beta} X \xrightarrow{\alpha} FX$$

$$(\alpha \circ \beta = F\beta \circ \lambda_X \circ T\alpha)$$

[Turi&Plotkin'97, Bartels'04, Klin'11]

# Summary

Coalgebras make it possible

- ▶ to exploit the abstract theory of up-to techniques for a wide range of systems
- ▶ to design algorithms in a uniform way  
(e.g., HKC for must-testing [Bonchi, Caltais, Pous, Silva'13])