

# Algebra-Coalgebra Duality: applications in automata theory

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Radboud Universiteit Nijmegen and CWI

VU TCS seminar, 10 January 2014

# The global message

- ▶ Two views on many problems: Algebra and coalgebra.
- ▶ The combination is essential!
- ▶ Coalgebra is semantics but also algorithms.

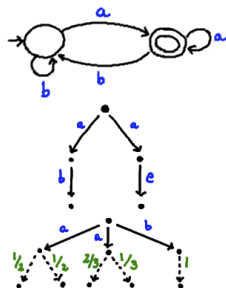
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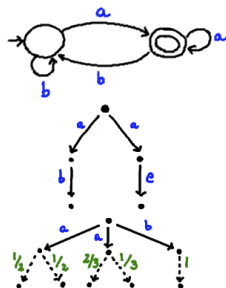
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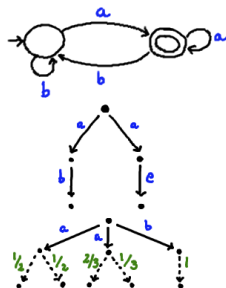
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$$1 + aa^* = a^*$$

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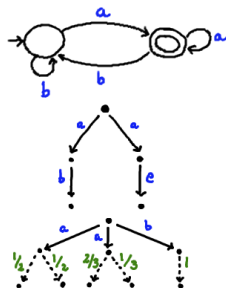
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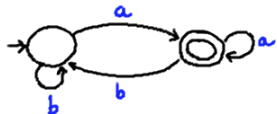
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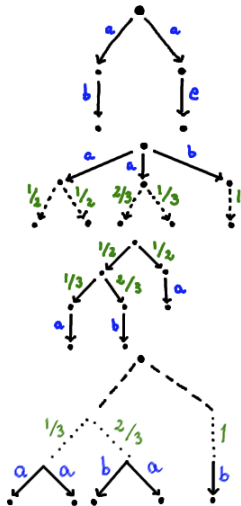


Can we do all of this **uniformly** in a single framework?

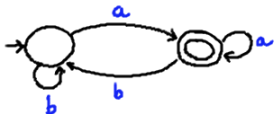
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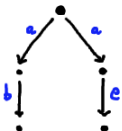
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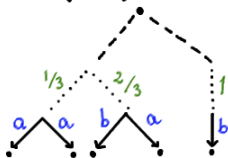
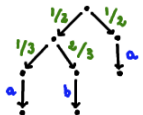
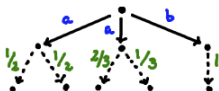
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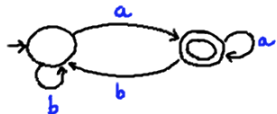
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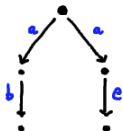
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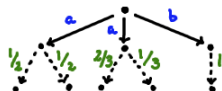
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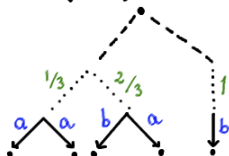
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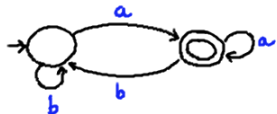
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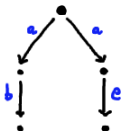
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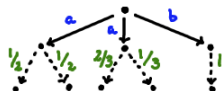
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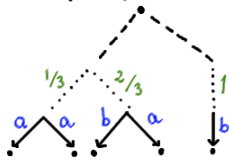
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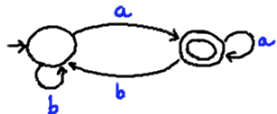
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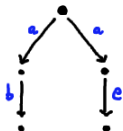
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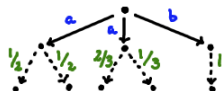
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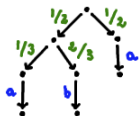
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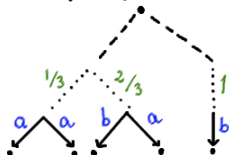
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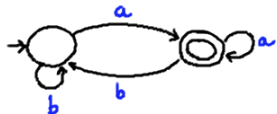


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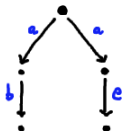


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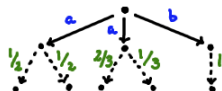
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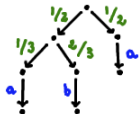
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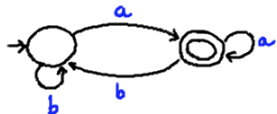
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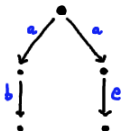
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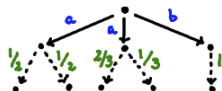
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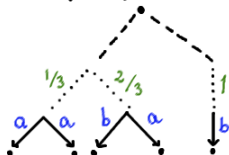
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$$(S, t : S \rightarrow TS) \quad T\text{-coalgebras}$$

# The power of $T$

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E.g.  $T = 2 \times (-)^A$ : languages over  $A - 2^{A^*}$
3. set of expressions describing finite systems
4. axioms to prove bisimulation equivalence of expressions

1 + 2 are classic coalgebra; 3 + 4 are recent work.

# How about algorithms?

- ▶ Coalgebra has found its place in the semantic side of the world: operational/denotational semantics, logics, ...
- ▶ Are there also opportunities for contributions in algorithms?

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**YES WE CAN!**

# Brzozowski's algorithm (co)algebraically

# Motivation

- ▶ duality between reachability and observability (Arbib and Manes 1975): beautiful, not very well-known.
- ▶ combined use of algebra and coalgebra.
- ▶ our understanding of automata is still very limited;  
cf. recent research: universal automata, àtomata, weighted automata (Sakarovitch, Brzozowski, . . . )

# Credits

Bonchi, Bonsangue, Rutten



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It all started with. . .



Prakash Panangaden

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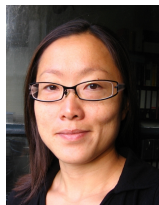
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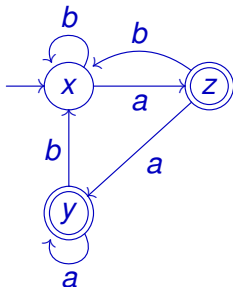


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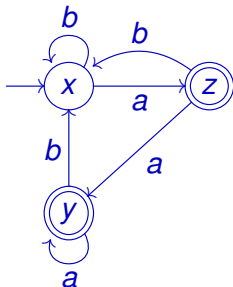
Helle Hansen & Dexter Kozen

# Brzozowski algorithm (by example)



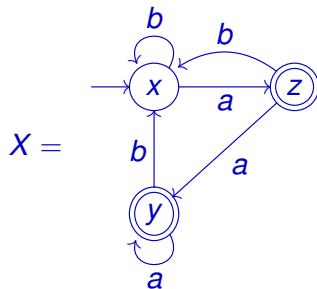
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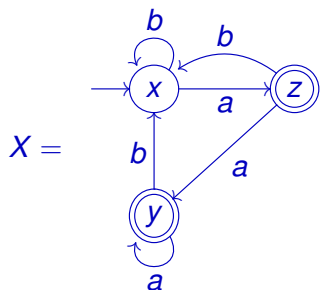


- initial state:  $x$     • final states:  $y$  and  $z$
- $L(x) = \{a, b\}^* a$
- $x$  is reachable but not minimal:  $L(y) = \varepsilon + \{a, b\}^* a = L(z)$

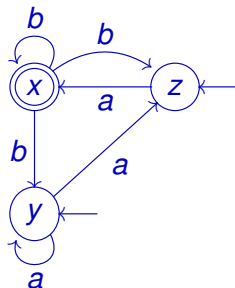
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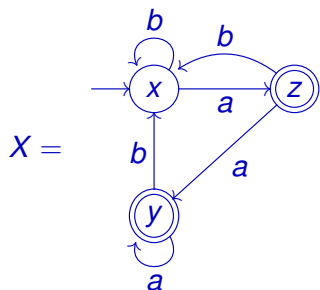
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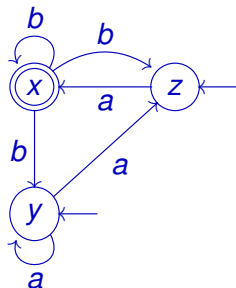
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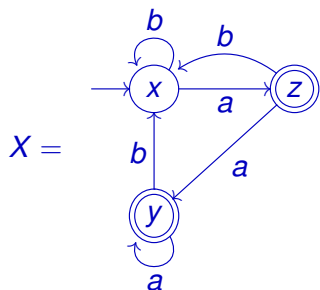


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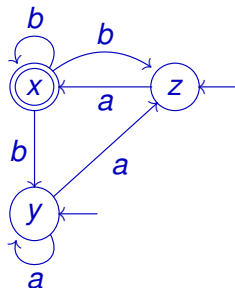


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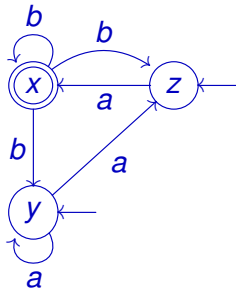


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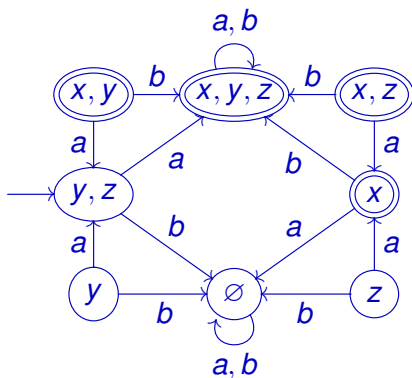
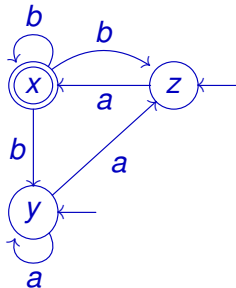


- transitions are reversed
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- $rev(X)$  is non-deterministic

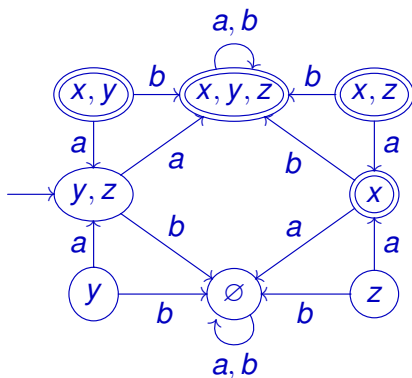
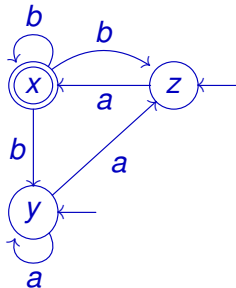
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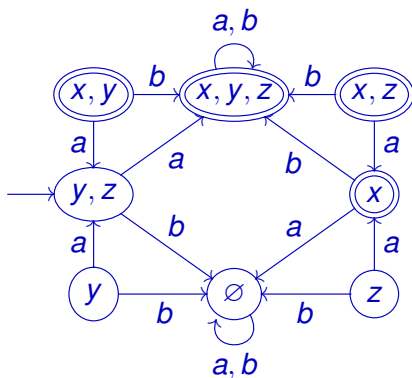
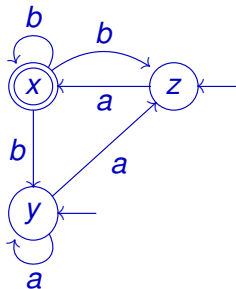


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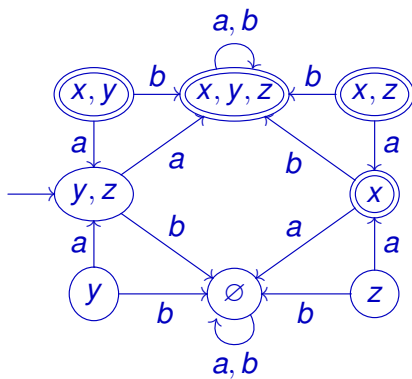
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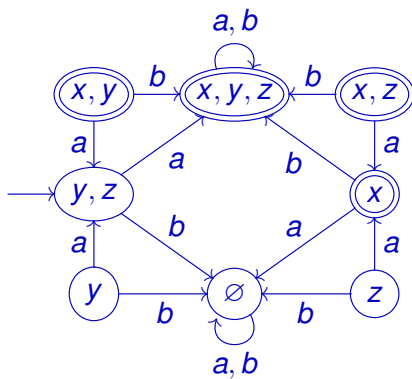


- new state space:  $2^X = \{V \mid V \subseteq \{x, y, z\}\}$
- initial state:  $\{y, z\}$     final states: all  $V$  with  $x \in V$
- $V \xrightarrow{a} W$      $W = \{w \mid v \xrightarrow{a} w, v \in V\}$

The automaton  $\det(\text{rev}(X)) \dots$



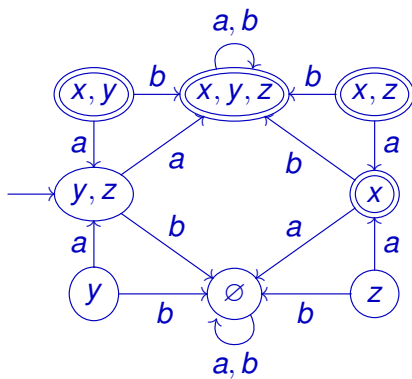
The automaton  $\text{det}(\text{rev}(X))$  . . .



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- . . . and is observable!

# Today's Theorem

If: a deterministic automaton  $X$  is **reachable** and accepts  $L(X)$

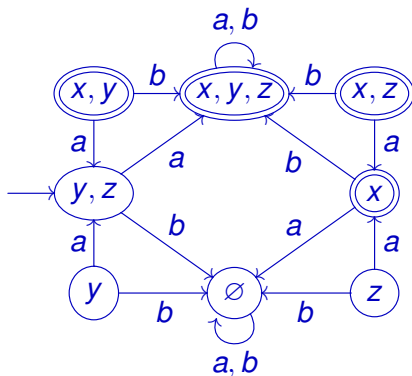
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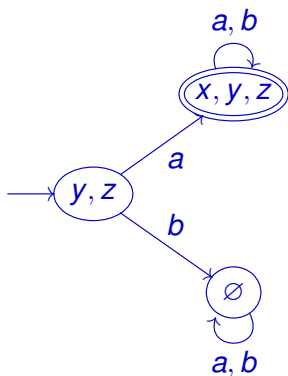
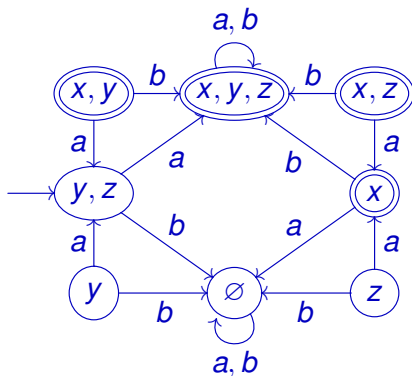
then:  $\text{det}(\text{rev}(X))$  is **minimal** and

$$L(\text{det}(\text{rev}(X))) = \text{reverse}(L(X))$$

Taking the reachable part of  $\det(\text{rev}(X))$

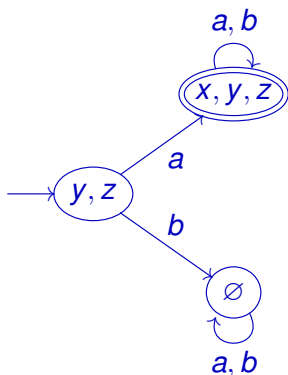
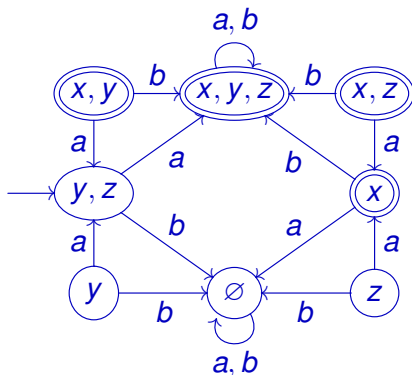


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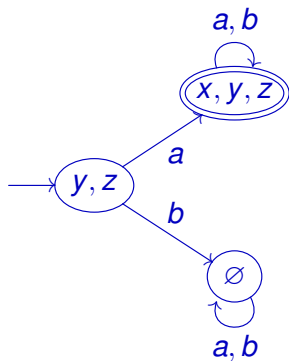
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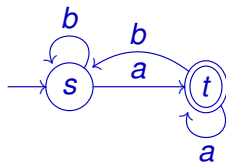
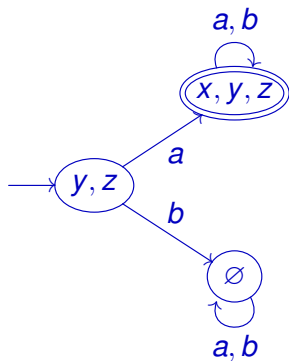


- $\text{reach}(\text{det}(\text{rev}(X)))$  is reachable (by construction)

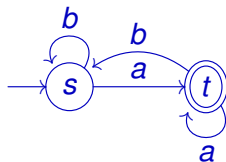
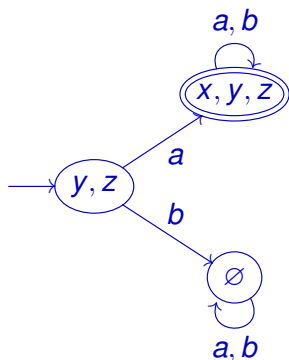
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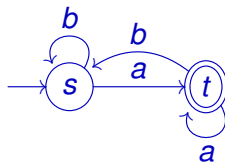
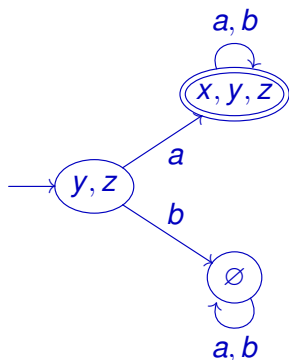


Repeating everything, now for  $reach(det(rev(X)))$



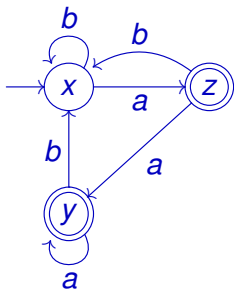
- . . . gives us  $reach(det(rev(reach(det(rev(X))))))$

Repeating everything, now for  $reach(det(rev(X)))$

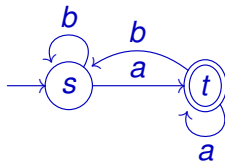
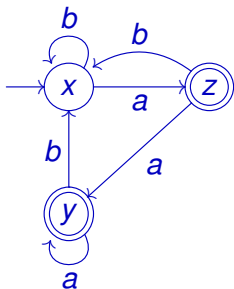


- . . . gives us  $reach(det(rev(reach(det(rev(X))))))$
- which is (reachable and) minimal and accepts  $\{a, b\}^* a$ .

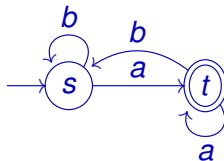
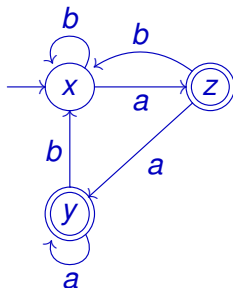
# All in all: Brzozowski's algorithm



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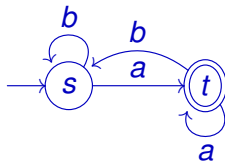
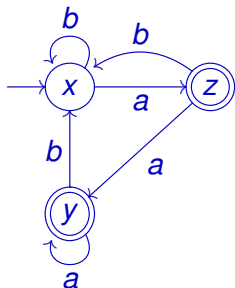


# All in all: Brzozowski's algorithm



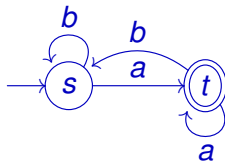
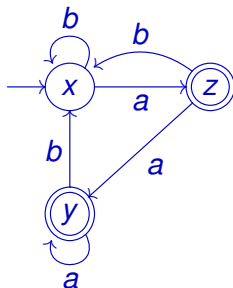
- $X$  is reachable and accepts  $\{a, b\}^* a$

# All in all: Brzozowski's algorithm



- $X$  is reachable and accepts  $\{a, b\}^* a$
- $\text{reach}(\text{det}(\text{rev}(\text{reach}(\text{det}(\text{rev}(X))))))$  also accepts  $\{a, b\}^* a$

# All in all: Brzozowski's algorithm



- $X$  is reachable and accepts  $\{a, b\}^* a$
- $reach(det(rev(reach(det(rev(X))))))$  also accepts  $\{a, b\}^* a$
- . . . and is minimal!!

# Goal of the day

- ▶ Correctness of Brzozowski's algorithm (co)algebraically
- ▶ Generalizations to other types of automata

# (Co)algebra

algebras:

$$\begin{array}{c} F(X) \\ \downarrow f \\ X \end{array}$$

coalgebras:

$$\begin{array}{c} X \\ \downarrow f \\ F(X) \end{array}$$

# Examples of algebras

$$\begin{array}{c} \mathbb{N} \times \mathbb{N} \\ + \downarrow \\ \mathbb{N} \end{array}$$

# Examples of algebras

$$\begin{array}{c} \mathbb{N} \times \mathbb{N} \\ \downarrow + \\ \mathbb{N} \end{array}$$

$$\begin{array}{c} 1 + \mathbb{N} \\ \downarrow [0, S] \\ \mathbb{N} \end{array}$$

 $\equiv$ 

$$\begin{array}{ccc} 1 & & \mathbb{N} \\ \searrow 0 & & \swarrow S \\ & \mathbb{N} & \end{array}$$

 $\equiv$ 

$$\begin{array}{c} 1 \\ \searrow 0 \\ \mathbb{N} \\ \downarrow S \\ \mathbb{N} \end{array}$$

# Examples of coalgebras

$$\begin{array}{c} X \\ \downarrow t \\ \mathcal{P}(A \times X) \end{array}$$

$$x \xrightarrow{a} y \quad \Leftrightarrow \quad \langle a, y \rangle \in t(x)$$

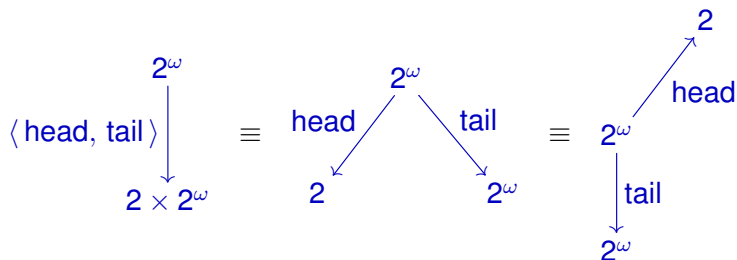
# Examples of coalgebras

$$\begin{array}{c} X \\ \downarrow t \\ \mathcal{P}(A \times X) \end{array}$$

$$x \xrightarrow{a} y \quad \Leftrightarrow \quad \langle a, y \rangle \in t(x)$$

$$\begin{array}{c} X \\ \downarrow \langle \textit{Left}, \textit{label}, \textit{Right} \rangle \\ X \times A \times X \end{array}$$

# Examples of coalgebras



$$\text{head}((b_0, b_1, b_2, \dots)) = b_0$$

$$\text{tail}((b_0, b_1, b_2, \dots)) = (b_1, b_2, b_3, \dots)$$

# Homomorphisms

$$\begin{array}{ccc} F(X) & \xrightarrow{F(h)} & F(Y) \\ f \downarrow & & \downarrow g \\ X & \xrightarrow{h} & Y \end{array}$$

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# Initiality, finality

$$\begin{array}{ccc} F(A) & \xrightarrow{F(h)} & F(X) \\ \alpha \downarrow & & \downarrow f \\ A & \xrightarrow{\exists! h} & X \end{array}$$

$$\begin{array}{ccc} X & \xrightarrow{\exists! h} & Z \\ f \downarrow & & \downarrow \beta \\ F(X) & \xrightarrow{F(h)} & F(Z) \end{array}$$

# Initiality, finality

$$\begin{array}{ccc} F(A) & \xrightarrow{F(h)} & F(X) \\ \alpha \downarrow & & \downarrow f \\ A & \xrightarrow{\exists! h} & X \end{array}$$

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- initial algebras  $\leftrightarrow$  induction

# Initiality, finality

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- initial algebras  $\leftrightarrow$  induction
- final coalgebras  $\leftrightarrow$  coinduction

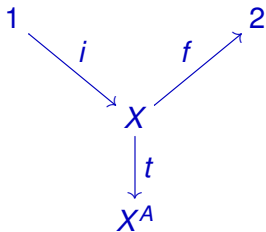
# Automata, (co)algebraically

- ▶ Automata are complicated structures:  
part of them is algebra - part of them is coalgebra

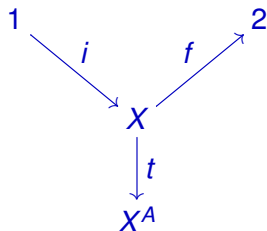
# Automata, (co)algebraically

- ▶ Automata are complicated structures:  
part of them is algebra - part of them is coalgebra
- ▶ ( . . . in two different ways . . . )

# A deterministic automaton



# A deterministic automaton



where

$$1 = \{0\} \quad 2 = \{0, 1\} \quad X^A = \{g \mid g : A \rightarrow X\}$$

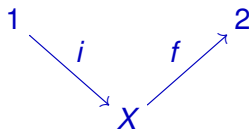
$$\begin{array}{c} \textcircled{x} \end{array} \xrightarrow{a} \begin{array}{c} \textcircled{y} \end{array} \Leftrightarrow t(x)(a) = y$$

$i(0) \in X$  is the initial state

$\textcircled{\textcircled{x}}$  is final (or accepting)  $\Leftrightarrow f(x) = 1$

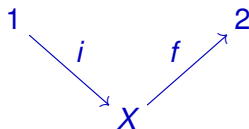
# Automata: algebra or coalgebra?

- ▶ initial state: algebraic – final states: coalgebraic



# Automata: algebra or coalgebra?

- ▶ initial state: algebraic – final states: coalgebraic



- ▶ transition function: both algebraic and coalgebraic

$$\frac{X \xrightarrow{t} X^A}{X \longrightarrow (A \longrightarrow X)}$$
$$X \times A \xrightarrow{t} X$$

# Automata: algebra **and** coalgebra!

$$\begin{array}{ccccc}
 1 & & & & 2 \\
 \downarrow \epsilon & \searrow i & & \nearrow f & \downarrow \epsilon? \\
 A^* & \xrightarrow{\quad r \quad} & X & \xrightarrow{\quad o \quad} & 2^{A^*} \\
 \downarrow \alpha & & \downarrow t & & \downarrow \beta \\
 (A^*)^A & \xrightarrow{\quad r^A \quad} & X^A & \xrightarrow{\quad o^A \quad} & (2^{A^*})^A
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To take home: this picture!! . . .

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To take home: this picture!! . . . which we'll explain next . . .

# The “automaton” of languages



$$\epsilon?(L) = 1 \leftrightarrow \epsilon \in L$$

$$2^{A^*} = \{g \mid g : A^* \rightarrow 2\} \cong \{L \mid L \subseteq A^*\}$$

$$\beta(L)(a) = L_a = \{w \in A^* \mid a \cdot w \in L\}$$

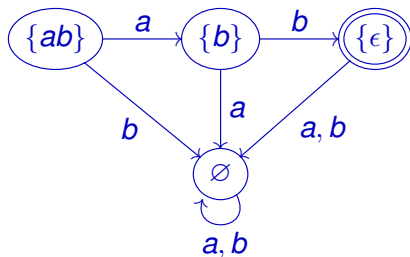
# The “automaton” of languages

$$\begin{array}{ccc} & & \epsilon?(L) = 1 \leftrightarrow \epsilon \in L \\ & \uparrow \epsilon? & \\ 2 & & \\ & \downarrow \beta & \\ 2^{A^*} & & 2^{A^*} = \{g \mid g : A^* \rightarrow 2\} \cong \{L \mid L \subseteq A^*\} \\ & \downarrow & \\ (2^{A^*})^A & & \beta(L)(a) = L_a = \{w \in A^* \mid a \cdot w \in L\} \end{array}$$

- We say “automaton”: it does not have an initial state.

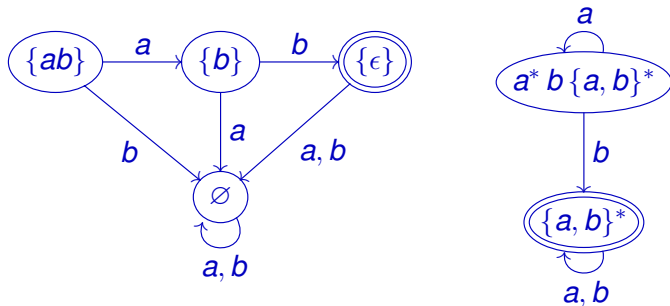
# The automaton of languages

- transitions:  $L \xrightarrow{a} L_a$  where  $L_a = \{w \in A^* \mid a \cdot w \in L\}$
- for instance:



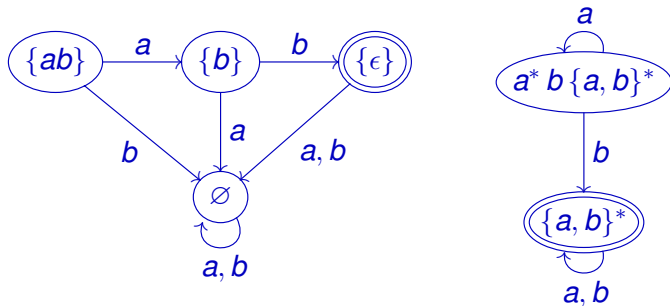
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# The automaton of languages

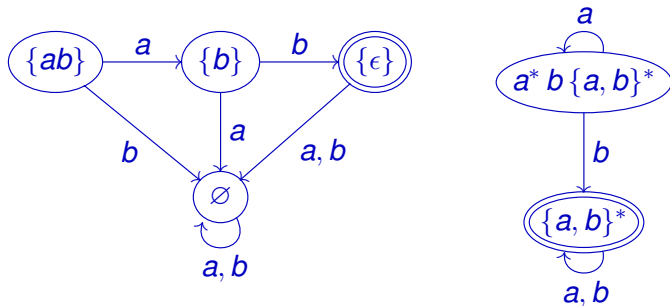
- transitions:  $L \xrightarrow{a} L_a$  where  $L_a = \{w \in A^* \mid a \cdot w \in L\}$
- for instance:



- note: every **state**  $L$  accepts . . .

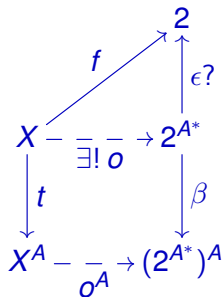
# The automaton of languages

- transitions:  $L \xrightarrow{a} L_a$  where  $L_a = \{w \in A^* \mid a \cdot w \in L\}$
- for instance:



- note: every **state**  $L$  accepts . . . . . the **language**  $L$  !!

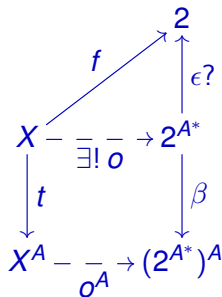
The automaton of languages is . . . **final**



$$o(x) = \{w \in A^* \mid f(x_w) = 1\}$$

= the language accepted by  $x$

The automaton of languages is . . . final



$$o(x) = \{w \in A^* \mid f(x_w) = 1\}$$

= the language accepted by  $x$

where:  $x_w$  is the state reached after inputting the word  $w$ ,

and:  $o^A(g) = o \circ g$ , all  $g \in X^A$ .

# Back to today's picture

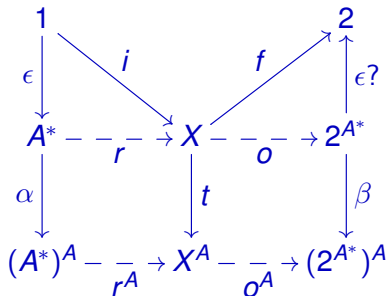
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# Back to today's picture

$$\begin{array}{ccccc}
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 \end{array}$$

On the right: final coalgebra

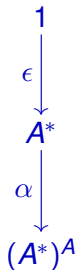
# Back to today's picture



On the right: final coalgebra

On the left: initial algebra . . .

# The “automaton” of words

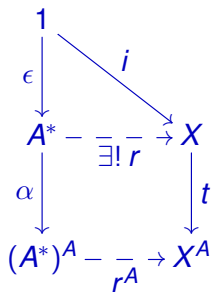


$\epsilon$  is initial state

$$\alpha(w)(a) = w \cdot a$$

that is, transitions:  $w \xrightarrow{a} w \cdot a$

The automaton of words is . . . **initial**



$i \in X$  = initial state  
(to be precise:  $i(0)$ )

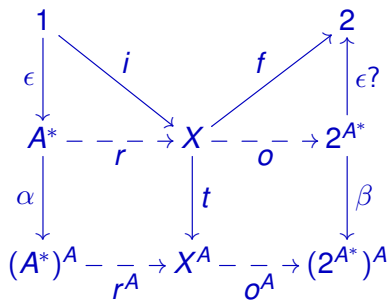
$r(w)$  =  $i_w$   
= the state **reached** from  $i$   
after inputting  $w$

- Proof: easy exercise.
- Proof: formally, because  $A^*$  is an initial  $1 + A \times (-)$ -algebra!

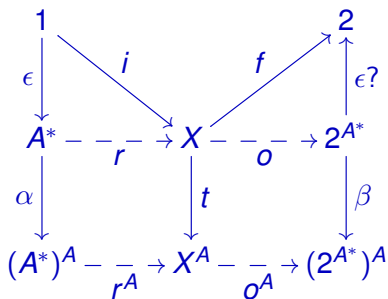
# Duality

- ▶ Reachability and observability are dual:  
*Arbib* and *Manes*, 1975.
- ▶ (here observable = minimal)

# Reachability and observability



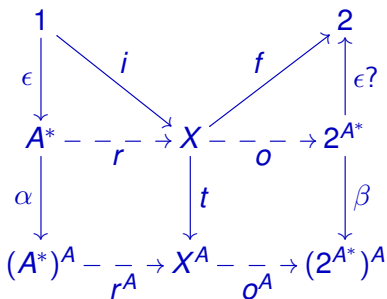
# Reachability and observability



$r(w)$  = state reached  
on input  $w$

$o(x)$  = language  
accepted by  $x$

# Reachability and observability

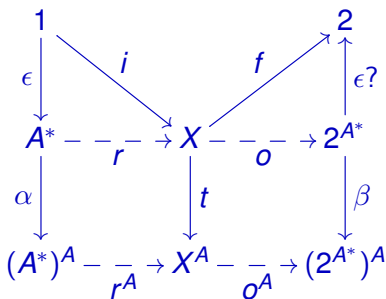


$r(w)$  = state reached  
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- We call  $X$  **reachable** if  $r$  is **surjective**.

# Reachability and observability



$r(w)$  = state reached  
on input  $w$

$o(x)$  = language  
accepted by  $x$

- We call  $X$  **reachable** if  $r$  is **surjective**.
- We call  $X$  **observable** (= minimal) if  $o$  is **injective**.

# Reversing the automaton

- ▶ Reachability  $\leftrightarrow$  observability
- ▶ Being precise about homomorphisms is crucial.
- ▶ Forms the basis for proof Brzozowski's algorithm.

# Powerset construction

$$2^{(-)} : \quad \begin{array}{c} V \\ \downarrow g \\ W \end{array} \quad \mapsto \quad \begin{array}{c} 2^V \\ \uparrow 2^g \\ 2^W \end{array}$$

# Powerset construction

$$2^{(-)} : \quad \begin{array}{ccc} V & & 2^V \\ \downarrow g & \mapsto & \uparrow 2^g \\ W & & 2^W \end{array}$$

where  $2^V = \{S \mid S \subseteq V\}$  and, for all  $S \subseteq W$ ,

$$2^g(S) = g^{-1}(S) \quad (= \{v \in V \mid g(v) \in S\})$$

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- This construction is **contravariant** !!

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$$2^g(S) = g^{-1}(S) \quad (= \{v \in V \mid g(v) \in S\})$$

- This construction is **contravariant** !!
- Note: if  $g$  is **surjective**, then  $2^g$  is **injective**.

# Reversing transitions

$$\begin{array}{c} X \\ \downarrow t \\ X^A \end{array}$$

# Reversing transitions

$$\begin{array}{c|c} X & X \times A \\ t \downarrow & \downarrow \\ X^A & X \end{array}$$

# Reversing transitions

$$\begin{array}{ccc} X & \parallel & X \times A \\ t \downarrow & & \downarrow \\ X^A & & X \end{array} \xrightarrow{2^{(-)}} \begin{array}{c} 2^{X \times A} \\ \uparrow \\ 2^X \end{array}$$

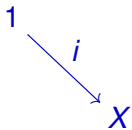
# Reversing transitions

$$\begin{array}{ccc} \begin{array}{c} X \\ \downarrow t \\ X^A \end{array} \parallel \begin{array}{c} X \times A \\ \downarrow \\ X \end{array} & \xrightarrow{2^{(-)}} & \begin{array}{c} 2^{X \times A} \\ \uparrow \\ 2^X \end{array} \parallel \begin{array}{c} (2^X)^A \\ \uparrow \\ 2^X \end{array} \end{array}$$

# Reversing transitions

$$\begin{array}{ccc}
 \begin{array}{c} X \\ \downarrow t \\ X^A \end{array} \parallel \begin{array}{c} X \times A \\ \downarrow \\ X \end{array} & \xrightarrow{2^{(-)}} & \begin{array}{c} 2^{X \times A} \\ \uparrow \\ 2^X \end{array} \parallel \begin{array}{c} (2^X)^A \\ \uparrow \\ 2^X \end{array} \parallel \begin{array}{c} 2^X \\ \downarrow 2^t \\ (2^X)^A \end{array}
 \end{array}$$

Initial  $\leftrightarrow$  final



Initial  $\leftrightarrow$  final

$$1 \xrightarrow{i} X$$

$$\xrightarrow{2^{(-)}}$$

$$2^X \xrightarrow{2^i} 2$$

Initial  $\leftrightarrow$  final

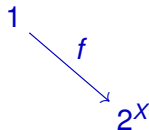
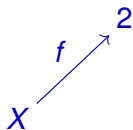
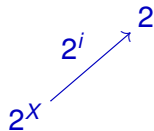
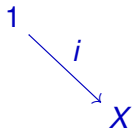
$$1 \xrightarrow{i} X$$

$$\xrightarrow{2^{(-)}}$$

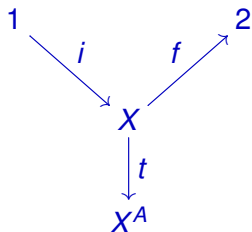
$$2^X \xrightarrow{2^i} 2$$

$$X \xrightarrow{f} 2$$

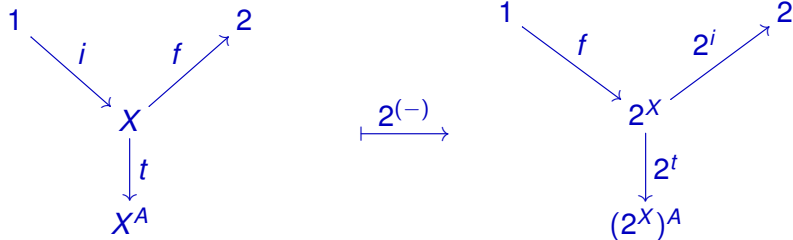
Initial  $\leftrightarrow$  final



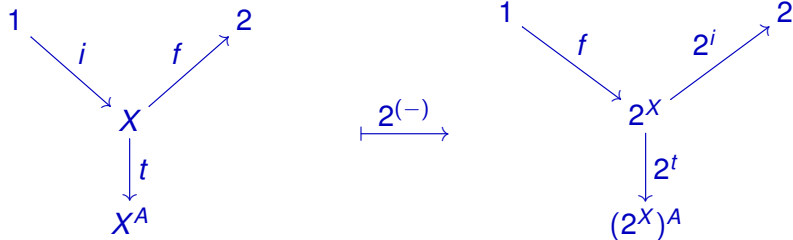
# Reversing the entire automaton



# Reversing the entire automaton

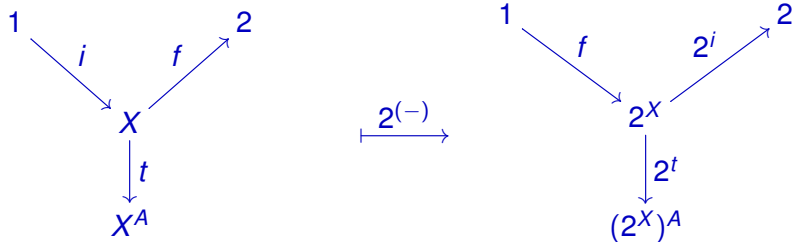


# Reversing the entire automaton



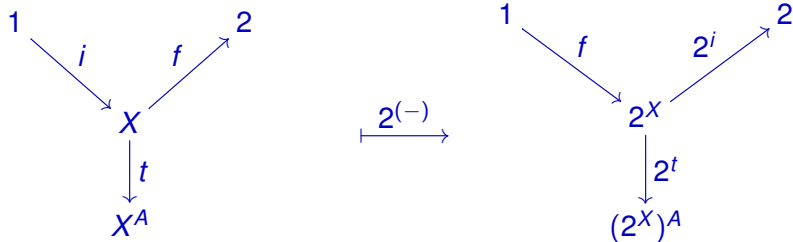
- Initial and final are exchanged . . .

# Reversing the entire automaton



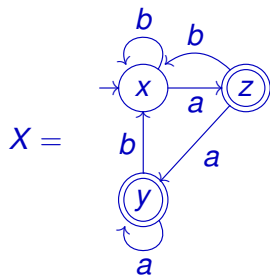
- Initial and final are exchanged . . .
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# Reversing the entire automaton

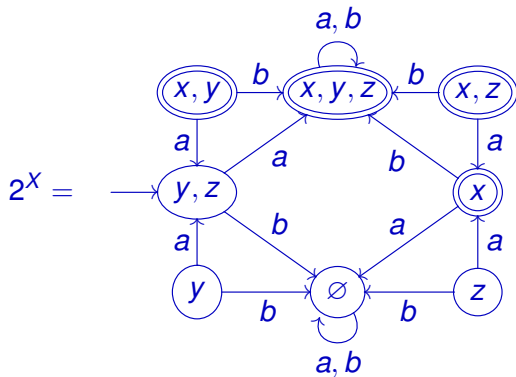
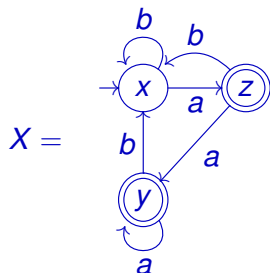


- Initial and final are exchanged . . .
- transitions are reversed . . .
- and the result is again deterministic!

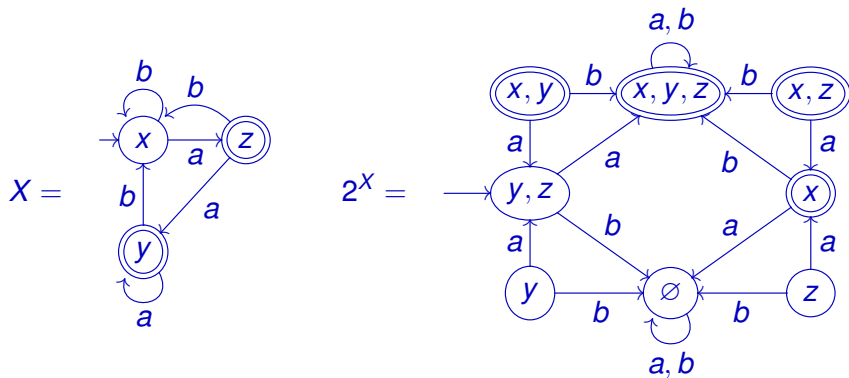
## Our previous example



# Our previous example



# Our previous example



- Note that  $X$  has been reversed and determinized:

$$2^X = \text{det}(\text{rev}(X))$$

# Proving today's Theorem

If: a deterministic automaton  $X$  is **reachable** and accepts  $L(X)$

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If: a deterministic automaton  $X$  is **reachable** and accepts  $L(X)$

then:  $2^X$  ( $= \det(\text{rev}(X))$ ) is **minimal/observable** and

$$L(2^X) = \text{reverse}(L(X))$$

Proof: by reversing  $A^* \xrightarrow{r} X$

$$\begin{array}{ccc} 1 & & \\ \epsilon \downarrow & \searrow i & \\ A^* & \xrightarrow{r} & X \\ \alpha \downarrow & & \downarrow t \\ (A^*)^A & \longrightarrow & X^A \end{array}$$

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$$\xrightarrow{2(-)}$$

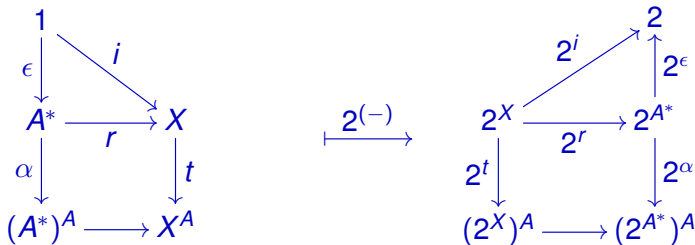
$$\begin{array}{ccc}
 & & 2 \\
 & \nearrow 2^i & \uparrow 2^\epsilon \\
 2^X & \xrightarrow{2^r} & 2^{A^*} \\
 2^t \downarrow & & \downarrow 2^\alpha \\
 (2^X)^A & \longrightarrow & (2^{A^*})^A
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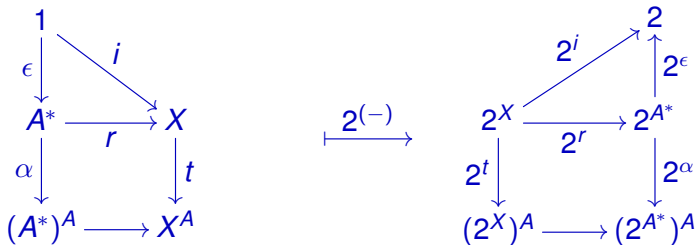
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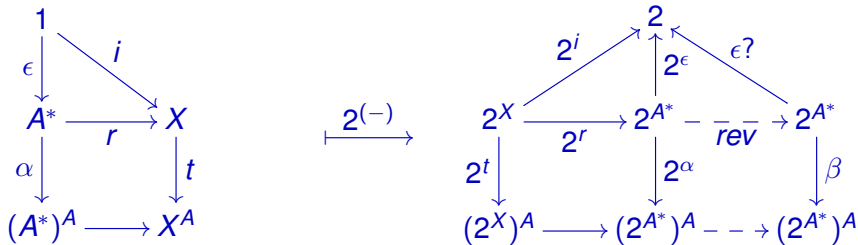


- $X$  becomes  $2^X$
- initial automaton  $A^*$  becomes (almost) final automaton  $2^{A^*}$
- $r$  is **surjective**  $\Rightarrow$   $2^r$  is **injective**

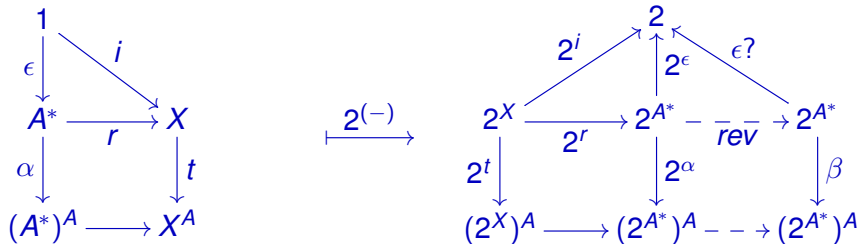
# Reachable becomes observable

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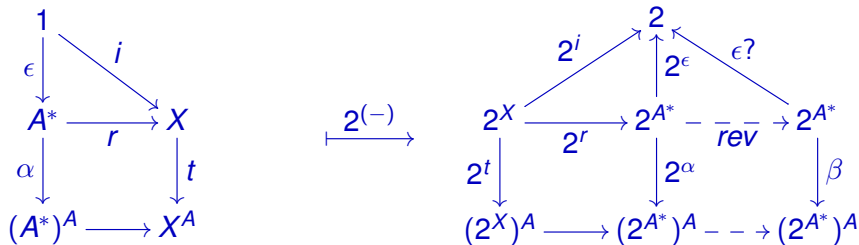


# Reachable becomes observable



- If  $r$  is **surjective** then  $(2^r$  and hence)  $\overline{rev} \circ 2^r$  is **injective**.

# Reachable becomes observable



- If  $r$  is **surjective** then  $(2^r$  and hence)  $\text{rev} \circ 2^r$  is **injective**.
- That is,  $2^X$  is observable (= minimal).

# Summarizing

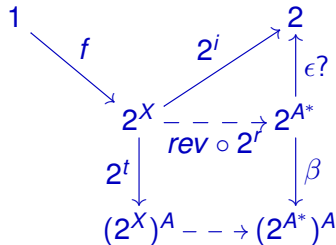
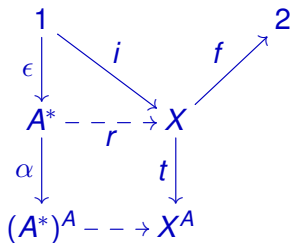
$$\begin{array}{ccccc} 1 & & & & 2 \\ \epsilon \downarrow & i \searrow & & f \nearrow & \\ A^* & \overset{r}{\dashrightarrow} & X & & \\ \alpha \downarrow & & t \downarrow & & \\ (A^*)^A & \dashrightarrow & X^A & & \end{array}$$

# Summarizing

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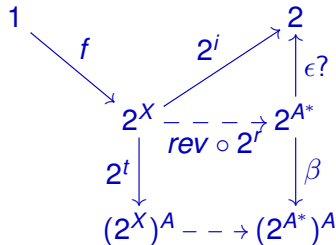
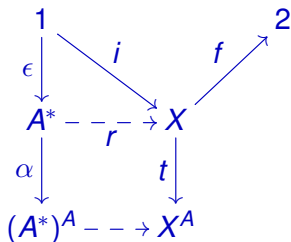
$$\begin{array}{ccccc}
 1 & & & & 2 \\
 f \searrow & & & 2^i \nearrow & \\
 2^X & \xrightarrow{\quad rev \circ 2^r \quad} & 2^{A^*} & & \\
 2^t \downarrow & & \beta \downarrow & & \epsilon? \uparrow \\
 (2^X)^A & \xrightarrow{\quad} & (2^{A^*})^A & & 
 \end{array}$$

# Summarizing



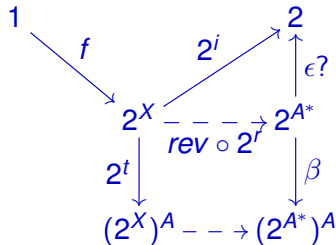
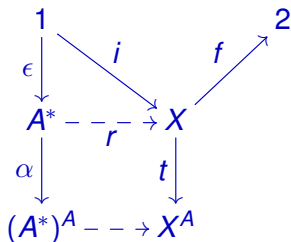
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# Summarizing



- If:  $X$  is reachable, i.e.,  $r$  is surjective  
then:  $rev \circ 2^r$  is injective, i.e.,  $2^X$  is observable = minimal.

# Summarizing



- If:  $X$  is reachable, i.e.,  $r$  is surjective  
then:  $rev \circ 2^r$  is injective, i.e.,  $2^X$  is observable = minimal.
- And:  $rev(2^r(f)) = rev(o(i))$ , i.e.,  $L(2^X) = reverse(L(X))$

## Corollary: Brzozowski's algorithm

- ▶  $X$  becomes  $2^X$ , accepting *reverse*( $L(X)$ )

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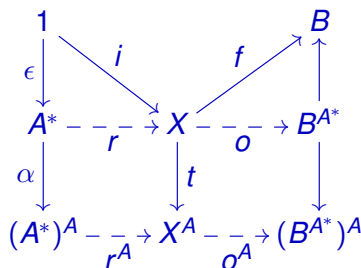
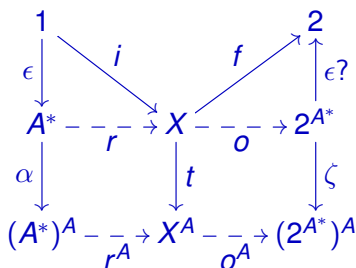
- ▶  $X$  becomes  $2^X$ , accepting  $\text{reverse}(L(X))$
- ▶ take reachable part:  $Y = \text{reachable}(2^X)$

## Corollary: Brzozowski's algorithm

- ▶  $X$  becomes  $2^X$ , accepting  $\text{reverse}(L(X))$
- ▶ take reachable part:  $Y = \text{reachable}(2^X)$
- ▶  $Y$  becomes  $2^Y$ , which is minimal and accepts

$$\text{reverse}(\text{reverse}(L(X))) = L(X)$$

# Generalizations



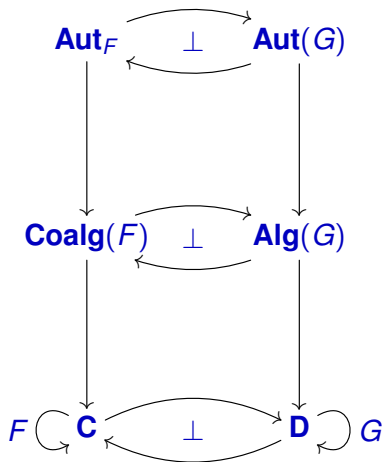
- A **Brzozowski** minimization algorithm for **Moore** automata.

$$B^X = \{\varphi \mid \varphi: X \rightarrow B\} \quad B^f(\varphi) = \varphi \circ f$$

# Further generalizations

- ▶ Moore automata generalization: uniform algorithm for decorated traces and must testing (joint work with Bonchi, Caltais and Pous);
- ▶ Further generalizations to non-deterministic and weighted automata.

# A uniform picture based on duality



# Conclusions

- ▶ Combination algebra-coalgebra is fruitful.
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Thanks!